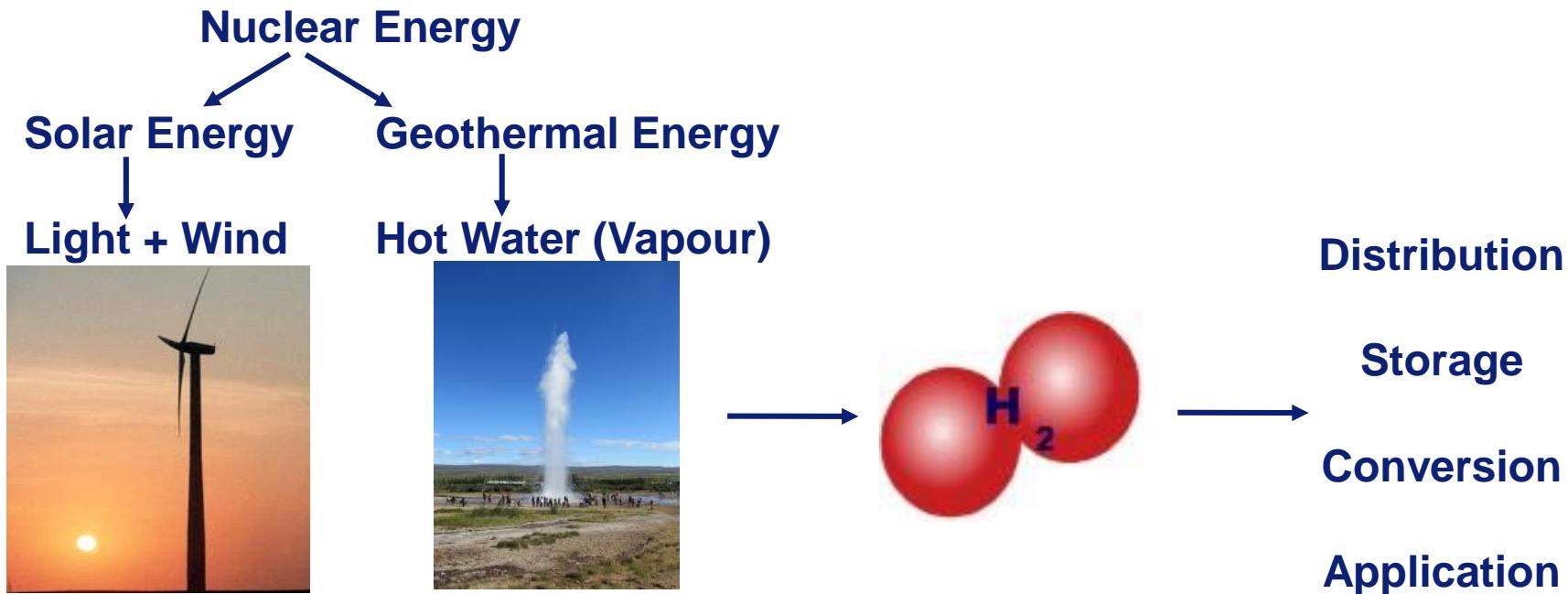


Materials for an Energy Efficient Society



Prof. Dr. Thomas Jüstel

Institute for Optical Technologies, Dpt. Chemical Engineering
Münster University of Applied Sciences

Steinfurt/Münster, September 09th, 2021

To My Person

CV

- University Bochum (1987 - 1994) *Coordination Chemistry*
- Max-Planck Institute Mülheim (1995) *Electrochemistry*
- Philips Research Aachen (1995 - 2004) *Solid State Chemistry, Luminescence*
- Münster University of Applied Sciences (since 2004) *Scintillators*
Functional Optical Materials
- Dean of department „Chemical Engineering“ (since 2013)

Teaching

- Inorganic Chemistry
 - Solid state chemistry
 - Coordination chemistry
 - Bioinorganic chemistry
- Material Science
 - Optical materials
 - Luminescent materials
 - Material characterisation
- Incoherent Light Sources, Photochemical Water Treat. & History of Science

Research Group Tailored Optical Materials



Prof. Dr. Thomas Jüstel

Stegerwaldstr. 39

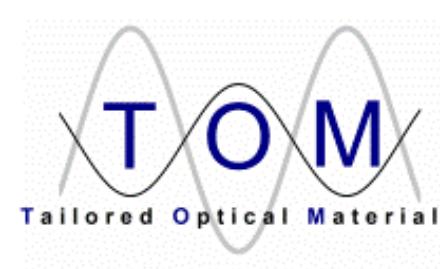
D-48565 Steinfurt

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skype: thomasjuestel



Research Areas

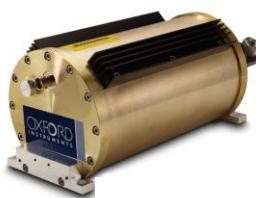
- Luminescent Pigments
- Luminescence Physics
- Nanoscale Pigments
- Core-Shell particles (coatings)
- Optical Spectroscopy
- Solid State Chemistry

Research Group Tailored Optical Materials

Xenon disch. lamp,
wavelength range
200 nm - 900 nm



X-Ray Tube Neptune 5200
Voltage Range: 10 - 50 kV
Max. Power: 100 W



EPL ps Laser,
wavelength 265,
375, or 445 nm



Continuous laser,
wavelength 375,
405, 445, or 488 nm



High brightness
LEDs from 250 -
1100 nm



Fianium supercontinuum
SC450-4 white light laser,
wavelength range 0.46 - 2.4 µm



D₂ bulb, wavelength
range 120 - 400 nm

Americium source,
α- and γ-radiation

Outline

- 1. Challenges of the 21st century**
- 2. Metals and Materials**
- 3. Matter Radiation Interaction**
- 4. Photovoltaic Materials**
- 5. Photochemical Water Splitting**
- 6. Lighting Towards Ultimate Efficiency**
- 7. Conclusions and Outlook**
- 8. Literature**



1. Challenges of the 21st Century

- Overview -

- **Increasing land and water consumption**
 - Endangering the safety of drinking water and food supply
 - Loss of arable land and pasture
 - Evaporation of sweetwater lakes
- **Increasing demand on raw materials endangers resource security**
 - Strategic metals: Li, Co, Cu, Ga, Ge, In, rare earth metals, W, Ir, Bi, ...
 - Plastic crises: μ-plastics, biopolymers, recycling, critical additives and optical marker
 - Quarz crisis: Extremely growing demand on constructing materials
 - Phosphate crisis: Mines are driven at the limits
 - Iridium crisis: Water purification process via electrochlorination increases demand
- **μ-Microplastics and input of nutrients into biosphere**
 - Endangering marine and terrestrial food chains
 - Reduction of biodiversity
 - Expansion of dead zones in oceans
- **Emission of greenhouse gases and climate change**
 - Energy production without CO₂ emission: PV, Wind → H₂, PtG, LNG, Batteries
 - CO₂ deposition: 1·10¹² t CO₂ until 2100 for 2° Goal (SdW 08/19) → geochemistry?
 - New types of mobility: Electrical engines, fuel cells, artificial fuels



1. Challenges of the 21st Century

- Air, Soil, and Water Pollution -

Reduce or prevent application of hazardous substances

- Radioactive materials, U, Th, T, Pm,
- Heavy metals, e.g. Hg, Tl, Pb, Cd,
- Toxic, bioactive, or non-biodegradable organic compounds → plastics: “Great Pacific Garbage Patch”
- 2050: More plastic than fish in ocean water!

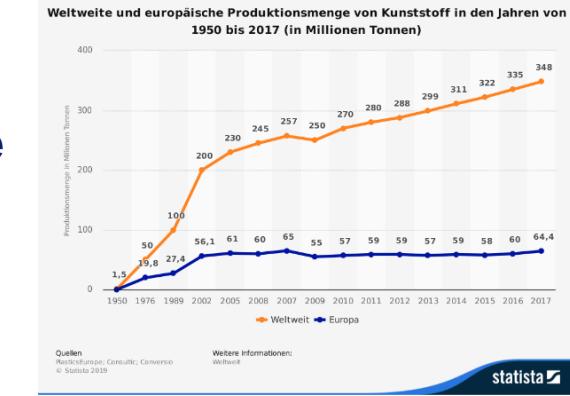


⇒ Apply green chemistry: Technologies that

- minimize or preferably eliminate the formation of waste
- avoid use of toxic & hazardous solvents and reagents
- utilize renewable raw materials
- are energy efficient

- Bioreactors
- Catalysis
- Photochemistry
- Solar chemistry
- Fast analytics @ point of use

- Biochemistry, microorganism design
- Catalytic pigments/coatings, reactor design
- Frequency selective radiation sources
- Solar radiation + converter or concentrator
- Advanced optical spectroscopy

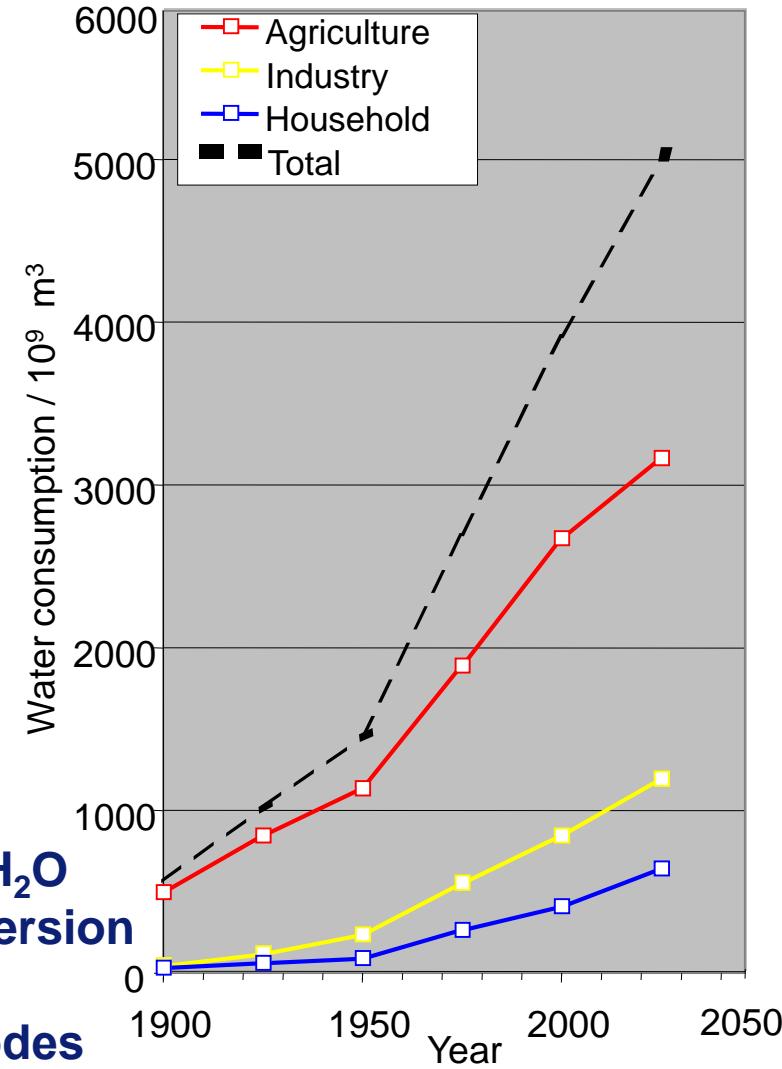


1. Challenges of the 21st Century

- Air, Soil, and Water Pollution -



- UV-C Radiation (265 nm) inactivates micro organisms due to photochemistry of DNA
- VUV Radiation (180 - 200 nm) oxidizes due to H_2O cleavage into radical species and O_2 to O_3 conversion
- Industrial installations → discharge lamps
- Mobile devices → discharge lamps / (laser) diodes



1. Challenges of the 21st Century

- Demand for Strategic Metals -

- Electric & hybrid vehicles (~30 kg Rare Earth / hybrid car): Growing mobility!
Co, Li (ion batteries), Rare Earth Elements (REE), Cu
- Fuel cells
Pt, (Ru, Pd, Au)
- Thermoelectrics, Optoelectronics, ILEDs, OLEDs, (μ -LED) displays
Bi, Te, Si, In, Ga, As, Se, Ge, Sb, Ir, Pt
- Photo voltaics
Si, Ag, In, Ga, Se, Te, Ge, (Ru)
- Wind turbines, generators, electrical engines, magnets
Nd, Sm, Pr, Dy, Cu



1. Challenges of the 21st Century

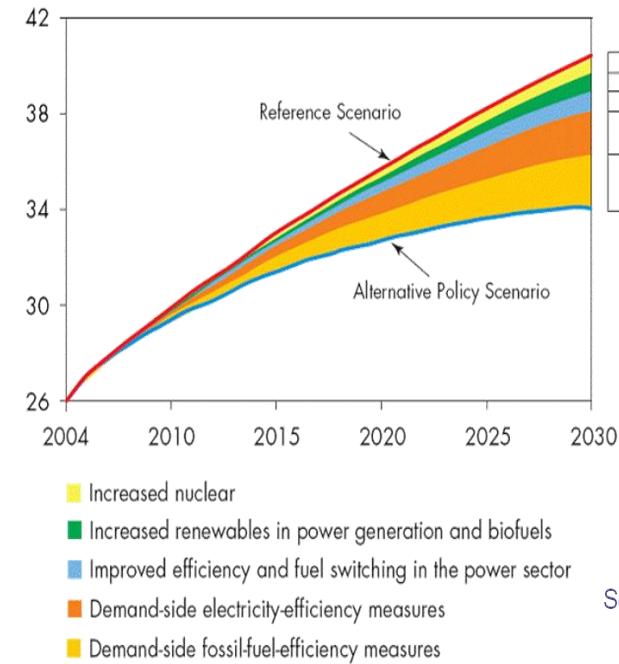
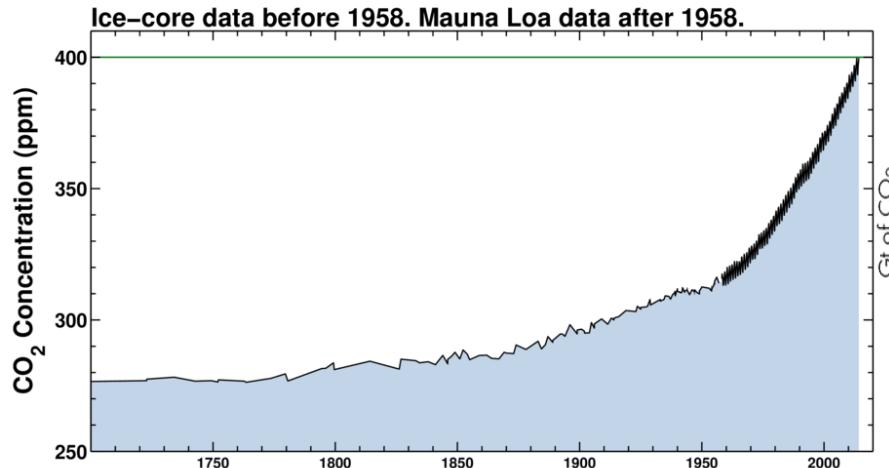
- Dissipation of Heavy Metals -

- Hg from discharge lamps, batteries, thermometers, combustion of coal ends up at earth's cold spots, mainly at polar regions
- Pb and Ba from accumulators, displays, and high refractive glass ends up in Ca metabolism
- Sn from paints goes into aquatic system + reacts to toxic metalorganic compounds
- Cr, As, Sb, and Bi from paints, pigments modify DNA
- **Ga and In** from LEDs, LCDs, OLEDs are harmful to the kidney and liver metabolism
- Cu, Ag, Pt, and Au from electronic devices are bioactive
- Actinides from nuclear industry, U also from artificial fertilisers (phosphates)



1. Challenges of the 21st Century

- Climate Change Due to CO₂ Emission -



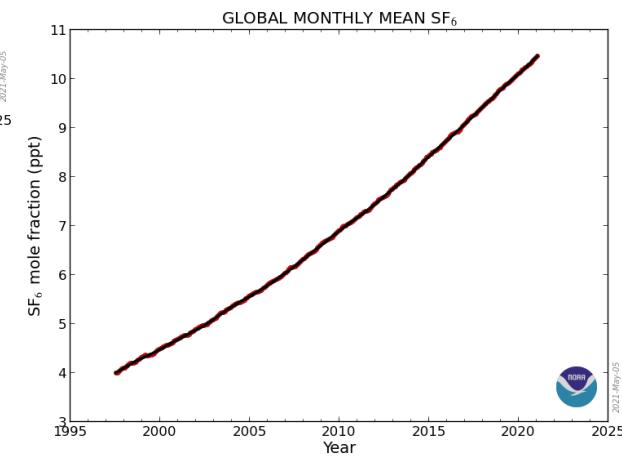
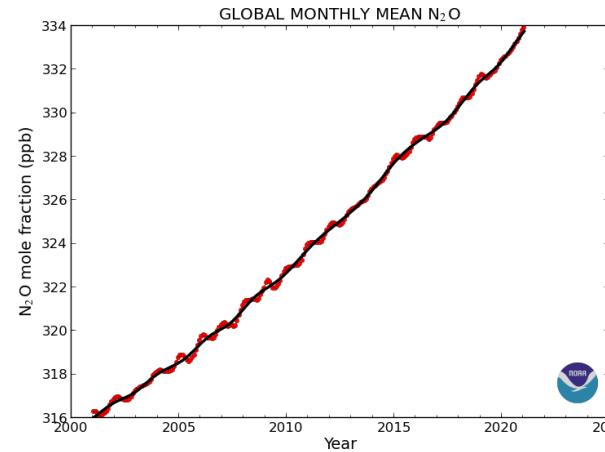
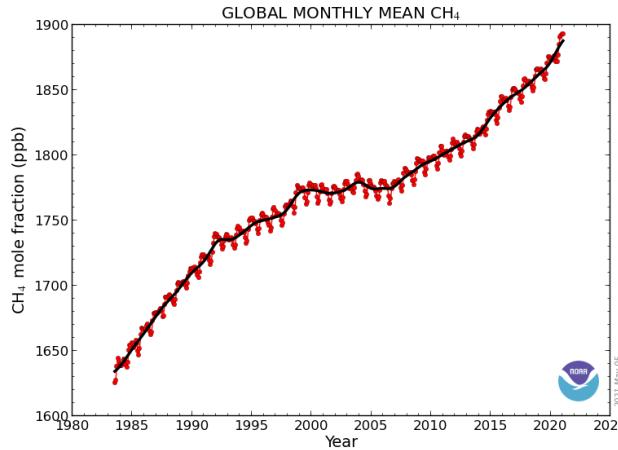
Source: International Energy Agency
World Energy Outlook 2006

Further consequences of CO₂ emission

- Acidification of oceans: endanger marine ecosystems
- Reduction of glacier and polar ice: sea water level rise
- Modification of plant physiology: increasing sugar content
- Increasing water and soil temperature: Emission of CH₄ from permafrost areas
- Reduction of air quality

1. Challenges of the 21st Century

- Climate Change Due to other Greenhouse Gas Emission -

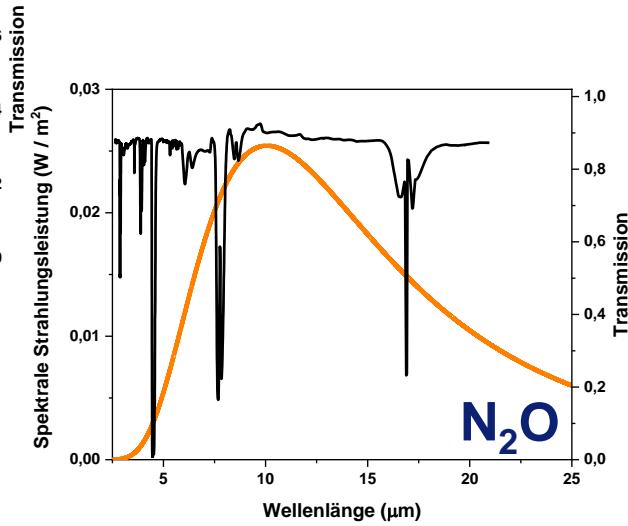
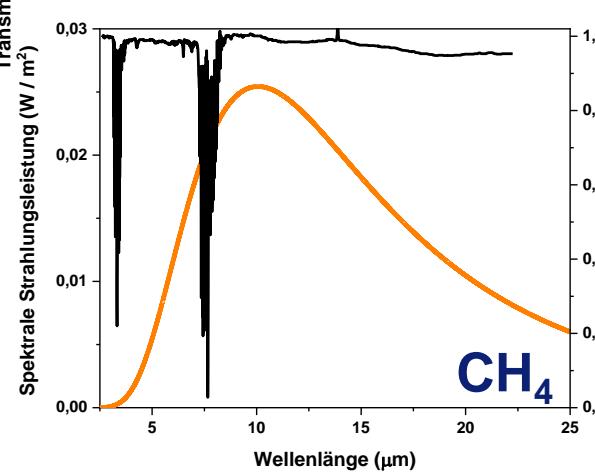
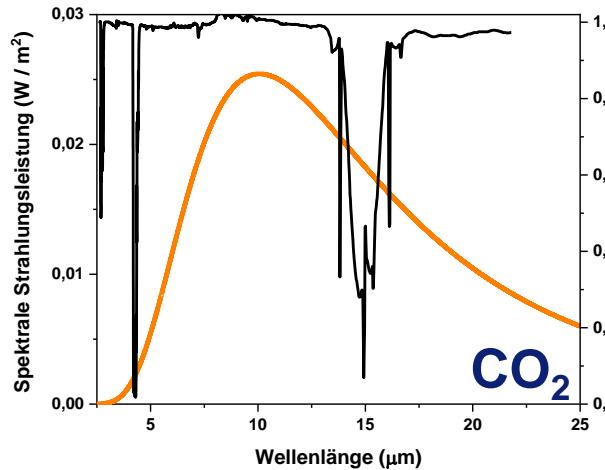


<u>Greenhouse gas</u>	<u>concentration 2021</u>	<u>GTP100</u>
CO ₂	417 ppm	1
CH ₄	1891 ppb	11
N ₂ O	334 ppb	297
CF ₄	~ 90 ppt	9560
SF ₆	10.56 ppt	28200

1. Challenges of the 21st Century

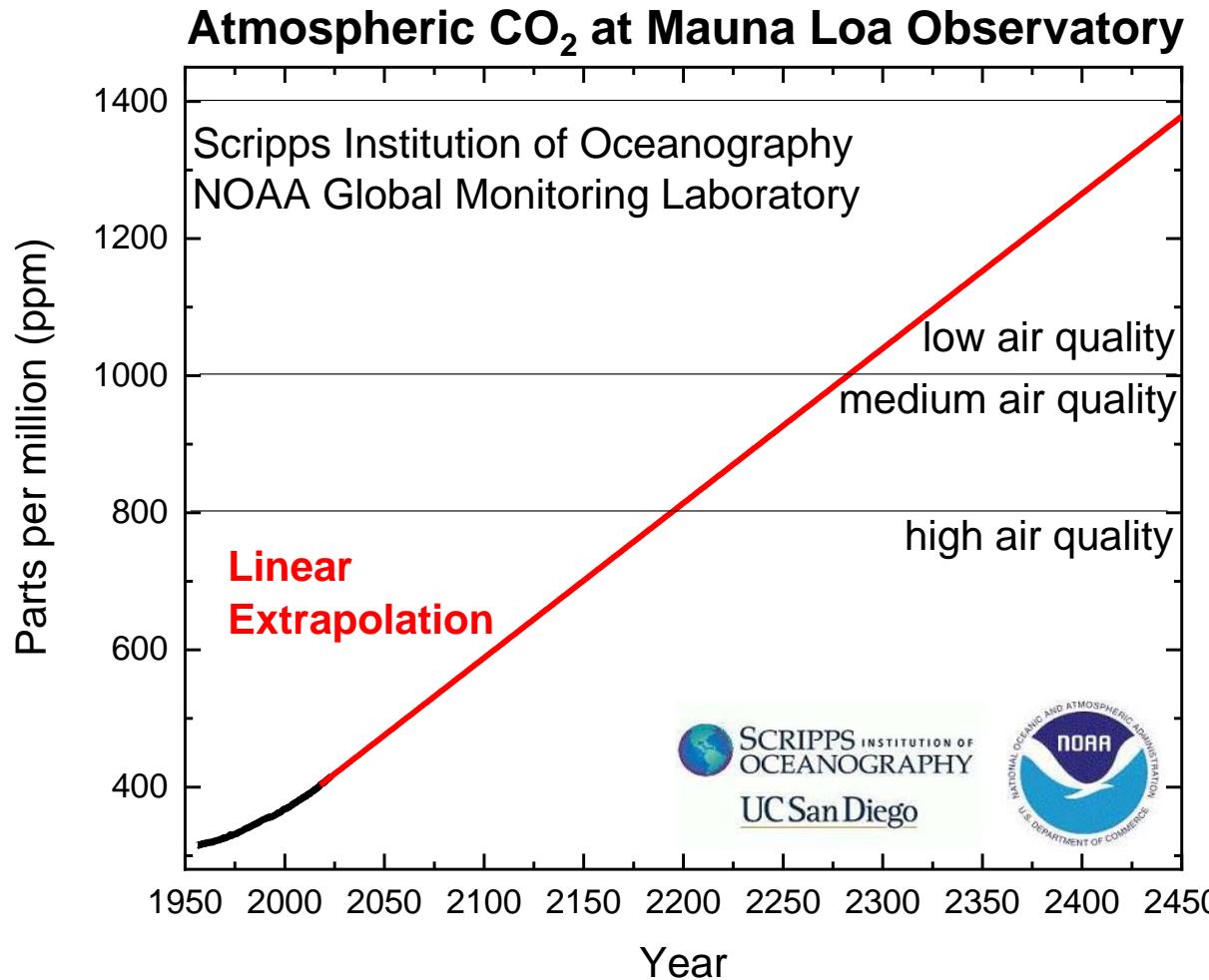
- Climate Change Due to Greenhouse Gases -

Greenhouse gas potential depends on the absorption spectra of trace gases and average global temperature of the Earth's surface ($T_e \sim 288\text{ K} \sim \text{Planck spectrum}$)



1. Challenges of the 21st Century

- Loss in Brain Power Due to CO₂ Emission -



1. Challenges of the 21st Century

- Causes of Greenhouse Gas Emission -

CO_2

- Illumination (5%)
- Transport (~ 25%)
- Buildings (6%)
- IT (2%)
- Steel production (5%)
- Cement production (6-7%)
- Ammonia synthesis (1-2%)
- Chloralkali electrolysis (~1%)

- LED technology
- Novel engines and fuels
- Thermal insulation
- Server architecture, PV use
- H_2 as reductive agent
- Reduction of cement fraction in concrete
- N_2 hydration by water vapor, N_2 photolysis
- Change to membrane process, heat recovery



$\text{CH}_4/\text{N}_2\text{O}$

- Agriculture and feedstock
- HNO_3 and Nylon production

- Reduction of meat consumption
- Optimisation of Ostwald process,



SF_6/NF_3

- (Consumer) Electronics

- Other insulator gases, optimisation of processes for the production of displays & solar cells



1. Challenges of the 21st Century

Energy Efficient Date Storage and Transfer: Rebound Effect

Discoveries & inventions for knowledge management

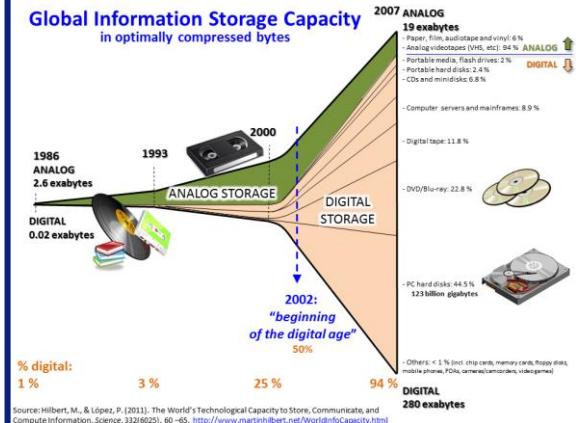
- 1015 Ibn Al Haythem (Basra, 965 – 1040) "Book of Optics"
- 1455 Gutenberg Bible: 1st book printed with movable metal types
- 1815 Fresnel and the wave nature of light
- 1865 Maxwell and electromagnetic waves
- 1915 General relativity – light in space and time
- 1945 Z4 of Konrad Zuse (2200 Relais)
- 1965 Cosmic microwave background
& Optical fibre technology
- 1989 Birth year of the WWW
- 2002 Beginning of the digital age
- 2007 ~ 300 exabyte stored
- 2010 50 Gbps transmitter (by four laser)
- 2014 Data transfer rate > 100 Gbps
- 2015 International Year of Light (IYL), > 1 ZB stored....
- 2018 ~ 4-5 ZB stored, 1+ bill. google searches,
294 bill. mails, and 230 mill. tweets/day
- 2020 ~ 44 ZB stored
- 2030 Internet ~ 21% of projected electricity demand (Ref.: Nature 561 (2018) 163)



Energy demand / bit ↓

Efficiency ↑

Limit: $E_{\text{bit,min}} = \ln(2) \cdot k_B \cdot T$



Data volume ↑↑↑

2. Metals and Materials - Electronics 18

1	Groups												18																						
1													2																						
3	Li	4	Be													10	Ne																		
11	Na	12	Mg	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17																	
19	K	20	Ca	21	Sc	22	Ti	23	V	24	Cr	25	Mn	26	Fe	27	Co	28	Ni	29	Cu	30	Zn	31	Ga	32	Ge	33	As	34	Se	35	Br	36	Kr
37	Rb	38	Sr	39	Y	40	Zr	41	Nb	42	Mo	43	Tc	44	Ru	45	Rh	46	Pd	47	Ag	48	Cd	49	In	50	Sn	51	Sb	52	Te	53	I	54	Xe
55	Cs	56	Ba	57	La	72	Hf	73	Ta	74	W	75	Re	76	Os	77	Ir	78	Pt	79	Au	80	Hg	81	Tl	82	Pb	83	Bi	84	Po	85	At	86	Rn
87	Fr	88	Ra	89	Ac	104	Rf	105	Db	106	Sg	107	Bh	108	Hs	109	Mt	110	Ds	111	Rg	112	Cn	113	Nh	114	Fl	115	Mc	116	Lv	117	Ts	118	Og

58	Ce	59	Pr	60	Nd	61	Pm	62	Sm	63	Eu	64	Gd	65	Tb	66	Dy	67	Ho	68	Er	69	Tm	70	Yb	71	Lu
90	Th	91	Pa	92	U	93	Np	94	Pu	95	Am	96	Cm	97	Bk	98	Cf	99	Es	100	Fm	101	Md	102	No	103	Lr

Energy storage materials

Active or passive components

Electrical engines/magnets

Detectors/catalysts

Solid oxid fuel cells (components)

Electrode/conductor materials

2. Metals and Materials - Lighting

Groups	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
Periods	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	H	He											B	C	N	O	F	Ne
2	Li	Be											Al	Si	P	S	Cl	Ar
3	Na	Mg	3	4	5	6	7	8	9	10	11	12						
4	K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
5	Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
6	Cs	Ba	La	Hf	Ta	W	Ru	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
7	Fr	Ra	Ac	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118
				72	73	74	75	76	77	78	79	80	81	82	83	84	85	86
				58	59	60	61	62	63	64	65	66	67	68	69	70	71	
				Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu	
				90	91	92	93	94	95	96	97	98	99	100	101	102	103	Lr
				Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No		

Filling of metal halide lamps
Electrode component

Activator in phosphors/laser gain media
Host component of phosphors/laser gain media

2. Metals and Materials

Transition Metals

Electron configuration of the 3d transition metals

	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn
4s	2	2	2	1	2	2	2	2	1	2
3d	1	2	3	5	5	6	7	8	10	10

Electron configuration of the 4d transition metals

	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd
5s	2	2	1	1	1	1	1	0	1	2
4d	1	2	4	5	6	7	8	10	10	10

Electron configuration of the 5d transition metals

	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg
6s	2	2	2	2	2	2	2	1	1	2
5d	1	2	3	4	5	6	7	9	10	10

Transition metals are very common catalysts due to

- many redox states
- coordinative bonds
- crystal-field effects
- high-spin \leftrightarrow low-spin transitions
- cluster formation
- magnetic interaction
- rather high abundance of 3d transition metals and thus reasonable costs
- long-term catalytic activity (Ru, Rh, Pd, Re, Ir, and Pt)

\Rightarrow Stable configurations due to lower energetic states of the nd-orbitals

2. Metals and Materials

The Transition Metal Iron

Use in Ferrites

Magneto ceramic materials

⇒ Binary/ternary iron oxides



Soft ferrites

- are easily and efficiently magnetised by an external magnetic field
- Magnets in writing and reading heads in audio and video recorders, hard drives etc.
- Electrical isolators, ferrimagnetic compounds with low saturation magnetisation in combination with low anisotropy in terms of the crystal structure
- MFe_2O_4 (spinels) with $M = Zn^{2+}, Mn^{2+}, Ni^{2+}, Co^{2+}, Mg^{2+}$
- Typical composition: Fe-Mn-Zn-oxide with 70% Fe, 25% Mn, and 5% Zn

Hard ferrites

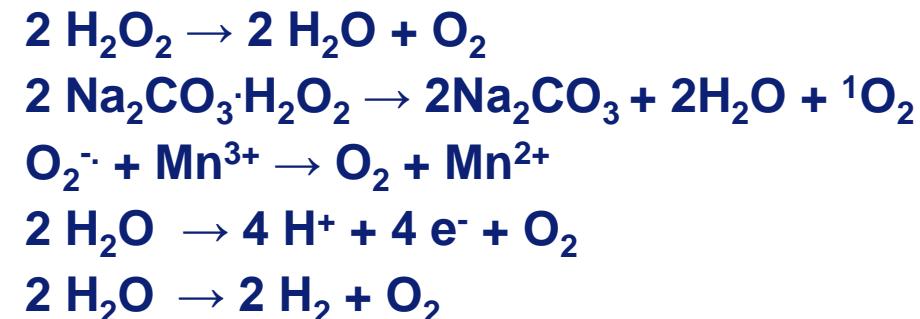
- maintain their magnetic properties after the initial magnetisation
- Permanent magnets in electric motors, speaker, generators, and son on
- $MFe_{12}O_{19}$ with $M = Sr^{2+}, Ba^{2+}$

2. Metals and Materials

The Transition Metal Manganese

Mn Ions in (photo)catalysis

- a) Mn dependent catalase
- b) Percarbonate decomposition
- c) Superoxide dismutase
- d) Photosystem II
- e) Photocatalytic water splitting



Mn Ions in photoluminescence

- a) Green CRT and PDP phosphor
- b) Green FL phosphor
- c) Orange electroluminesc. pigment
- d) Dichromatic FL phosphor
- e) Red LED phosphor
- f) Deep red FL phosphor



2. Metals and Materials

Rare Earth Metals

Metals

[Xe]	La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
6s	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
5d	1	1	0	0	0	0	0	1	0	0	0	0	0	0	1
4f	0	1	3	4	5	6	7	7	9	10	11	12	13	14	14

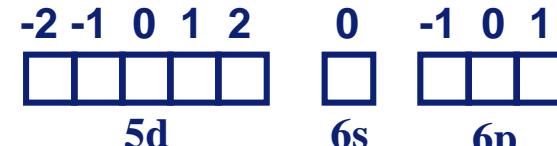
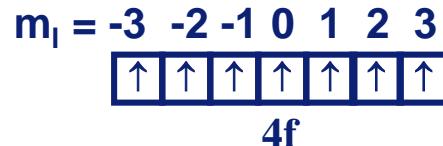
Cations

[Xe]	La ³⁺	Ce ³⁺	Pr ³⁺	Nd ³⁺	Pm ³⁺	Sm ³⁺	Eu ³⁺	Gd ³⁺	Tb ³⁺	Dy ³⁺	Ho ³⁺	Er ³⁺	Tm ³⁺	Yb ³⁺	Lu ³⁺
	Ce ⁴⁺	Pr ⁴⁺	Nd ⁴⁺					Sm ²⁺	Eu ²⁺	Dy ⁴⁺					
								Tb ⁴⁺					Tm ²⁺	Yb ²⁺	

4f	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
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Electron configuration

e.g. of Gd³⁺/Eu²⁺/Tb⁴⁺ [Xe]4f⁷



Ce³⁺ - Yb³⁺, Pr⁴⁺, Nd⁴⁺, Tb⁴⁺, Dy⁴⁺, Sm²⁺, Eu²⁺, Tm²⁺
 Gd⁰, Tb⁰, Dy⁰

→ paramagnetic ions
 → ferromagnetic ordering ($T_c < RT$)

2. Metals and Materials

Rare Earth Alloys and Compounds: Application Areas in Electrics

<i>Magnets</i>	<i>Superconductors</i>	<i>Ion Conductors</i>	<i>Thermistors</i>
Engines, generators, speakers, micro- phones, telephones, headphones, hearing aids, magnetic couplers, sensors, cranes, overhead platforms,	NMR devices Particle accelerators Fusion reactors SQUIDs	Fuel cells Lambda probes Sensors	Temperature sensors Inrush current limiter Voltage stabilisers
$\text{Nd}_2\text{Fe}_{14}\text{B}$ SmCo_5 $\text{Sm}_2\text{Co}_{17}$	$(\text{La.Ba})_2\text{CuO}_4$ $\text{YBa}_2\text{Cu}_3\text{O}_7$	$\text{LaCoO}_3:\text{Sr}$ $\text{CeO}_2:\text{Sm}$ $\text{ZrO}_2:\text{Y}$ $\text{LaCeO}_3:\text{Ba}$	$\text{Sm}_2\text{O}_3\text{-Tb}_2\text{O}_3$

2. Metals and Materials

Rare Earth Alloys and Compounds: Advantages in Magnets

Highly paramagnetic as cations

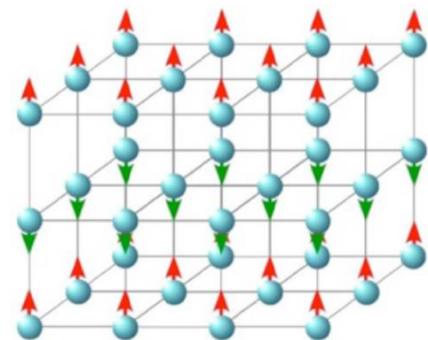
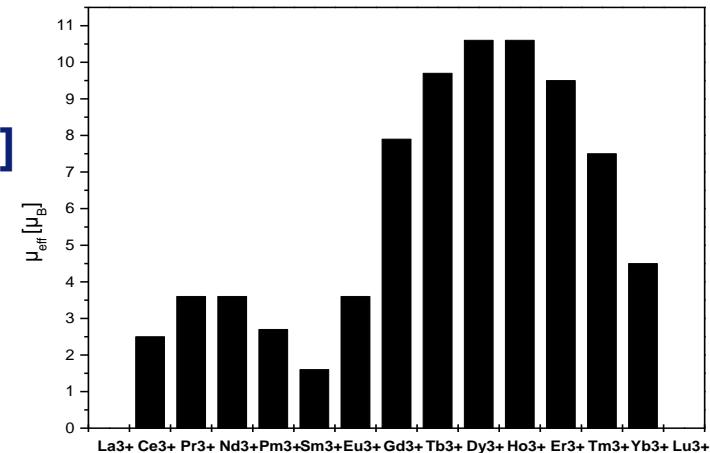
- $\text{Gd}^{3+} \Rightarrow$ magnetic contrast agent [$\text{Gd}^{3+}(\text{dota})$]
- $\text{Dy}^{3+}/\text{Ho}^{3+} \Rightarrow$ maximal magnetic moment of all elemental cations $\sim 10.6 \mu_B$
- For comparison: $\text{Fe}^{3+}/\text{Mn}^{2+} \mu_{\text{eff}} = 5.9 \mu_B$

Ferromagnetic as metal or alloy

- Gd/Tb/Dy
- $\text{Nd}_2\text{Fe}_{14}\text{B}$
- SmCo_5 and $\text{Sm}_2\text{Co}_{17}$

As building block in ferromagnetic materials

- $\text{Y}_3\text{Fe}_5\text{O}_{12}$ „YIG“
- $\text{Gd}_3\text{Fe}_5\text{O}_{12}$ „GdIG“



**Ferromagnetic ordering
in 4f ferromagnets**

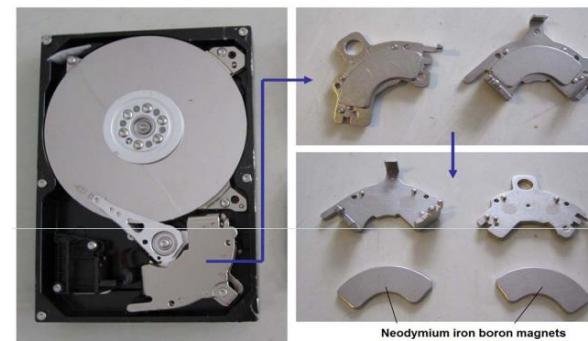
2. Metals and Materials

Application Areas of $\text{Nd}_2\text{Fe}_{14}\text{B}$, SmCo_5 , and $\text{Sm}_2\text{Co}_{17}$

- Application in electric engines in automotive industry
 - > 25 actuating motors per car
 - electric drive & brake



- Hard Disc Drives (HDDs)
Magnets: 2 wt-% of HDD
Rare earths: 0.6 wt-% of HDD



- Wind power stations
Off-shore: 650 kg Nd/station
~ 100 kg/MW power output



2. Metals and Materials

Magnetic Properties of TM and RE Based Alloys

Important figures of magnetic materials:

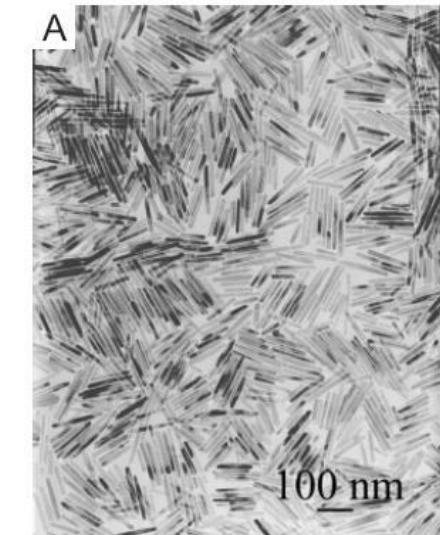
- Coercitive field strength ⇒ **Soft- or hard magnetic materials**
- Energy density ⇒ Conversion efficiency

Alloy	Coercitive field strength H_c [kA/m]	Typical energy density $(BH)_{max}$ [kJ/m ³]
Steel (0.9% C, 1.0% Mn)	4	1.6
Martensitic Steel (9% Co)	11	3.3
AlNiCo (21% Ni, 12% Al, 5% Co, Fe)	35	11
CuNiFe (60% Cu, 20% Fe, 20% Ni)	44	12
$\text{SrFe}_{12}\text{O}_{19}$	260	29
SmCo_5	760	200
$\text{Sm}_2\text{Co}_{17}$	720	250
$\text{Nd}_2\text{Fe}_{14}\text{B:Dy,Pr}$	880	360

2. Metals and Materials

Replacement of $\text{Nd}_2\text{Fe}_{14}\text{B}$, SmCo_5 , and $\text{Sm}_2\text{Co}_{17}$?

- Permanent magnets on the basis of iron oxides by addition of other oxides?
Problem: Energy product $(\text{BH})_{\max} \sim 10$ times smaller than that of RE magnets
⇒ Not applicable in high performance engines and turbines!
- Nanoscale Fe/Co compounds?
Nano rods with magnetic ordering resulting in a matrix of particles which are equal to ferromagnetic domains
⇒ Rather demanding technology
- Molecular magnets?
 $[\text{Mn}_{12}\text{O}_{12}(\text{CH}_3\text{COO})_{16}(\text{H}_2\text{O})_4] \cdot 2\text{CH}_3\text{COOH} \cdot 4\text{H}_2\text{O}$ „Mn₁₂ac“
Prussian Blu analagous: „Fe₄“ or „Fe₈“
⇒ long-term R&D projects



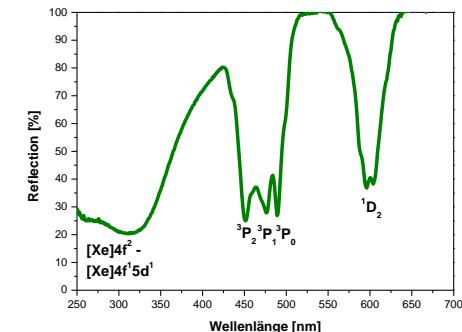
2. Metals and Materials

Optical Properties of RE: Absorption

La La_2O_3 Highly refractive glasses for lenses
in cameras or telescopes (Galilei type)



Ce $\text{Ce}_2\text{O}_3/\text{CeO}_2$ UV filters in light sources



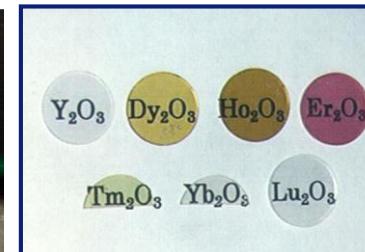
Pr $\text{Pr}_2\text{O}_3/\text{PrO}_2$ Colour filters



Nd Nd_2O_3 Colour filters

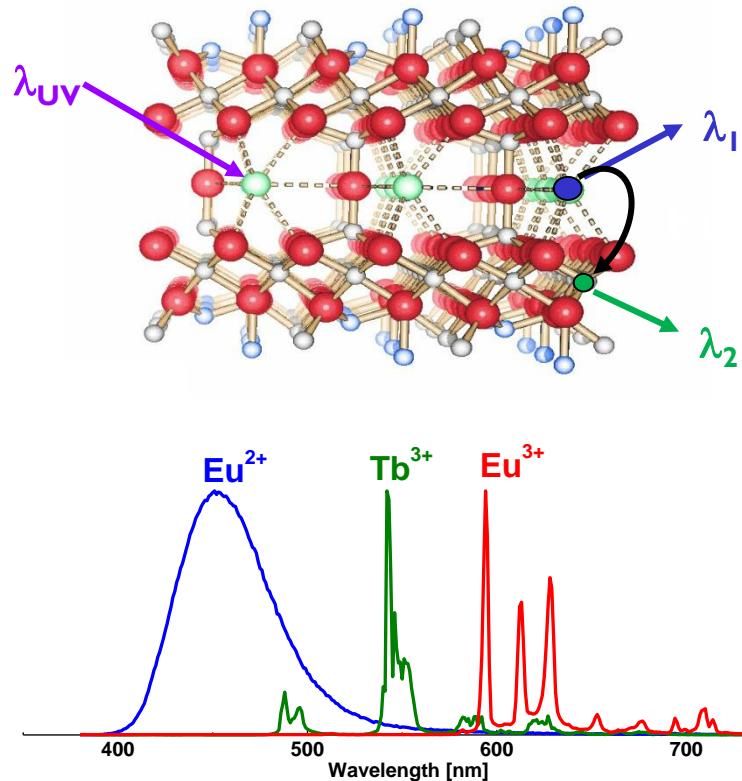


Tb $\text{KTb}_3\text{F}_{10}$ High power laser Faraday isolator

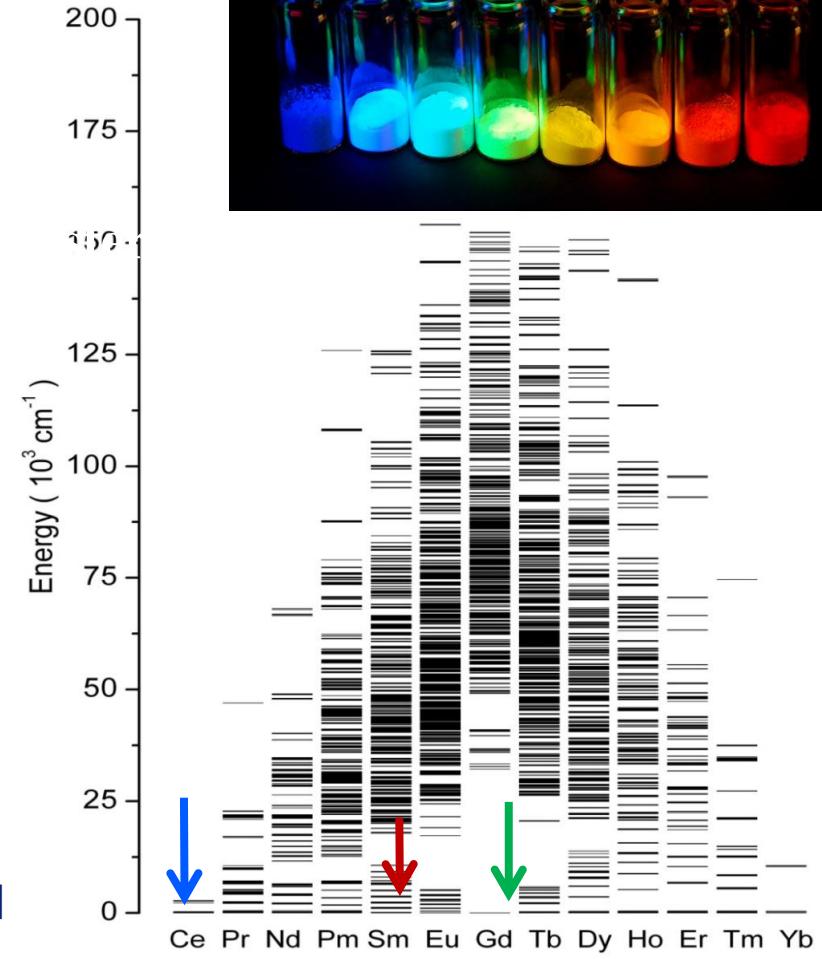


2. Metals and Materials

Optical Properties of RE: Luminescence

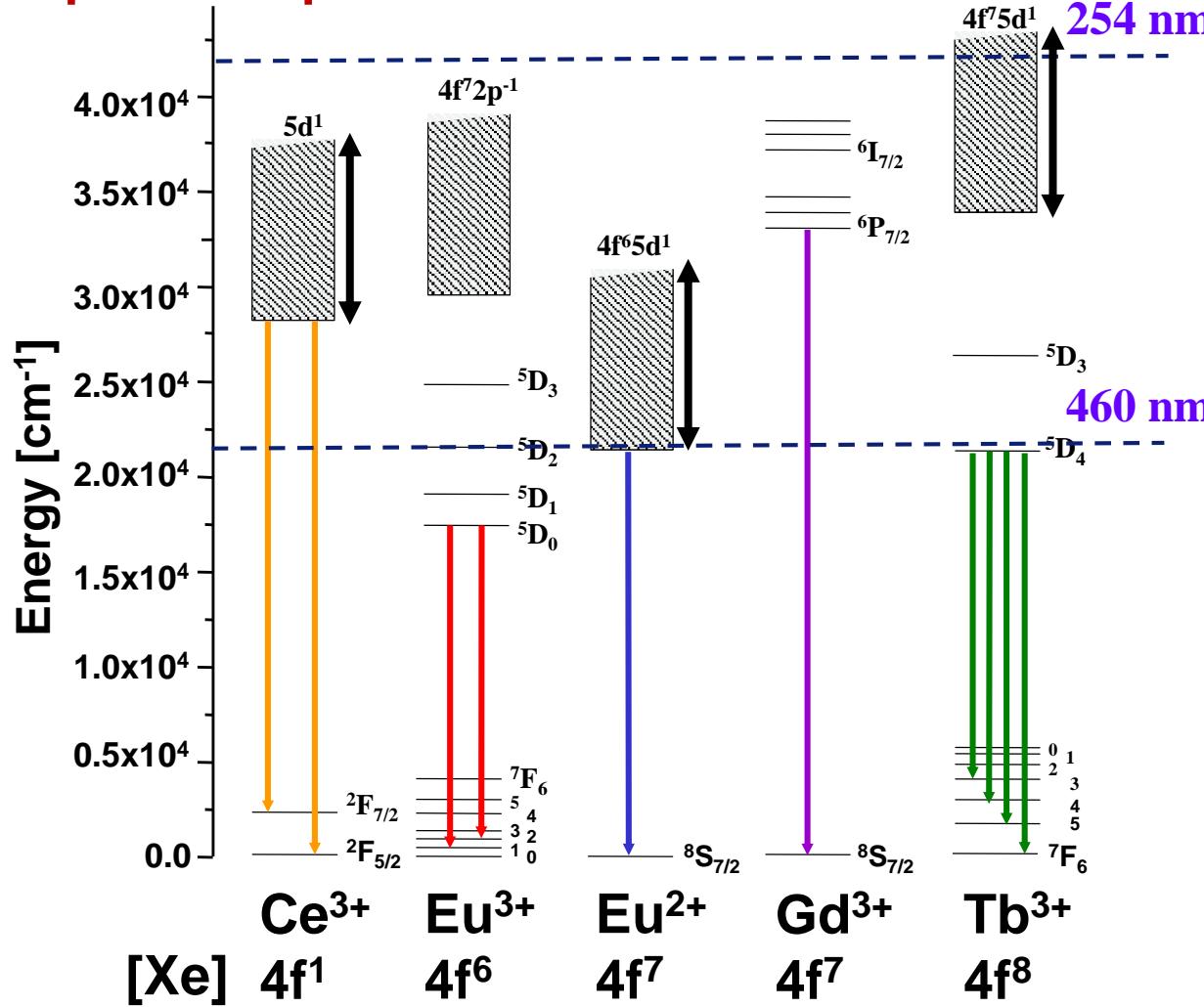


$\text{Ln}^{3+} \Rightarrow$ Multiple energy levels and thus many optical transitions in the UV, VIS and NIR range



2. Metals and Materials

Optical Properties of selected RE ions



Typical Line emitter

Pr ³⁺	Scintillators
Nd ³⁺	Laser gain media
Sm ^{2+/3+}	Detectors
Eu ³⁺	Fluorescent lamps
Gd ³⁺	UV-B lamps
Tb ³⁺	Fluorescent lamps
Dy ³⁺	Afterglow pigments
Ho ³⁺	Laser gain media
Er ³⁺	Laser
Tm ³⁺	Plasma displays
Yb ³⁺	Laser gain media

Typische Bandenemitter

Ce ³⁺	LEDs, UV lamps
Pr ³⁺	Detectors
Nd ³⁺	UV lamps
Eu ²⁺	LEDs
Yb ²⁺	Laser

2. Metals and Materials

Rare Earth Alloys and Compounds: Application Areas in Optics

Thermo luminescence

Gas mantle:
99% ThO₂ + 1% CeO₂



Flint stones:
„Misch metal“ =
30% Fe + 70% La-Sm

Thermal Radiators

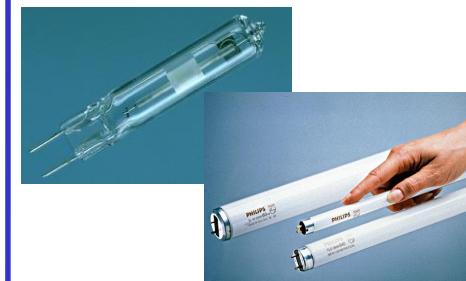
Incandescent and halogen lamps



Glass additives
La₂O₃ / Ce₂O₃

Low and High Pressure Discharges

Na and Hg Vapour lamps
Metal halide lamps



Electrodes: Sc³⁺, Y³⁺
Gas fillings:
DyI₃, HoI₃, TmI₃ + Phosphors

Electro luminescence

Inorganic LEDs,
OLEDs, and PLEDs



Ceramic lenses
Y, La, Ce, Eu, Gd, Tb, Lu comprising Phosphors

3. Matter Radiation Interaction

Physics and chemistry of the photon are key for advances in 21st century

1. Life Science

- Photoreactor: Earth's atmosphere and „surface layer“
- Photoreactor: Plants and algae → Photosynthesis
- Photoreactor: Eye
- Photoreactor: Skin
- Medical diagnostics
- Medical therapy

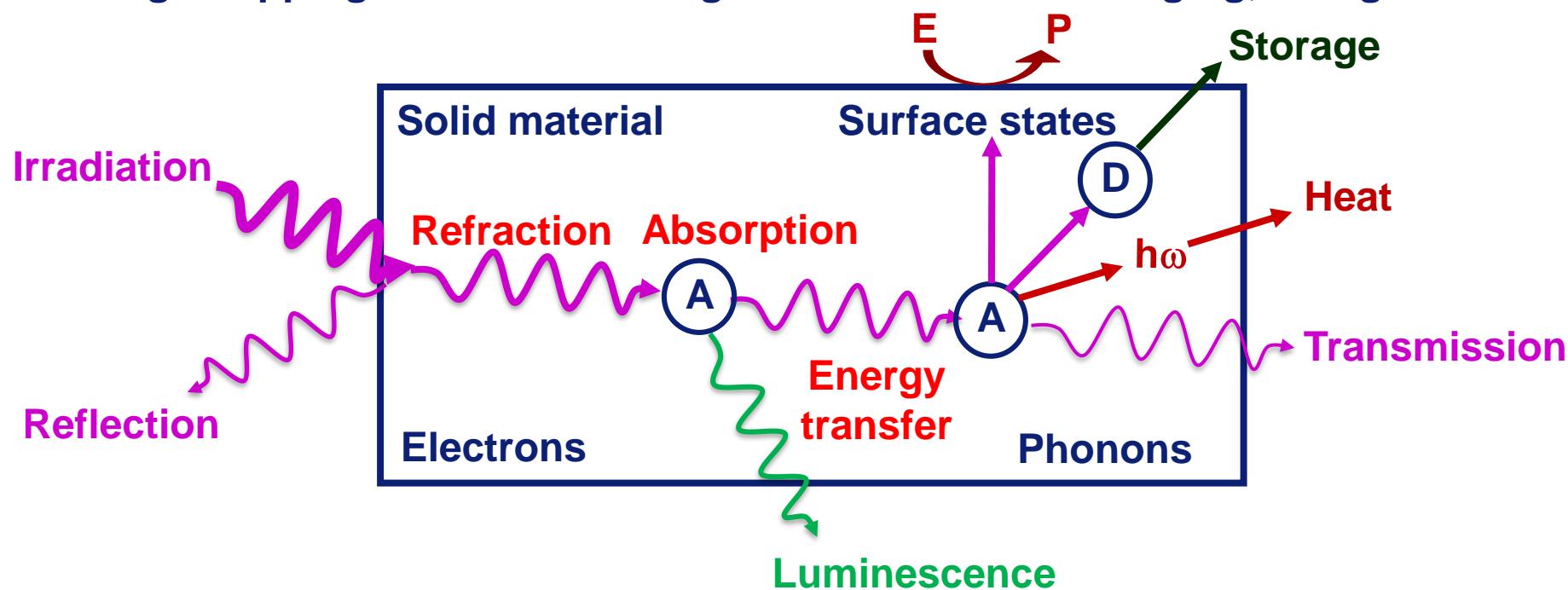
2. Material Science

- Converter for LEDs, Laser diodes, and OLEDs
- Novel materials for photovoltaic cells
- Photocatalysts for photoreactors (solar chemistry)
- Photonic sensors
- Photonic switches
- Photonic computing
- Solar driven disinfection

3. Matter Radiation Interaction

Inorganic Solid State Materials

- Absorption
 - Activation
 - Emission
 - Charge separation
 - Charge trapping
- Photothermalisation
 - Photochemistry
 - Photoluminescence
 - Photoconductivity
 - Photostorage
- Pigments
 - Photocatalysts
 - Phosphors
 - Photovoltaics
 - Photoimaging, afterglow



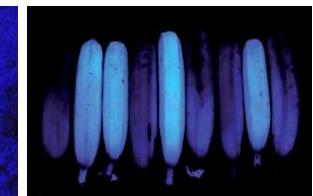
3. Matter Radiation Interaction

Organic Biomatter

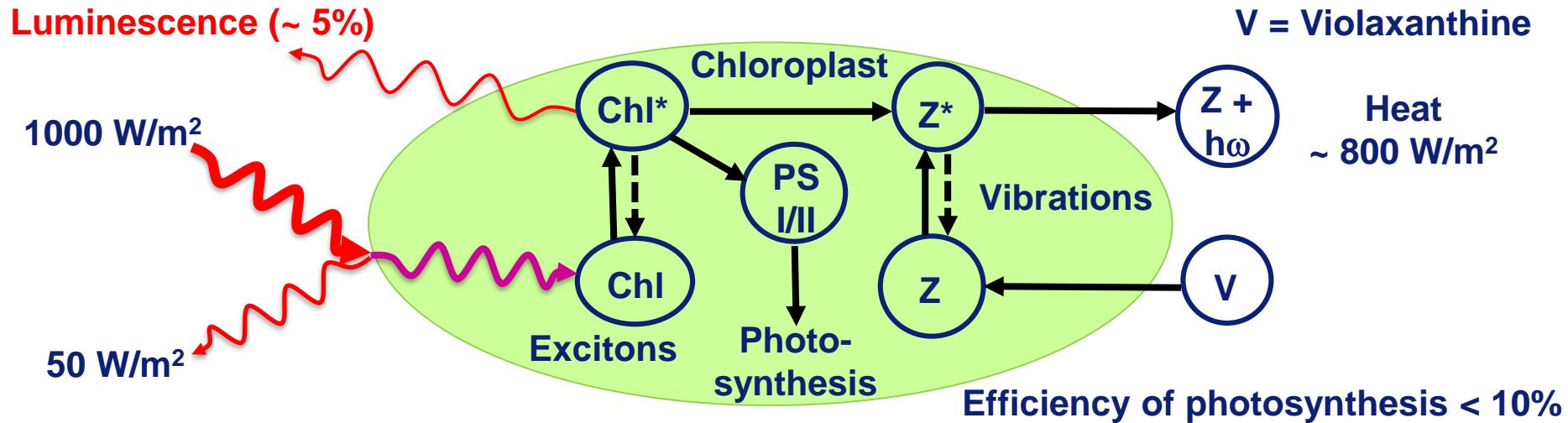
- Absorption
- Activation
- Emission
- Charge separation
- Charge trapping

Multiphononrelaxation
Photochemistry
Photoluminescence
Water cleavage
Photostorage

Plant pigments
Photocatalytic pigments
Plants, scorpions
Photosynthesis
???



Z = Zeaxanthine
V = Violaxanthine



3. Matter Radiation Interaction

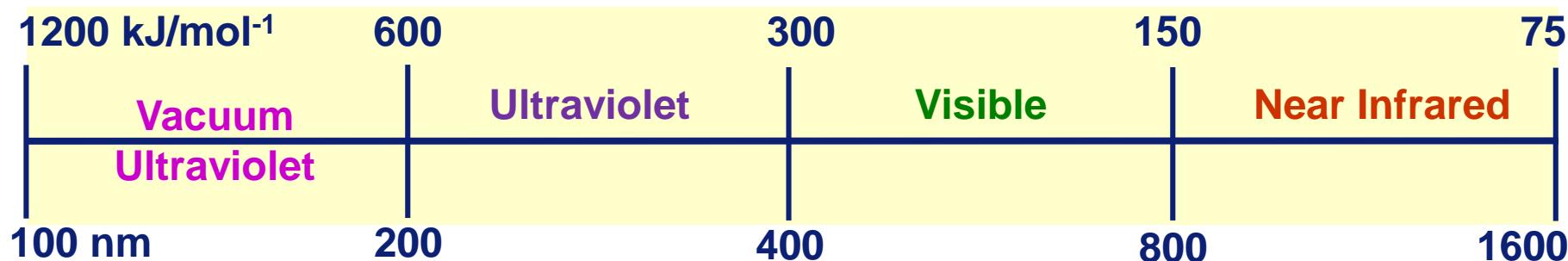
Photochemistry

Energy of chemical bonds
10 – 1100 kJ/mol

Energy of optical radiation

$$E = N_A hc/\lambda = 119226 / \lambda \text{ kJmol}^{-1}$$

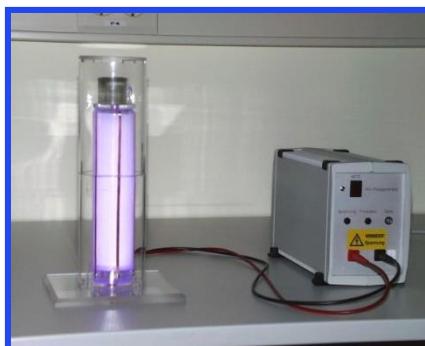
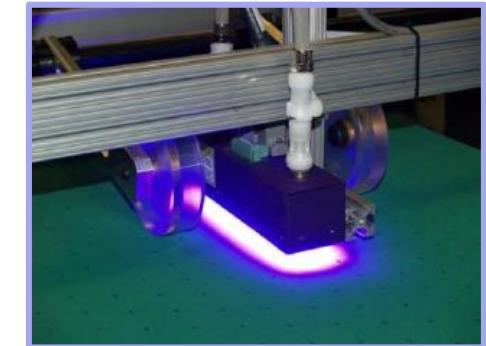
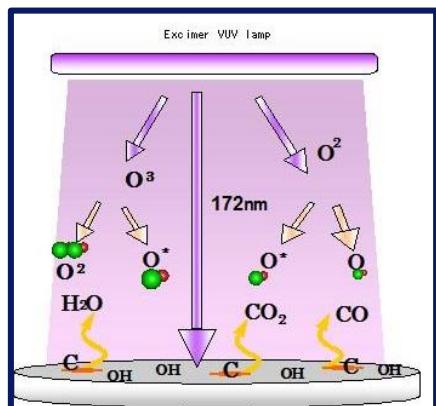
E-E	100 – 500 kJ/mol	F-F C-C	159 kJ/mol 348 kJ/mol
E=E	400 – 700 kJ/mol	O=O C=C	498 kJ/mol 648 kJ/mol
E≡E	800 – 1100 kJ/mol	N≡N C≡C	946 kJ/mol 839 kJ/mol
H-bridges 10 - 160 kJ/mol Van-der-Waals		H···F > H···O > H···N 0.5 - 5 kJ/mol	



Thus (V)UV to VIS radiation is able to cleave covalent chemical bonds

3. Matter Radiation Interaction

Penetration Depth of UV Radiation



UV-C

UV-B

UV-A

Vacuum UV

Penetration depth increases ... but solely within μm range

100 nm

200 nm

280 nm

320 nm

400 nm

3. Matter Radiation Interaction

Into Earth's Atmosphere

Vacuum UV (100 - 200 nm)

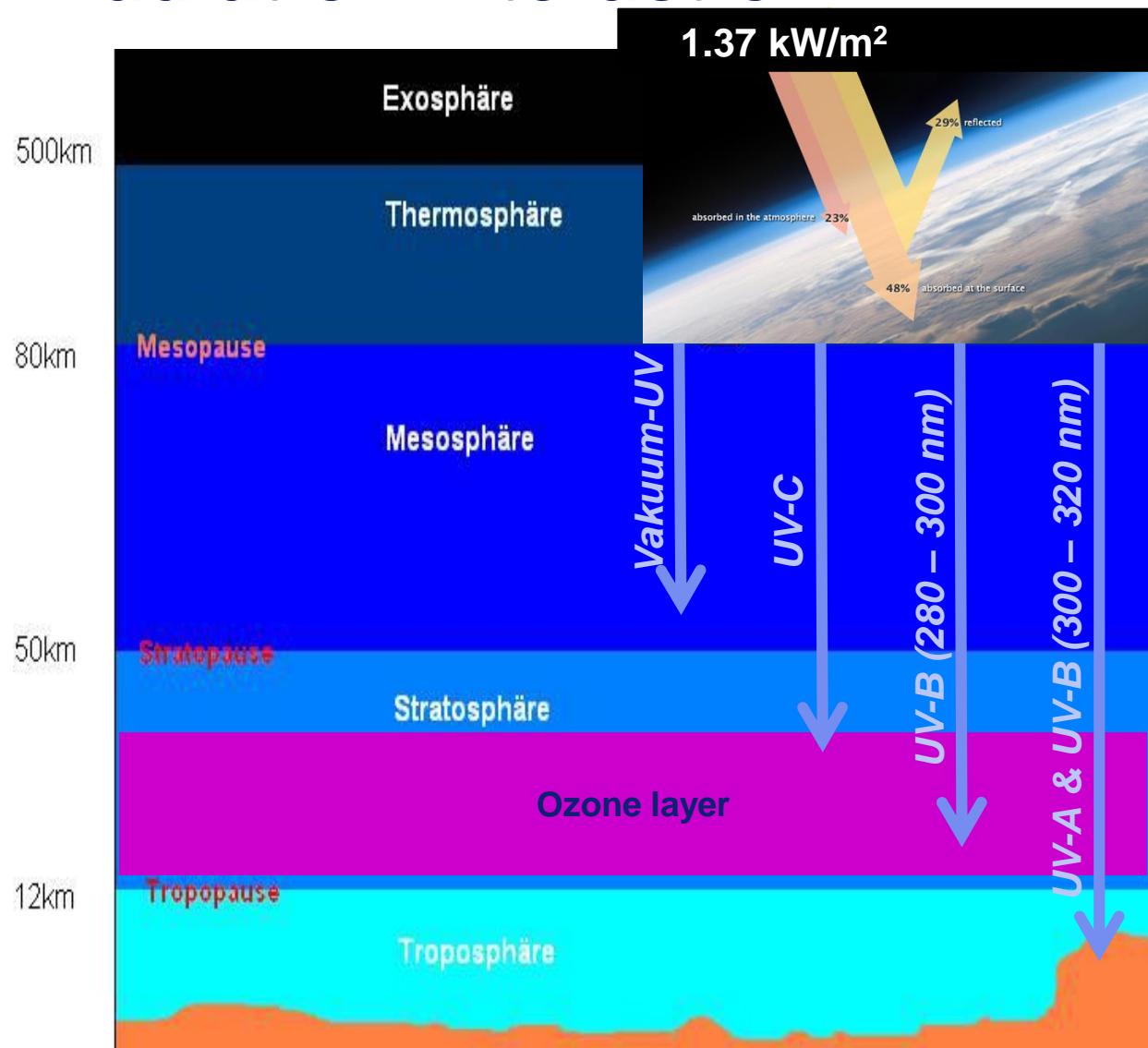
- Photolysis of water
- Cleavage of N₂ and O₂
- Ozone formation

UV-C (200 - 280 nm) & UV-B (280 - 300 nm)

- Ozone cleavage

UV-B (300 - 320 nm) & UV-A (320 - 380 nm)

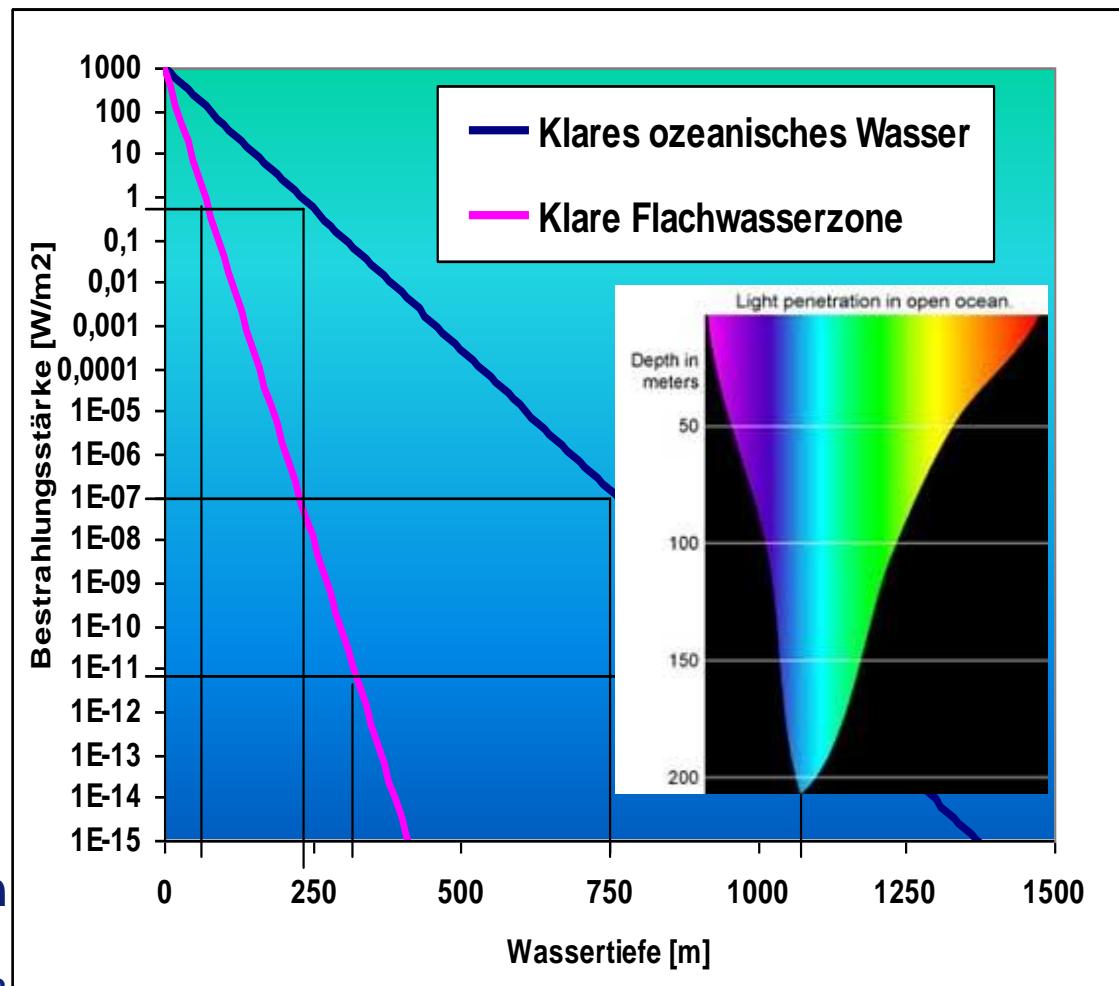
- Photochemical decline of air pollutants
- Ozon formation in presence of NO_x
- Disinfection at photo-catalytically active sites



3. Matter Radiation Interaction

Into Water

- Power density @ surface:
 1000 W/m^2
- Photosynthesis feasible:
 $1 - 10 \text{ W/m}^2$
- Phototaxis of crustacea:
 $10^{-7} - 10^{-8} \text{ W/m}^2$
(Full moon $\sim 5 \times 10^{-3} \text{ W/m}^2$)
- Light perception:
Deep sea fish 10^{-11} W/m^2
Comparison: Scotopic vision
Homo sapiens: 10^{-7} W/m^2
Perception limit at 10^{-12} W/m^2
(~ star of 6th magnitude)



UV radiation solely penetrates surface layer!

3. Matter Radiation Interaction

Into Biomatter

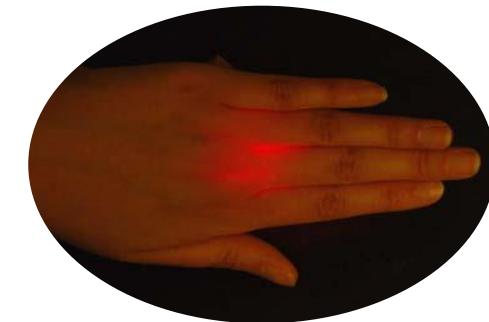
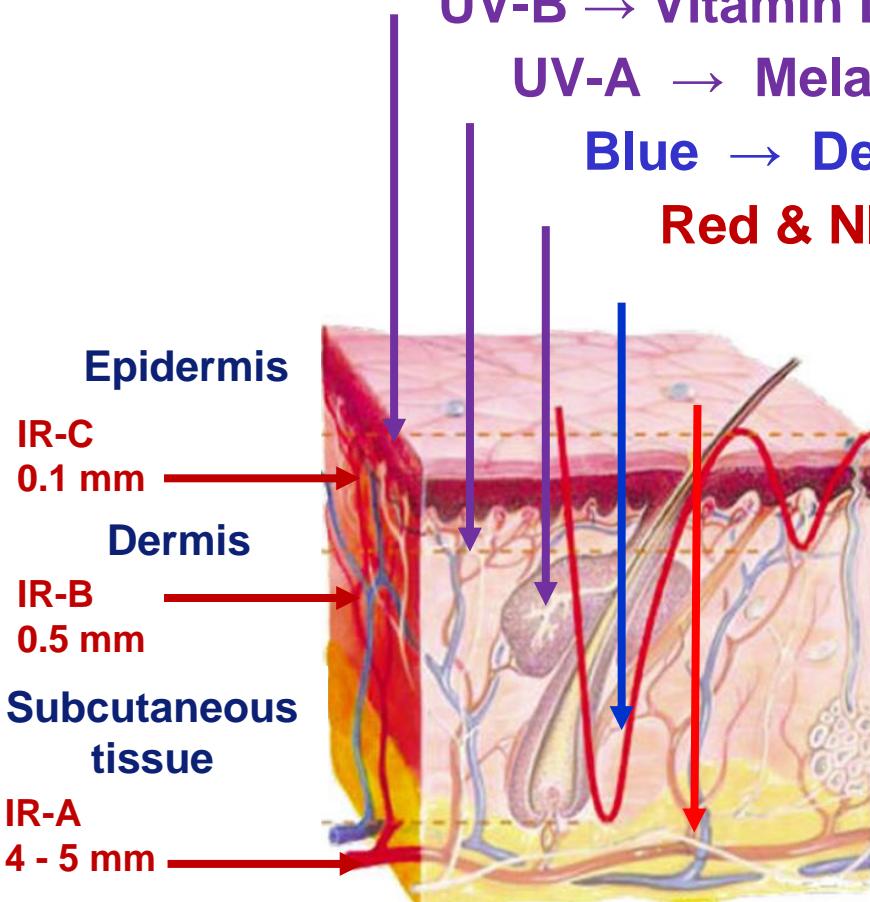
UV-C → Genetic damages

UV-B → Vitamin D formation

UV-A → Melanin oxidation

Blue → Degradation of bilirubin, NO formation

Red & NIR → Vasodilatory effect



- Red and NIR radiation is able to penetrate epidermis, dermis, and subcutaneous tissue (up to ~ 5 mm)
- UV radiation impact is limited to surfaces (up to ~ 50 µm)
- VUV radiation is even heavily absorbed by water and air

3. Matter Radiation Interaction - Sources

Overview

Solar radiation

Hg discharge lamps

- low pressure

- amalgam

- medium pressure

Xe/(Hg) discharge lamps

D₂ discharge lamps

Excimer lasers

- ArF*

Excimer discharge lamps (Dielectric Barrier Discharges: DBD)

- Xe₂*

- KrCl*

- XeBr*

- XeCl*

Solid state lasers

- Al₂O₃:Cr

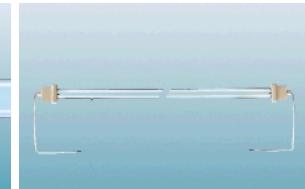
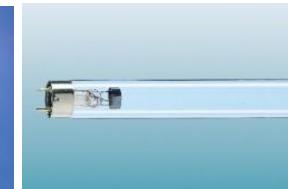
- Al₂O₃:Ti

- YAG:Nd

(Al,Ga)N LEDs

(In,Ga)N LEDs

> 300 nm



185, 254 nm

185, 254 nm

200 – 400 nm

230 – 800 nm

110 – 400 nm

+ phosphor → 300 - 800 nm



193 nm

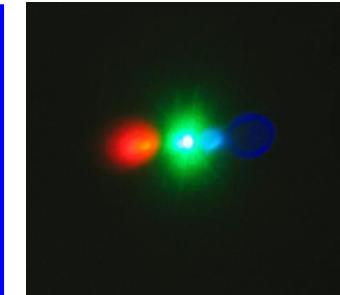
172 nm

222 nm

282 nm

308 nm

+ phosphor → 190 - 800 nm



694 nm

800 nm

1064 nm

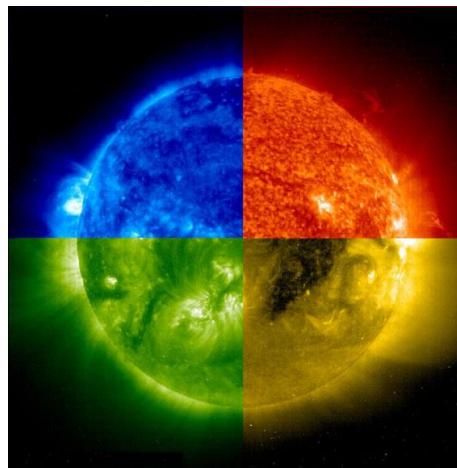
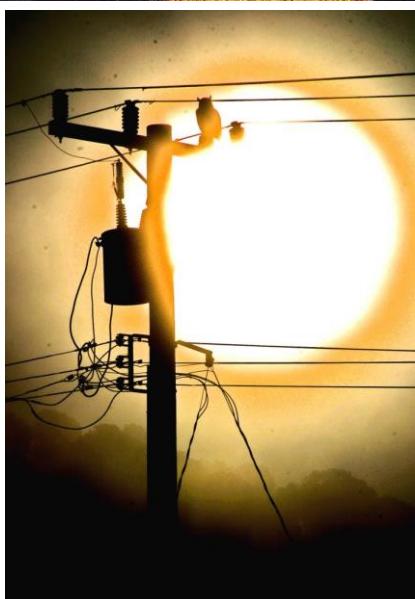
210 – 370 nm

370 – 550 nm



3. Matter Radiation Interaction - Sources

The Sun – Gravity Center and Heat & Light Source of the Solar System



3. Matter Radiation Interaction - Sources

Photosynthesis: Almost All Energy Consumed by Living Organisms Stems from Solar Energy (Exception: Thermophiles in Deep Sea)

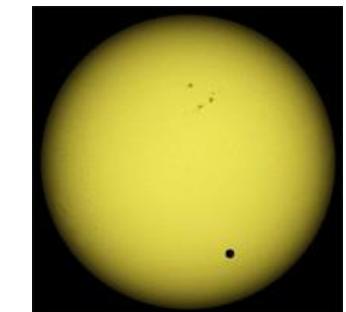
Energy source in solar system: The sun

Luminosity (radiation flux): $3.83 \cdot 10^{26}$ W

Annual radiation power: $1.24 \cdot 10^{34}$ J (at present)

Habitable zone:

Venus (early stage of solar system), earth (today), mars (late phase...)

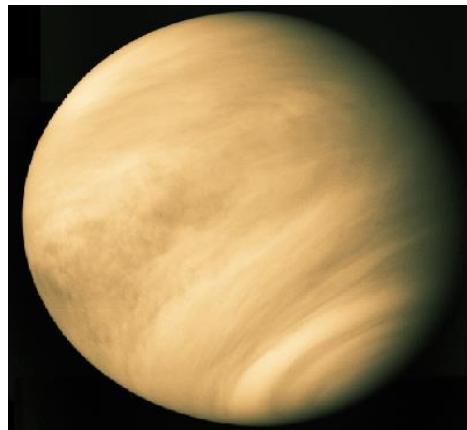


Planet	Perihelion- and aphelion-distance in astronomic units (149.6 Mio km)	Solar radiation maximum und minimum (W/m ²)
Mercury	0.3075 – 0.4667	14446 – 6272
Venus	0.7184 – 0.7282	2647 – 2576
Earth	0.9833 – 1.017	1413 – 1321
Mars	1.382 – 1.666	715 – 492
Jupiter	4.950 – 5.458	55.8 – 45.9
Saturn	9.048 – 10.12	16.7 – 13.4
Uranus	18.38 – 20.08	4.04 – 3.39
Neptune	29.77 – 30.44	1.54 – 1.47

3. Matter Radiation Interaction - Sources

Photosynthesis: The energetic base of the biosphere, i.e. Mnⁿ⁺ catalysed water splitting, $2 \text{ H}_2\text{O} \rightarrow 4 \text{ H}^+ + 4 \text{ e}^- + \text{O}_2 \uparrow$

Venus



2.61 kW/m^2

Albedo = 0.76

$\rightarrow T_E = 232 \text{ K}$

$96\% \text{ CO}_2 + 3\% \text{ N}_2 +$
 $\text{SO}_2 + \text{H}_2\text{O} + \text{Ar}$ (ppm)
 93 bar $\rightarrow T_{\text{eff}} = 740 \text{ K}$

Earth



$1.37 \text{ kW/m}^2 = 1.56 \cdot 10^{18} \text{ kWh/a}$

Albedo = 0.30

$\rightarrow T_E = 255 \text{ K}$

$78\% \text{ N}_2 + 21\% \text{ O}_2 + 0.9\% \text{ Ar}$
 $+ \text{CO}_2 + \text{H}_2\text{O} + \text{CH}_4$ (ppm)
 1 bar $\rightarrow T_{\text{eff}} = 288 \text{ K}$

Life = aquatic chemistry

Water $\rightarrow 2 \text{ H}_2$ and $\text{O}_2 \rightarrow$ energy!

Mars



0.59 kW/m^2

Albedo = 0.15

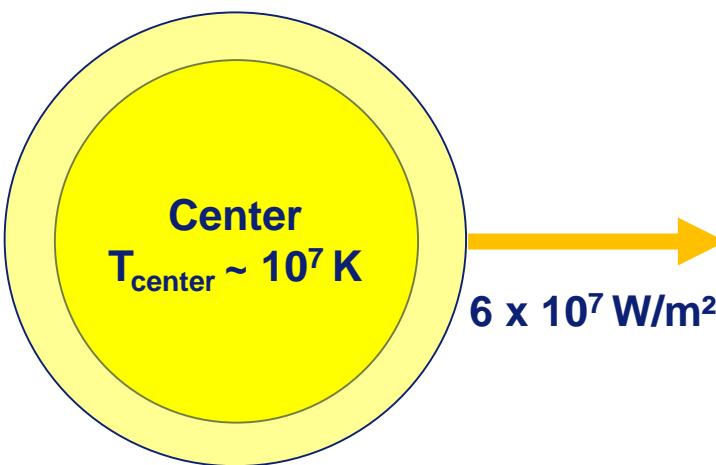
$\rightarrow T_E = 213 \text{ K}$

$95\% \text{ CO}_2 + 3\% \text{ N}_2 + 1.5\%$
 $\text{Ar} + \text{H}_2\text{O}$ (ppm)
 5.6 mbar $\rightarrow T_{\text{eff}} = 225 \text{ K}$

3. Matter Radiation Interaction - Sources

The Sun – Our Central Energy Source

Surface $T_{\text{Surface}} \sim 5800 \text{ K}$



Radiant power $3.8 \cdot 10^{26} \text{ W}$
Energy flux $1.24 \cdot 10^{34} \text{ J/a}$

For comparison:

Albedo $\sim 30\%$

1373 W/m^2

$\sim 240 \text{ W/m}^2$
absorbed
(globally &
yearly
average)

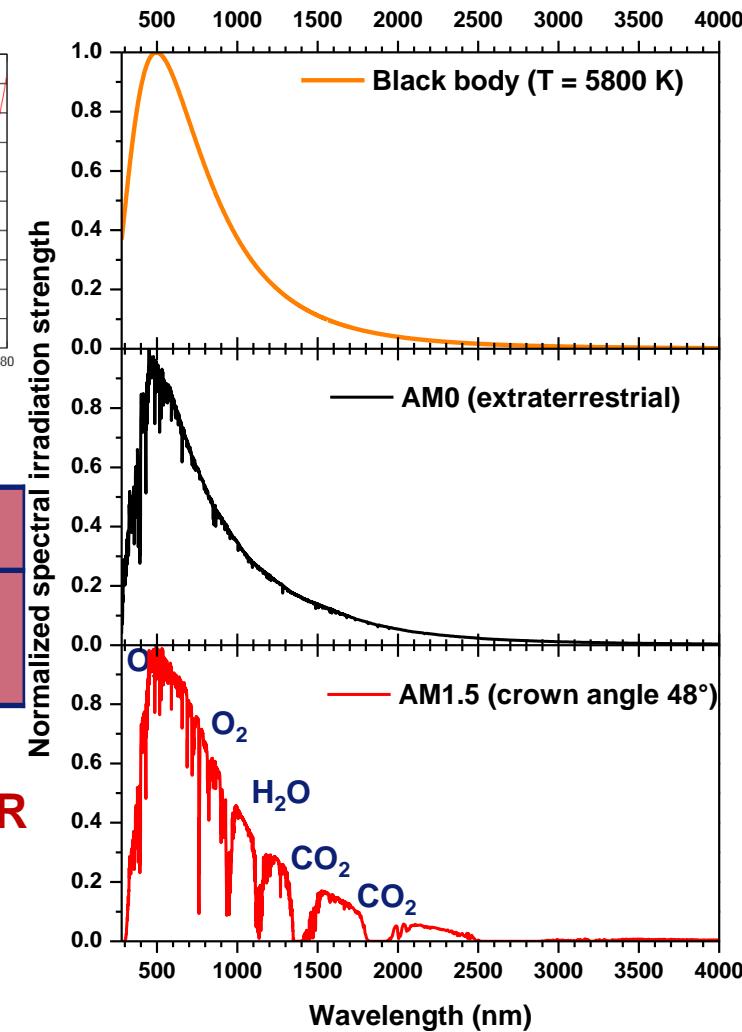
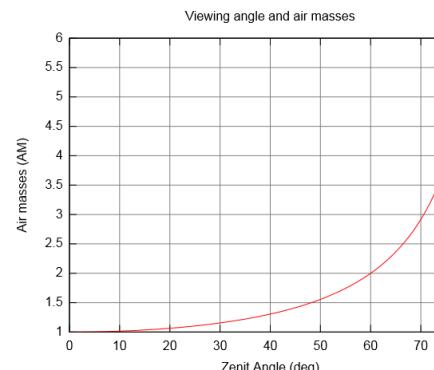
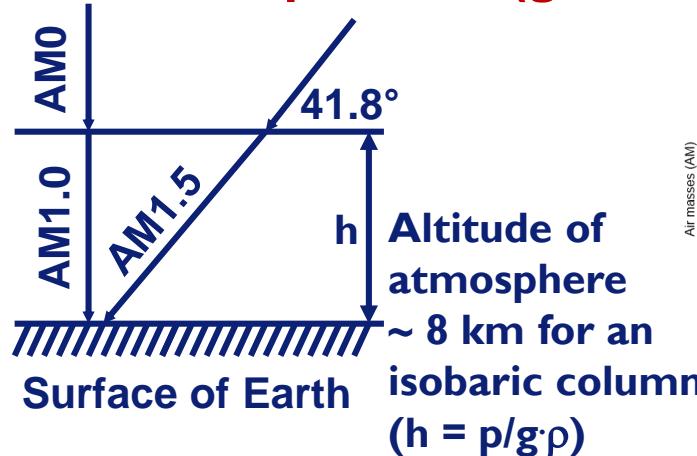
$\sigma T_E^4, T_E = 255 \text{ K}$

$1.3 \cdot 10^{17} \text{ W}$
 $4.1 \cdot 10^{24} \text{ J/a}$

Global energy consumption 2015 $\sim 5.2 \cdot 10^{20} \text{ J/a}$

3. Matter Radiation Interaction - Sources

The solar spectrum (global radiation)



<400	400-500	500-600	600-700	>700
37.8 W/m ²	130.4 W/m ²	144.6 W/m ²	134.0 W/m ²	269.2 W/m ²
5.3%	18.2%	20.2%	18.7%	37.6%

~ 5% UV

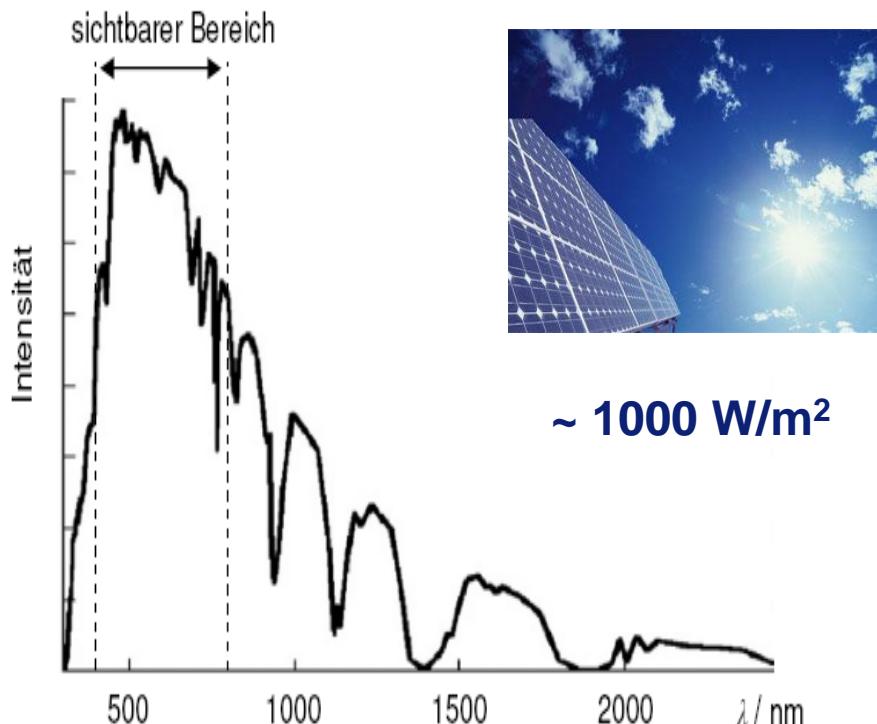
~ 55% VIS

~ 40% IR

Solar spectrum depends on daytime & season,
air pressure, humidity, clouds, dust, and so on

3. Matter Radiation Interaction - Sources

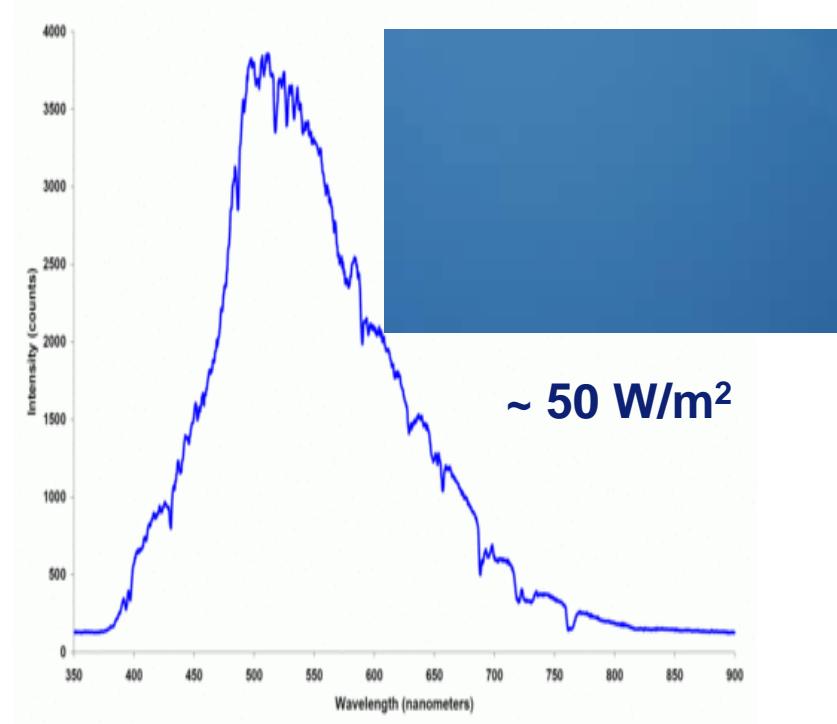
Direct radiation



Colour temperatur $\sim 5500 - 6500 \text{ K}$

50 W/m^2 UV and 0.1 W/m^2 UV-B

Diffuse radiation „Blue sky“



$\sim 10600 \text{ K}$

Almost no UV!

3. Matter Radiation Interaction - Sources

Hg vapour discharge lamps - Overview

Hg lamp invented 1904 for Rachitis therapy



Low Pressure Hg

Amalgam

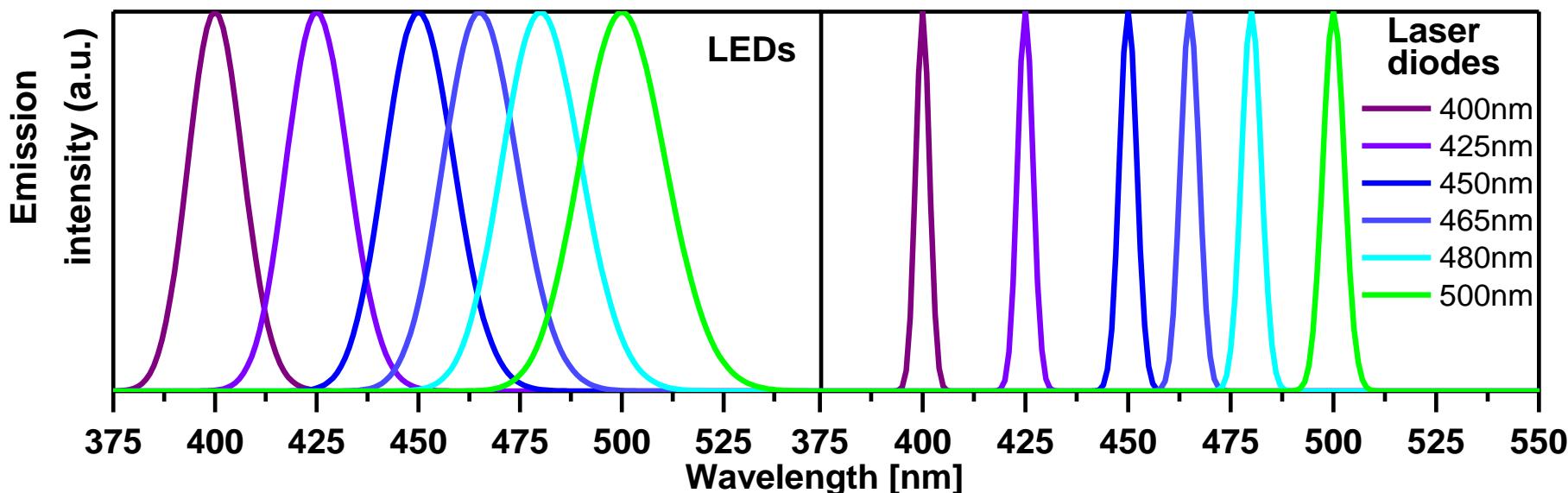
Medium Pressure Hg

	Low Pressure Hg	Amalgam	Medium Pressure Hg
UV-C wavelength	254 nm	254 nm	200 - 280 nm
Typical lamp power	4 ... 100 W	100 ... 300 W	1 ... 17 kW
Lamp efficiency	< 40%	30 ... 35%	10 ... 15%
GAC factor	85%	85%	80%
UV-C power per length	0.2 W / cm	0.7 W / cm	15 W / cm
Wall temperature	40 °C	100 °C	600 - 800 °C

Spectra can be modified by additional filling gases and phosphors

3. Matter Radiation Interaction - Sources

LEDs and laser diodes



„LED platform“

465 nm LEDs Illumination

410 nm LEDs Full conversion

365 nm LEDs Black light

265 nm LEDs Disinfection

„Laser diode platform“

940 nm

785 nm

655 nm

405 nm

Remote control

CD

DVD

Blue ray DVD

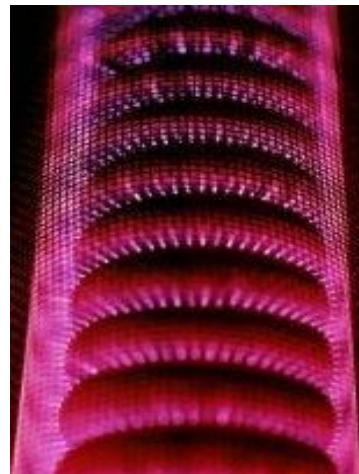
3. Matter Radiation Interaction - Sources

Devices using a Dielectric Barrier Excimer Discharge (either O₂ or Xe)

Ozone generator
(Wedeco AG)



Exhaust treatment
(Siemens AG)



UV Radiation sources (Xenon)
Triton

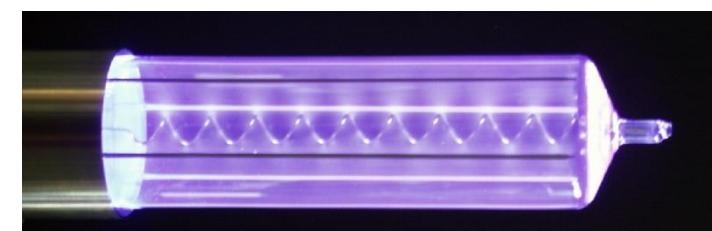
Heraeus Noblelight



Flat lamp
for LCD
Backlighting
(Osram AG)



Osram Xeradex



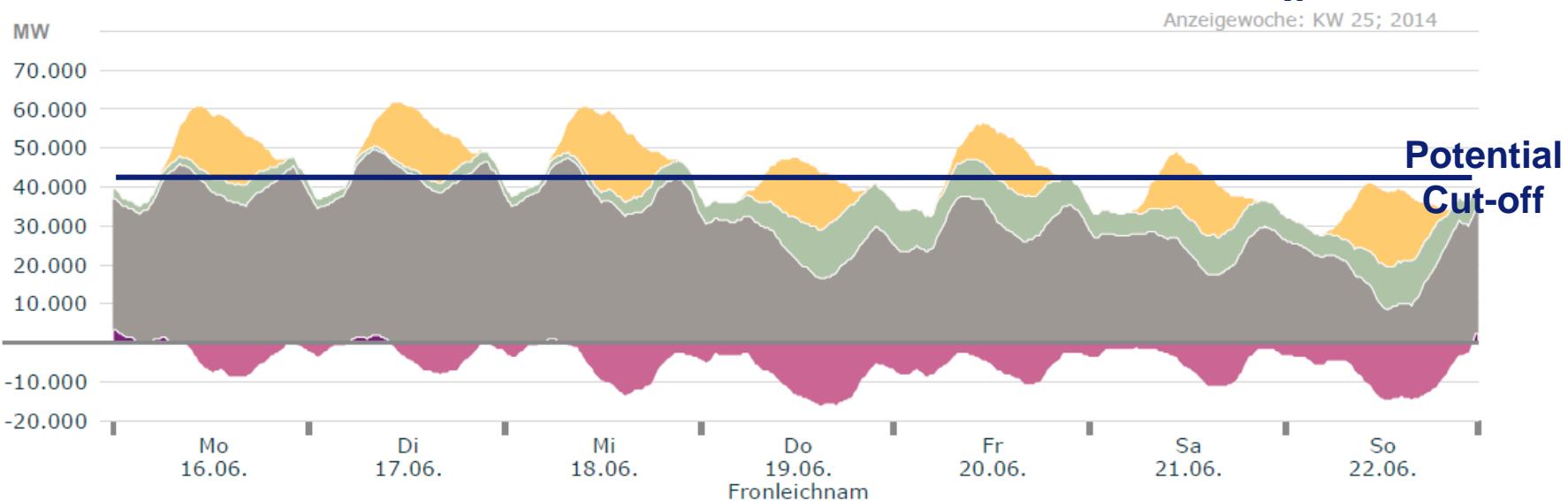
4. Photovoltaic Materials

Solar and wind energy market is strongly growing

Situation in Germany

Year	Installed peak power
2011	18 GW solar 28 GW wind
2014	36 GW solar 34 GW wind
2020	~50 GW solar ~40 GW wind

Some days at noon conventional power was not necessary anymore power production spikes will be increased due to growth in PV!

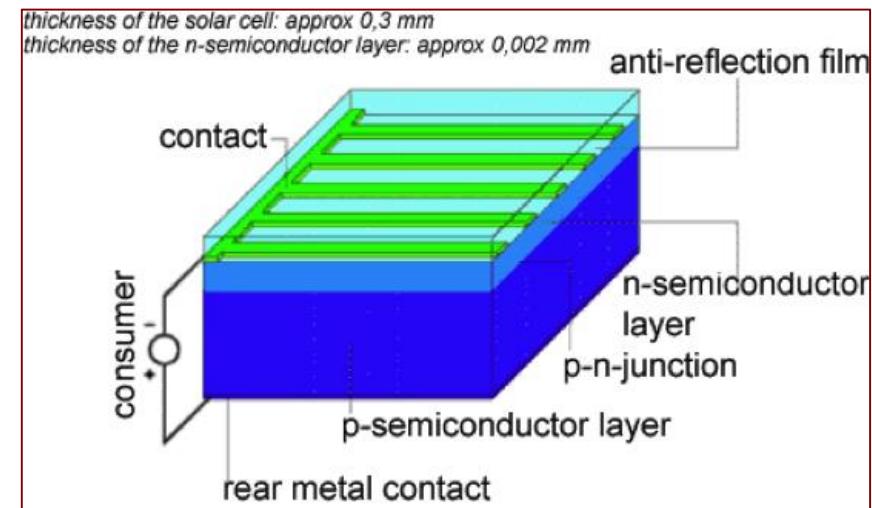
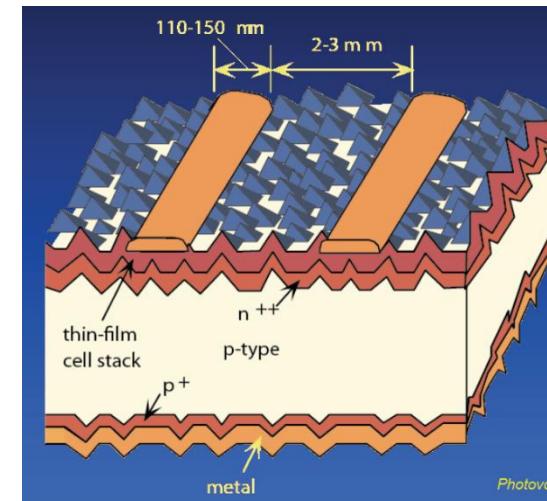


Cut-off PV solar energy production by photocatalysis to store energy is of large interest!

4. Photovoltaic Materials

Solar Cells from 1954 till today

First practical photovoltaic cell:
**Chapin, Fuller, Pearson at
Bell Labs, 1954: 6% efficiency**



4. Photovoltaic Materials

Solar cell generations by materials

c-Si (crystalline) cells

1st generation cells

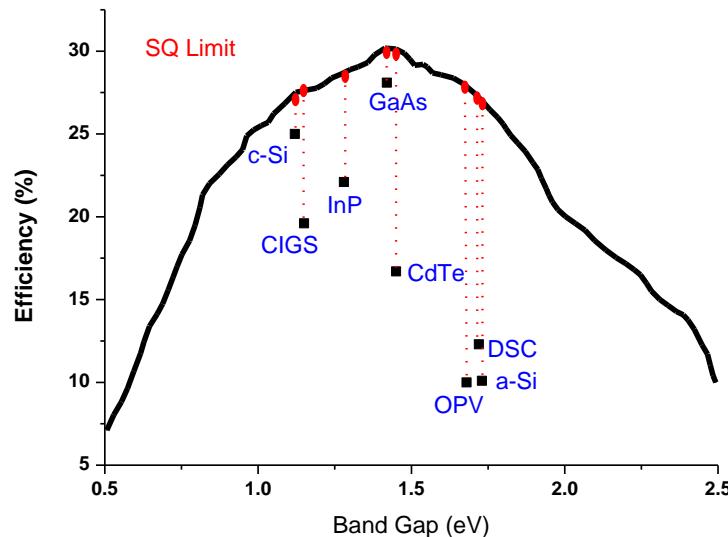
(thin film) CdTe, GaAs, Cu(In,Ga)S₂, a-Si

2nd generation cells

Dye cells, organic cells, perovskite cells

3rd generation cells

Main problem: Shockley-Queisser* (SQ) Limit → PV efficiency < 30%



Optimal band gap: 1.34 eV!

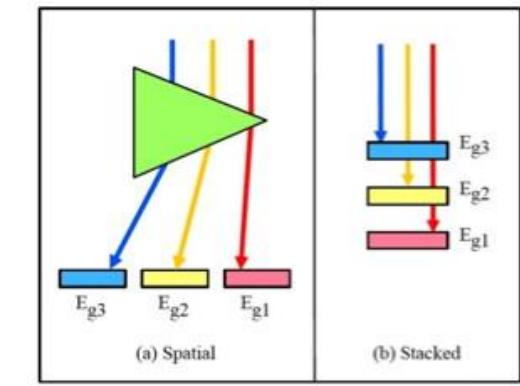
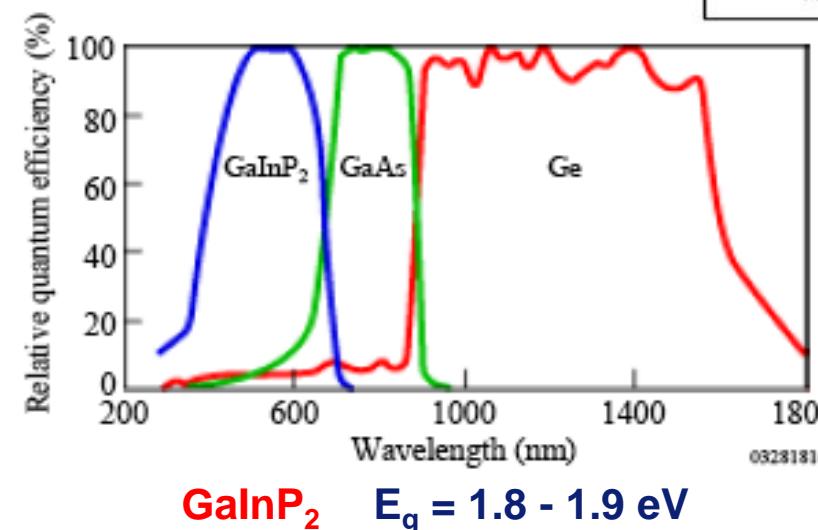
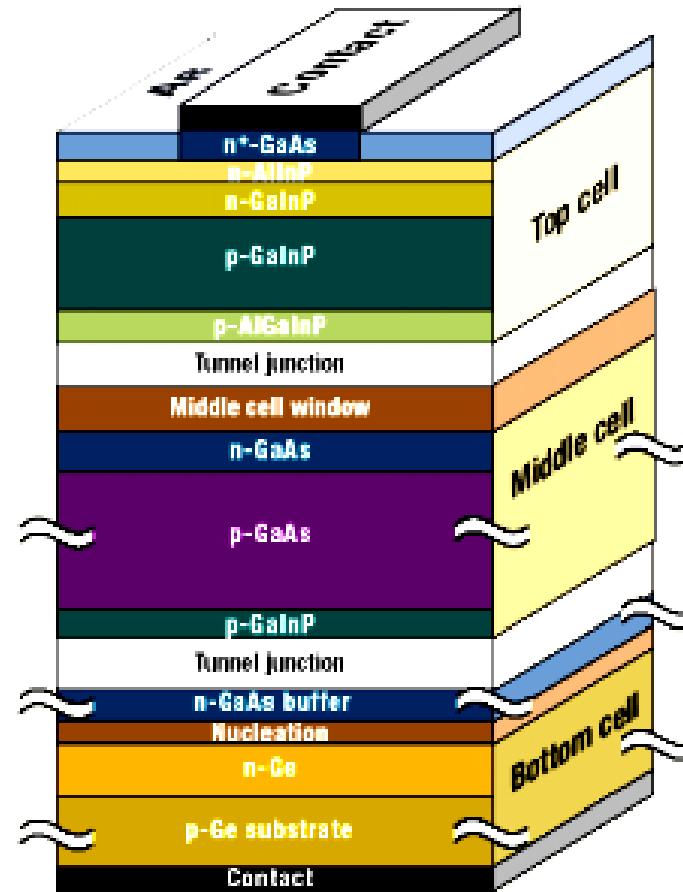
Reference:

- Prince, JAP 26 (1955) 534
- Loferski, JAP 27 (1956) 777
- *W. Shockley, H.J. Queisser, JAP 32 (1961) 510

4. Photovoltaic Materials

How to circumvent SQ limit and other losses?

Photon management: Multi band gap, multi-junction photovoltaics



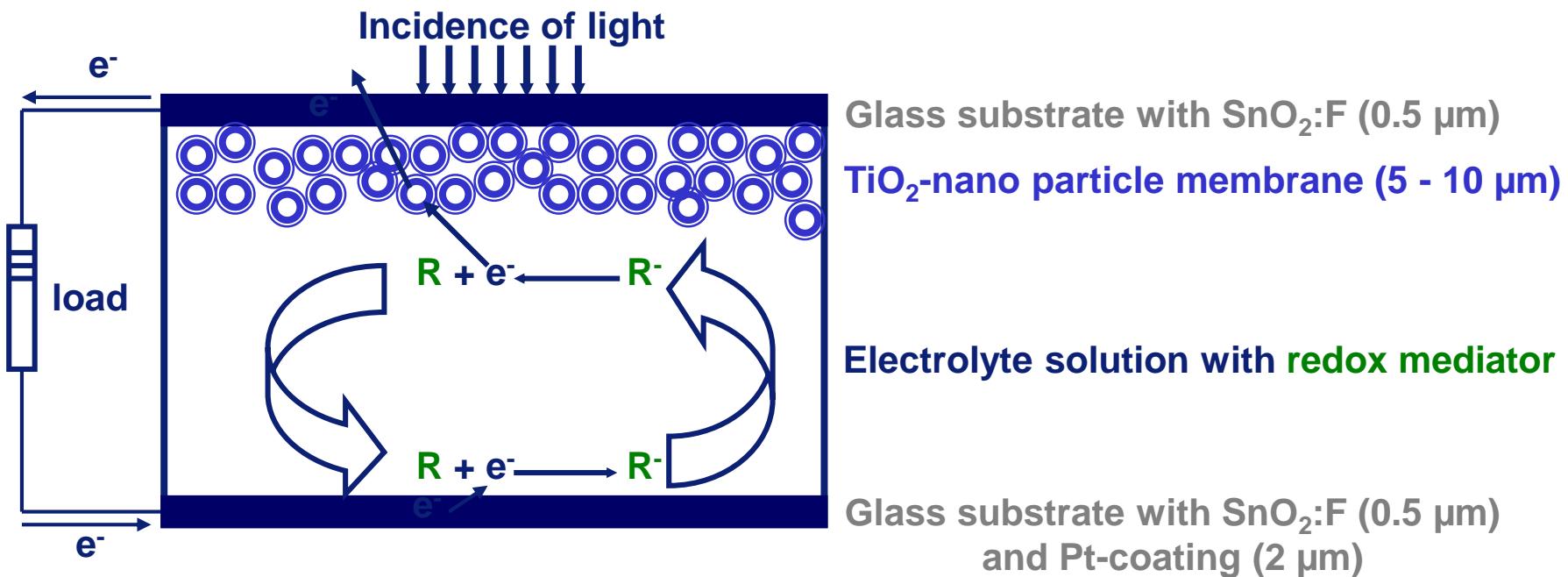
4. Photovoltaic Materials

Material challenges and development routes

<u>Semiconductor</u>	<u>SQ limit</u>	<u>Challenges</u>	<u>Possible solutions</u>
c-Si	25%	Absorption strength	Light in-coupling foils
a-Si	10%	SQ limit	Down/Up-converter
GaAs	28%	Toxicity	?
Cu(In,Ga)S ₂	20%	stability, price	Coatings, solid solut.
CdTe	17%	Toxicity	?
APbX ₃ (perovskites) A = CH ₃ NH ₃ ⁺ , ... X = Cl ⁻ , Br ⁻ , I ⁻	30%	Stability, hydrolysis	Encapsulation

4. Photovoltaic Materials

Dye Sensitised Cells (Grätzel Cells): Inventor Prof. Michael Grätzel

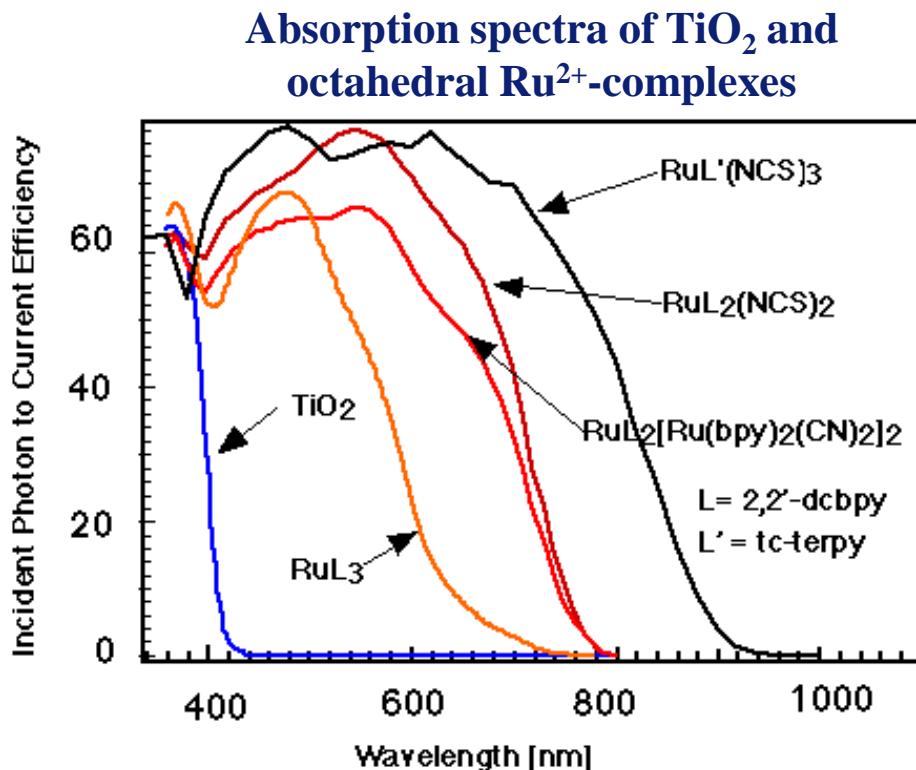


TiO_2 is the catalyst for the charge separation, but does not absorb visible light

4. Photovoltaic Materials

Dye Sensitised Cells (Grätzel Cells)

Photosensitisers



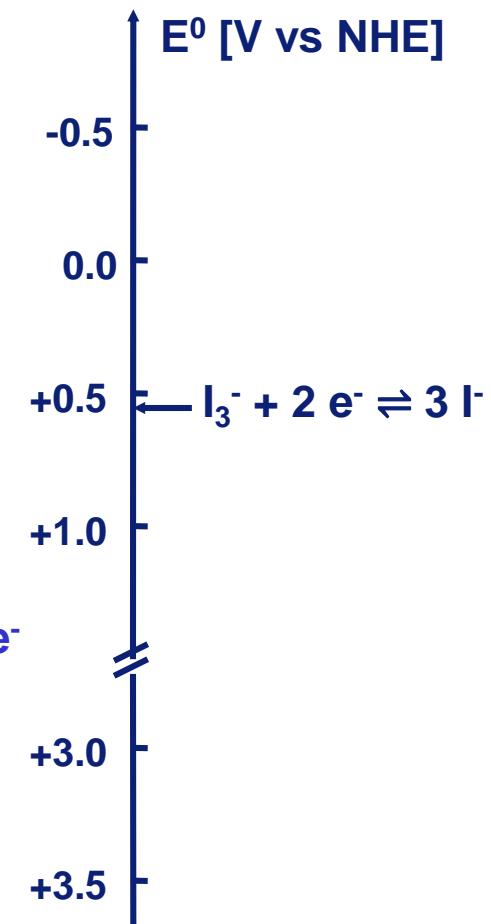
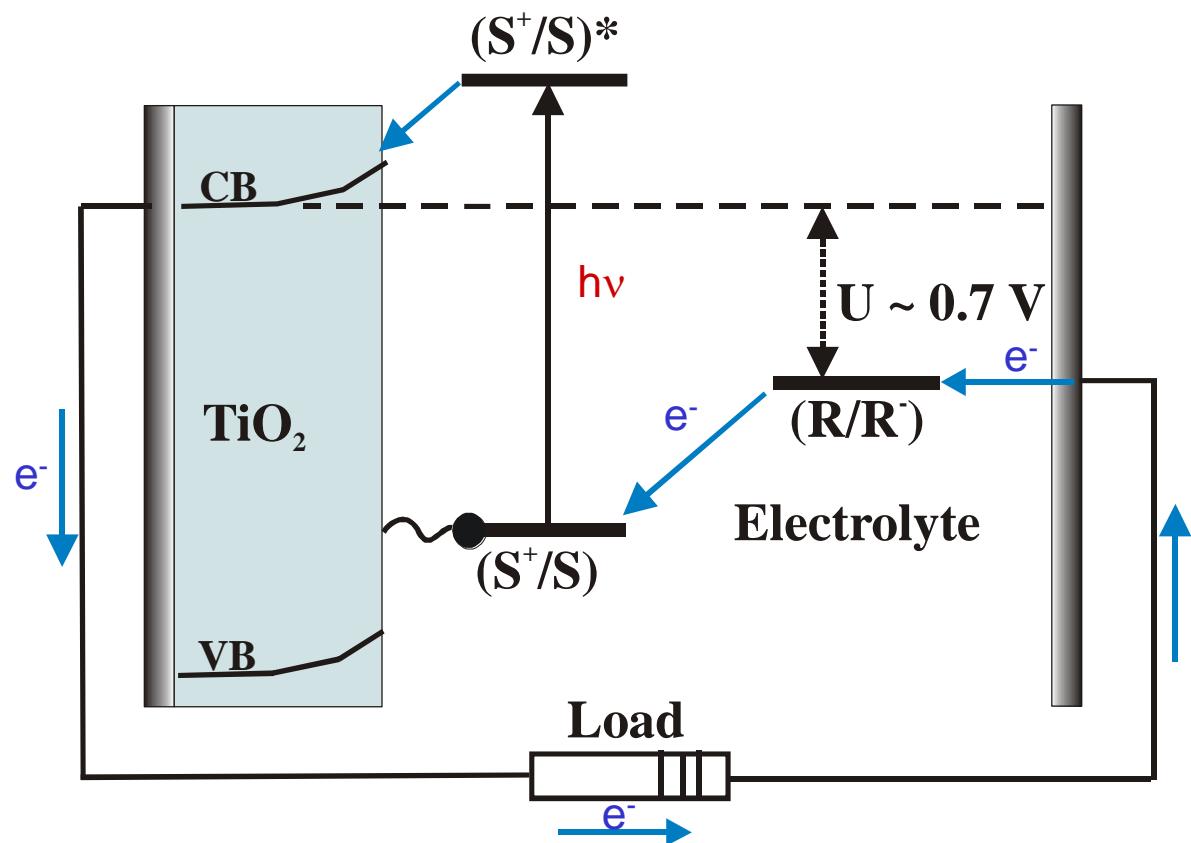
Advantages of Ru^{2+} -chelating complexes

- Reversible $\text{Ru}^{2+}/\text{Ru}^{3+}$ redox pair
- Electronic low-spin configuration (anti-bonding orbitals are unoccupied)
- Chelating effect (entropic effect)
⇒ kinetically very stable (slow ligand exchange reaction)
- Allowed MLCT transitions at relative low energies
⇒ intense absorption bands in the visible range of the spectrum

4. Photovoltaic Materials

Dye Sensitised Cells (Grätzel Cells)

Overall electron flow



4. Photovoltaic Materials

Photovoltaic Energy Conversion Requires Strong Absorption of Light and Efficient Charge Carrier Separation

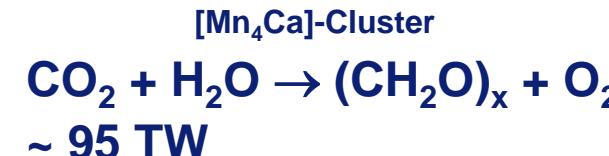
Solar cell type	absorption process	charge carrier separation
pn-semiconductor	band to band	by an electrostatic field at the pn-junction
Graetzel	MLCT on $[\text{RuL}_2\text{X}_2]$	electron transfer to n-TiO ₂ + oxidation of I ⁻ to $\frac{1}{2} \text{I}_2$
„Chloroplast“	$\pi-\pi^*$ on chlorophyll	electron transfer to NADP ⁺ + oxidation of O ²⁻ to $\frac{1}{2} \text{O}_2$

- Energy conversion efficiency of best practice Graetzel Cells is about 10%
- Lifetime is a problem due to cell sealing and electrolyte leakage

5. Photochemical Water Splitting

World wide energy demand and production

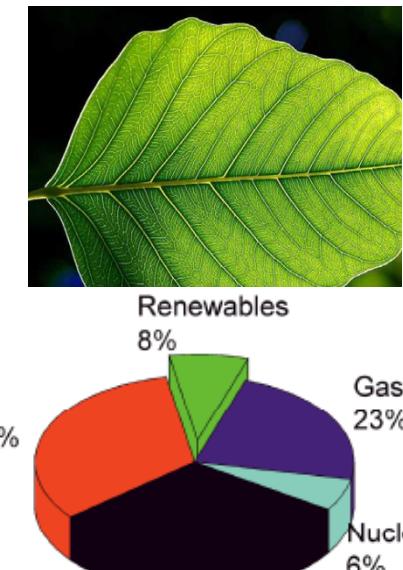
Global annual photosynthetical produced biomass $\sim 3.0 \cdot 10^{21} \text{ J}$
 $= 700 \text{ Gt}$ (efficiency $\eta \sim 0.15\%$)



World energy consumption	Y2010	$\sim 14 \text{ TW}$
	Y2050	$\sim 25 \text{ TW}$
	Y2100	$40-50 \text{ TW}$

Potential

Biomass	5-7 TW
Wind	14 TW
Solar	100000 TW



Challenges:

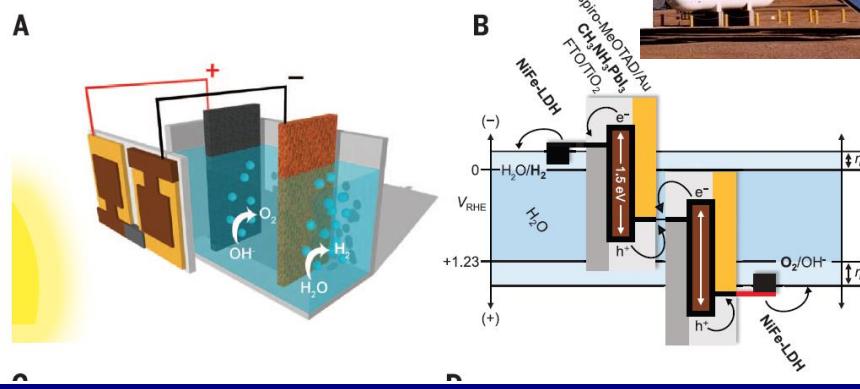
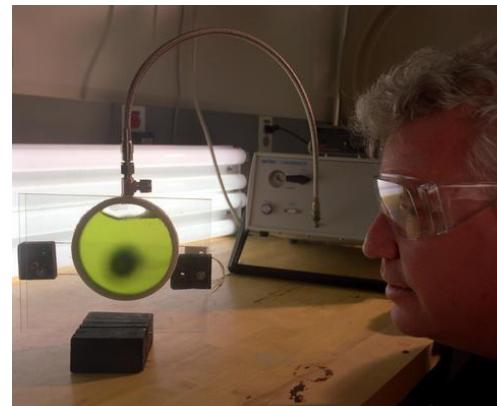
Efficiency + Scalability + Lifetime

5. Photochemical Water Splitting

Pathways towards water cleavage

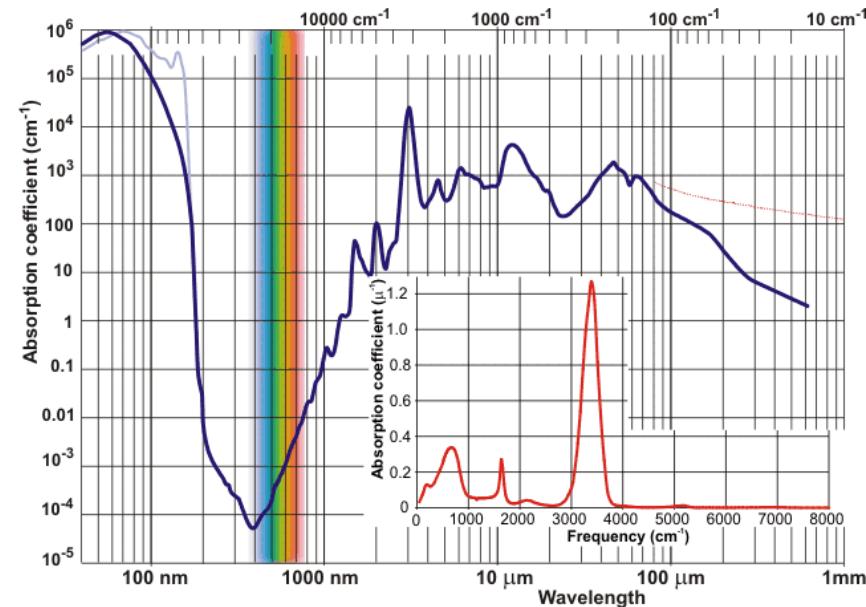
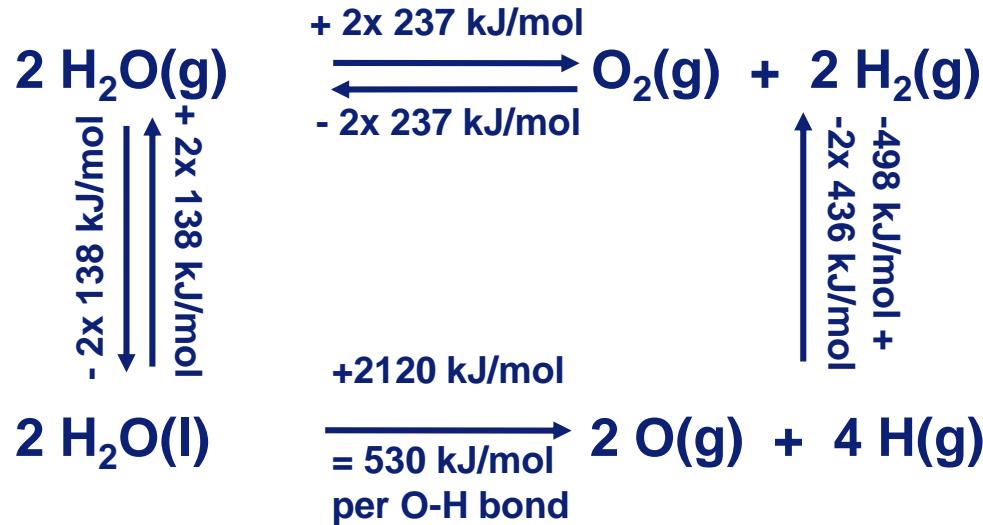


- **Photosynthesis**
 - Plants
 - Algae
- **Thermolysis**
- **Electrolysis**
- **Photolysis**
- **Photocatalysis**

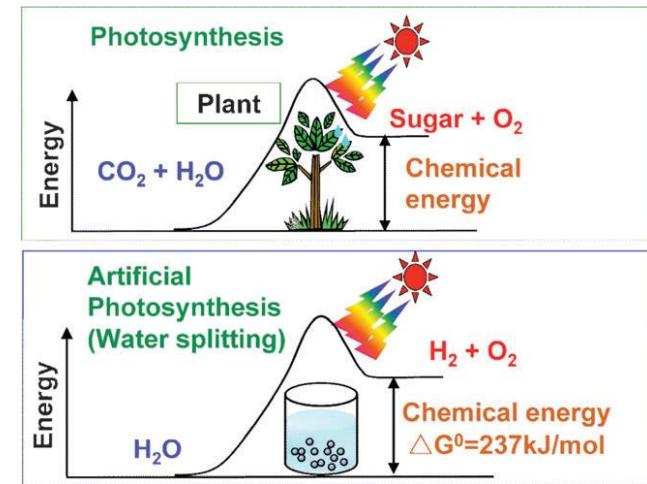


5. Photochemical Water Splitting

Energy Balance



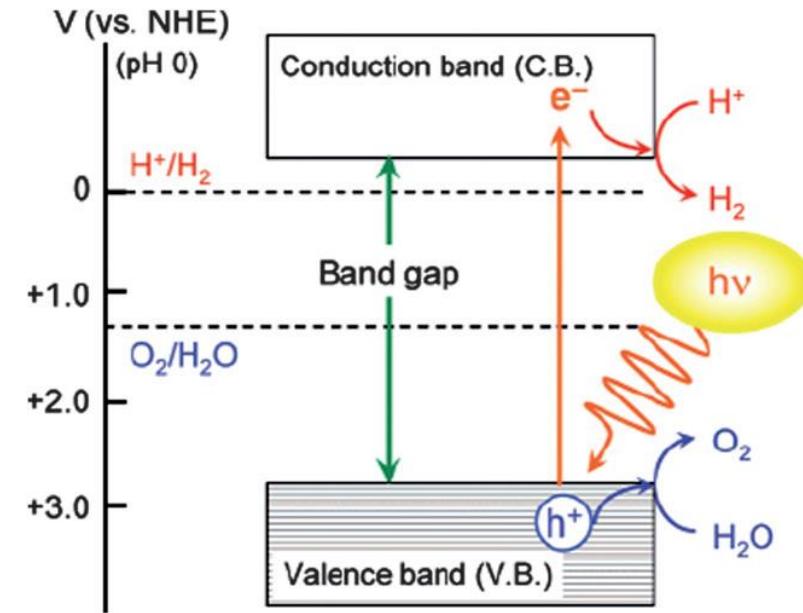
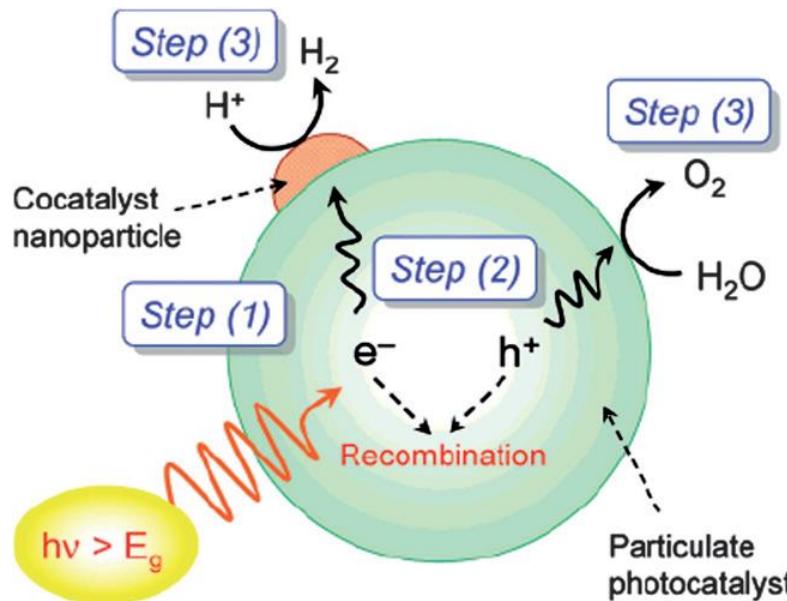
Photolysis of water without any photocatalyst requires VUV or EUV Radiation (10 – ~200 nm)
→ Stratosphere and Mesosphere



5. Photochemical Water Splitting

Photocatalytic Process by Using Semiconductors

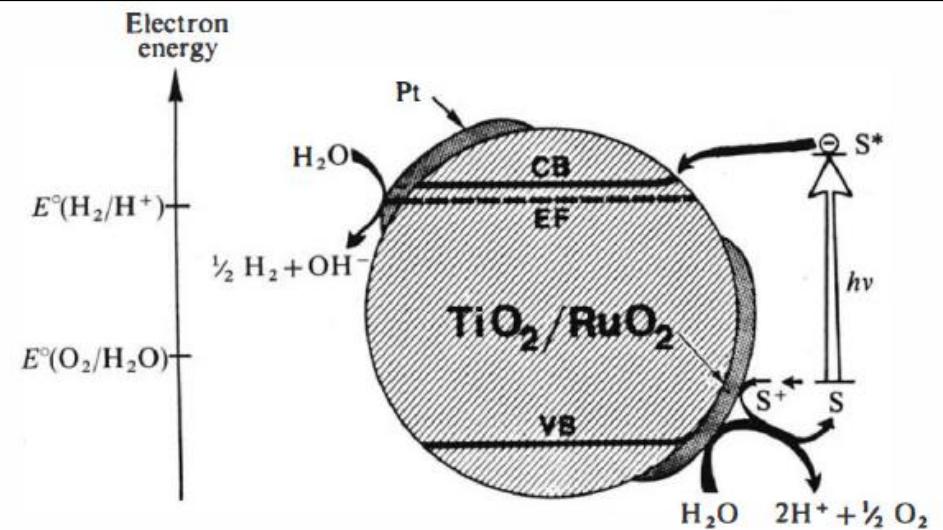
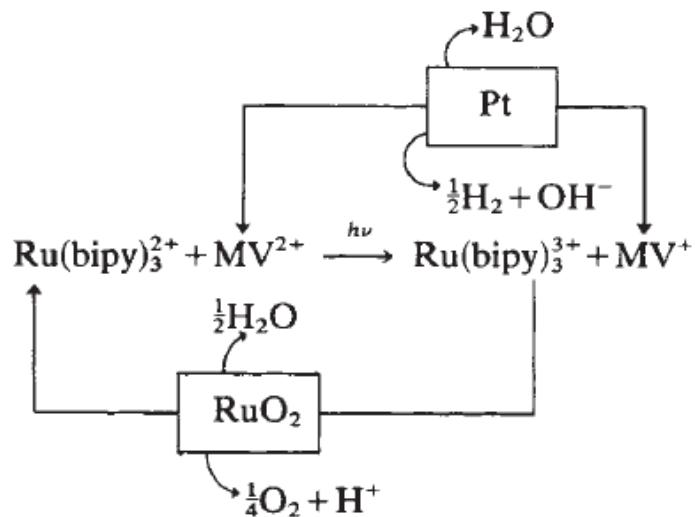
- First system explored in 1971 by A. Fujishima and K. Honda (Nature 238 (1972) 38) → TiO₂ with Pt as a co-catalyst
- In general water splitting is possible at around 1000 nm (1.23 eV), in real systems voltage is higher > ~1.8 V



5. Photochemical Water Splitting

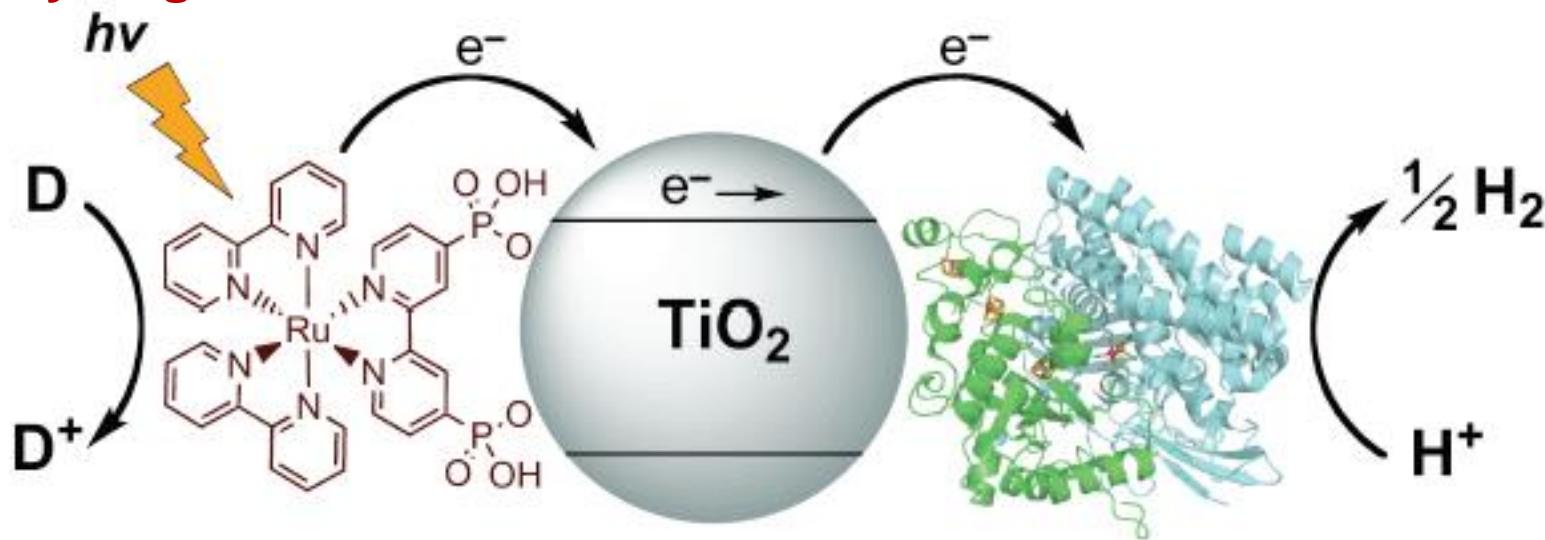
Photocatalytic Process by Using Semiconductors and a Sensitizer

- First system using a sensitizer presented in 1981 by M. Graetzel (Nature 289 (1981) 158)
- → TiO₂ with Pt and RuO₂ as co-catalysts and [Ru(bpy)₃]²⁺ and methylviologen as sensitizers (antennae)
- Synthesis of Pt nanoparticles from H₂PtCl₆ and citrate



5. Photochemical Water Splitting

Solar Hydrogen Generation



Schematic representation of visible light-driven H₂ production with D [NiFeSe]-H attached on ruthenium-dye sensitized TiO₂ nanoparticles, in the presence of a sacrificial electron donor D.

Visible light irradiation ($\lambda > 420$ nm) excites the Ru(bipy)₃ photo-sensitizer, which injects electrons into the conduction band of TiO₂ and on to the hydrogenase, resulting in H⁺ reduction.

Ref.: F.A. Armstrong, E. Reisner et al., Chemical Society Reviews 108 (2008) 2439

5. Photochemical Water Splitting

Photocatalytic Process by Using Semiconductors - Approaches

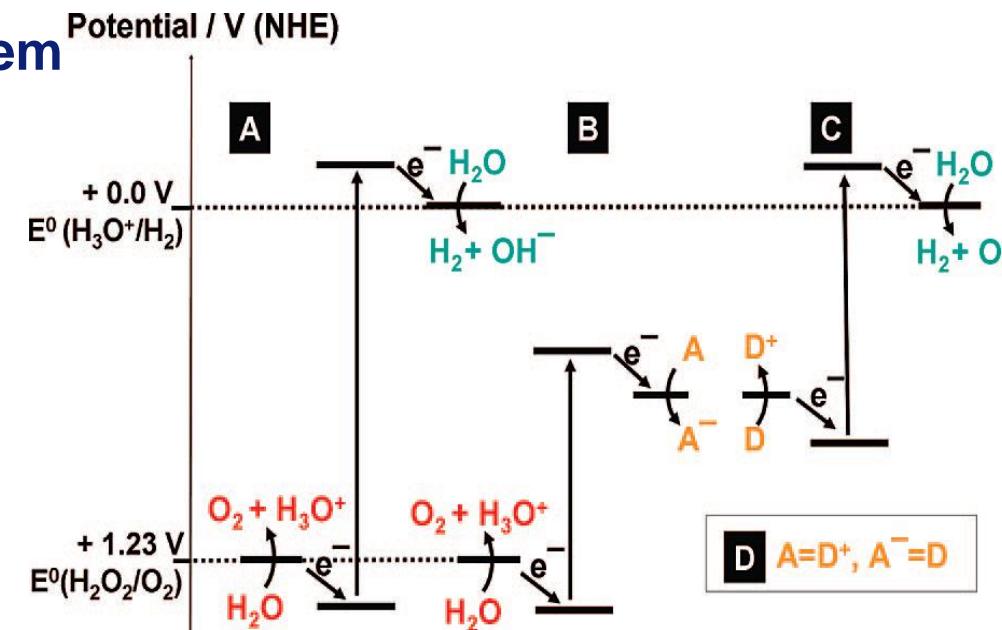
- A: single semiconductor system

- B: single semiconductor system with electron acceptor $\rightarrow \text{O}_2$

- C: single semiconductor system with electron donor $\rightarrow \text{H}_2$

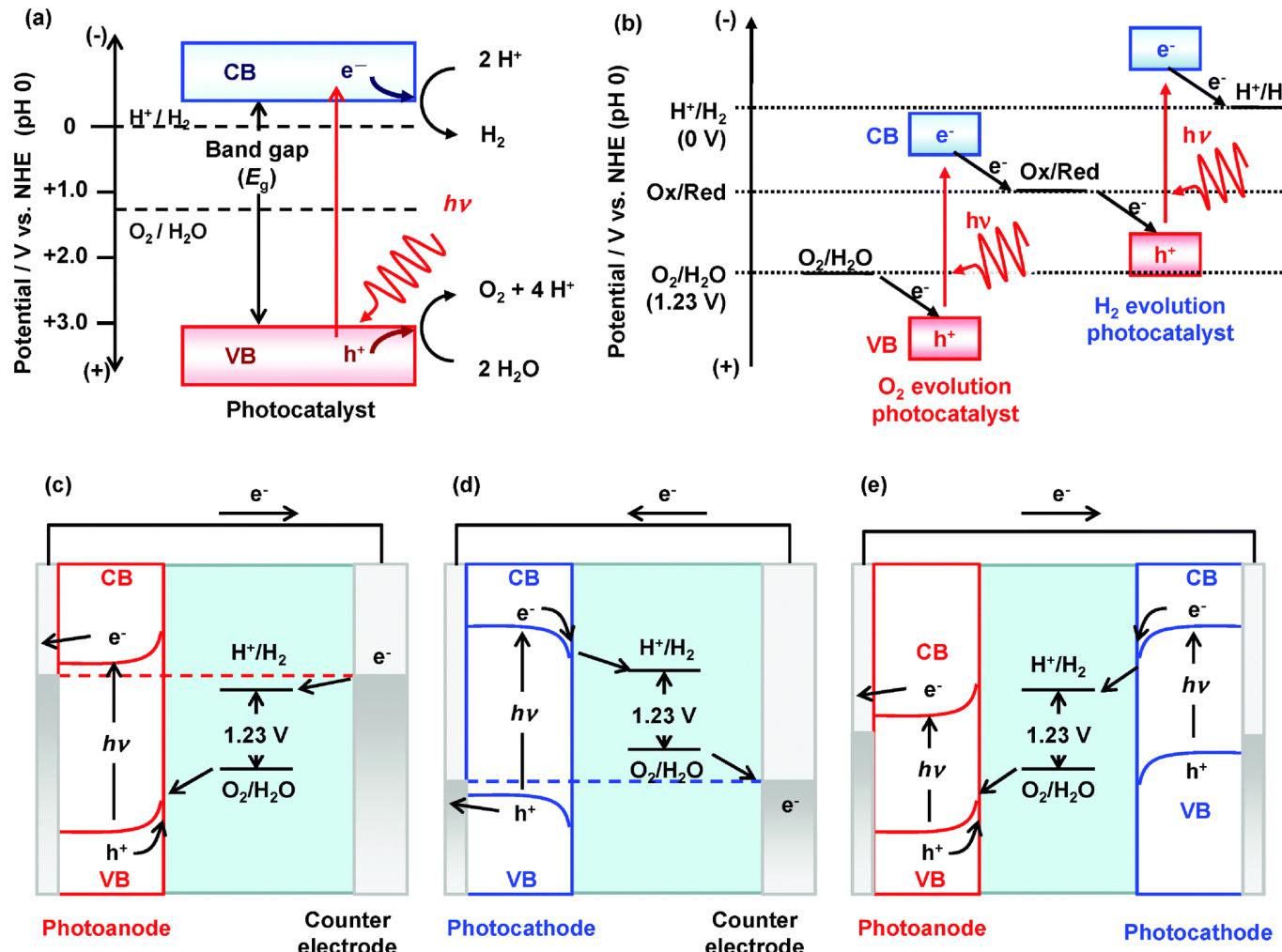
- D: combination of B and C (tandem system)

- Additionally: Powders in solution (\rightarrow detonating gas formation)



5. Photochemical Water Splitting

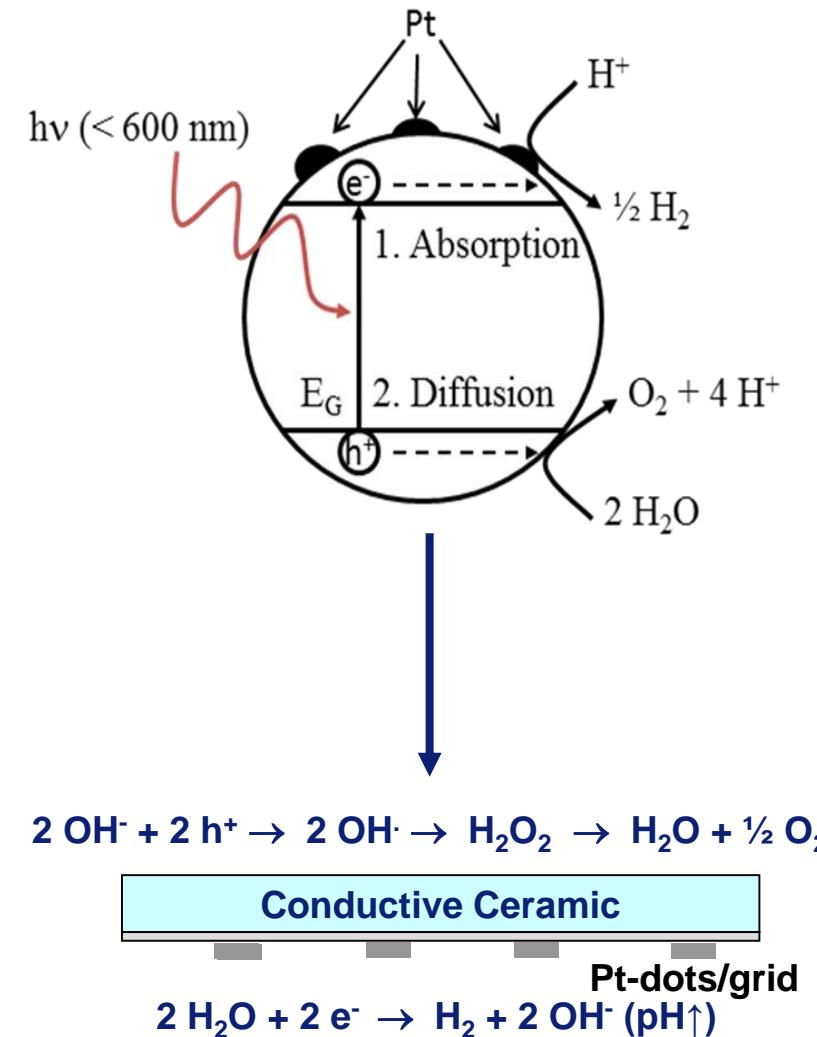
Photocatalytic Process by Using Semiconductors - Approaches



5. Photochemical Water Splitting

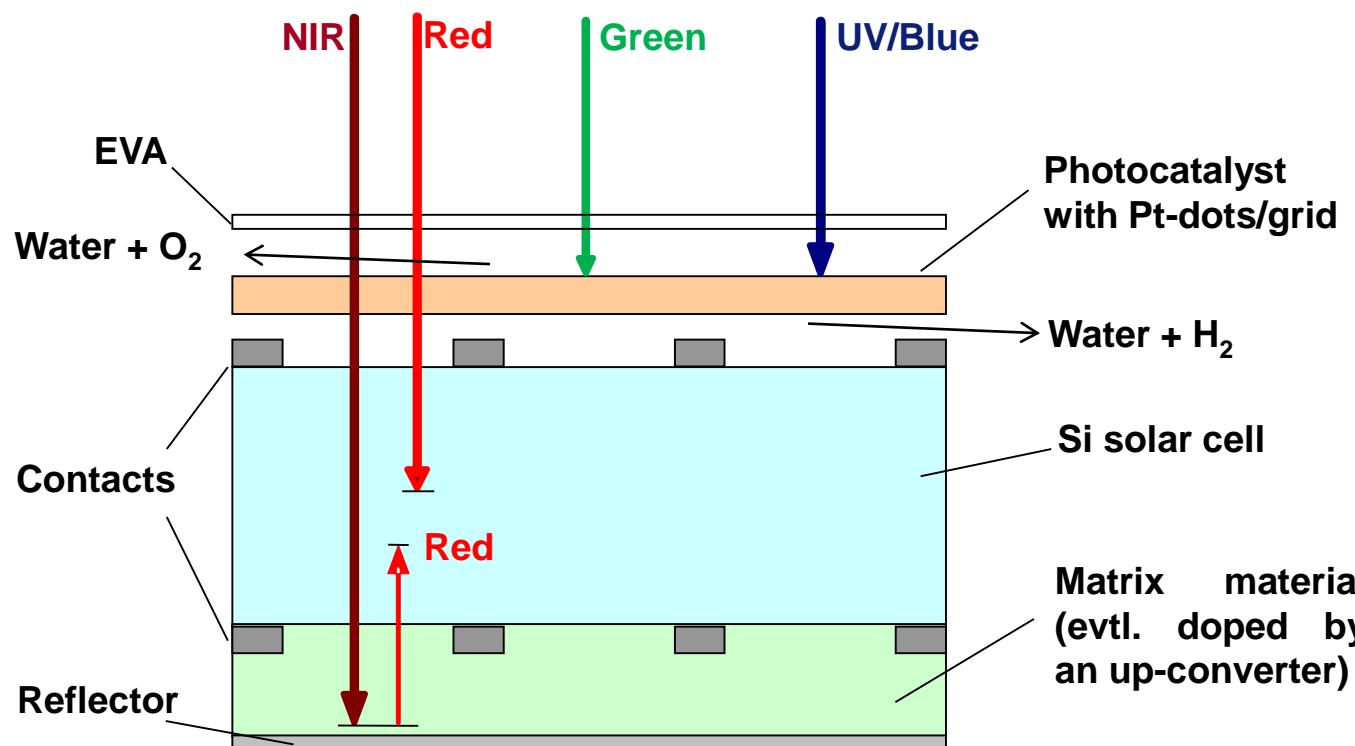
From Powder to Ceramics for a Light Splitting Tandem Cell

- **Top structure (UV - Blue)**
 - Water splitting by an inorganic & stable photocatalyst
 - Conductive ceramic is required
 - Structured Platin deposition
- **Bottom structure (Green – NIR)**
 - Photovoltaic unit
 - Options: Modification by up-converter and/or down-converter



5. Photochemical Water Splitting

Light Splitting Tandem Cell: PV Unit & Photocatalytical Unit



Advantages

Cooling of the PV unit

Less thermalisation

Less damage of the
PV unit by UV to blue
radiation

Ref.: T. Jüstel et al., German Patent Application, Energy Conversion System, DE102014107268

5. Photochemical Water Splitting

Requirements on the Photocatalyst

- **Stability**

The most photochemically stable semiconductors in aqueous solution are oxides, but their band gaps are either too large for efficient light absorption (~3 eV), or their semiconductor characteristics are poor.

- **Efficiency (band gap)**

For reasonable solar efficiencies, the band gap must be less than 2.2 eV, unfortunately, most useful semiconductors with band gaps in this range are photochemically unstable in water.

- **Energetics**

In contrast to metal electrodes, semiconductor electrodes in contact with liquid electrolytes have fixed energy levels where the charge carriers enter the solution. So even though a semiconductor electrode may generate sufficient energy to effect an electrochemical reaction, the energetic position of the band edges may prevent it from doing so. For spontaneous water splitting, the oxygen and hydrogen reactions must lie between the valence and conduction band edges, and this is almost never the case.

5. Photochemical Water Splitting

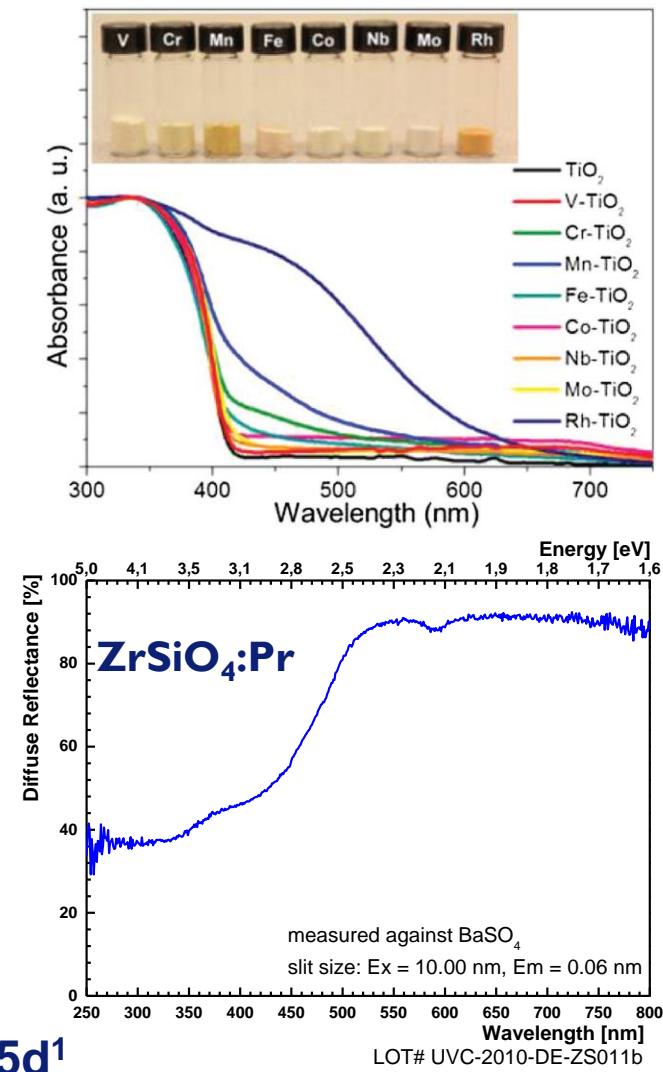
Photocatalyst Stability

Oxide	Band gap [eV]	Colour
ZrSiO ₄	6.5	white
ZrO ₂	5.0	white
CaWO ₄	4.1	white
ZnS	3.8	white
KTaO ₃	3.4	white
ZnO	3.3	white
SrTiO ₃	3.2	white
TiO ₂	3.0	white
CeO ₂	2.8	yellow
WO ₃	2.7	yellow
BiVO ₄	2.4-2.5	yellow
CdS	2.3	orange
Fe ₂ O ₃	2.0	red
InN	1.9	red

Doping by

Ce³⁺, Pr³⁺, Tb³⁺
Eu²⁺

MMCT
[Xe]4f⁷ – [Xe]4f⁶5d¹



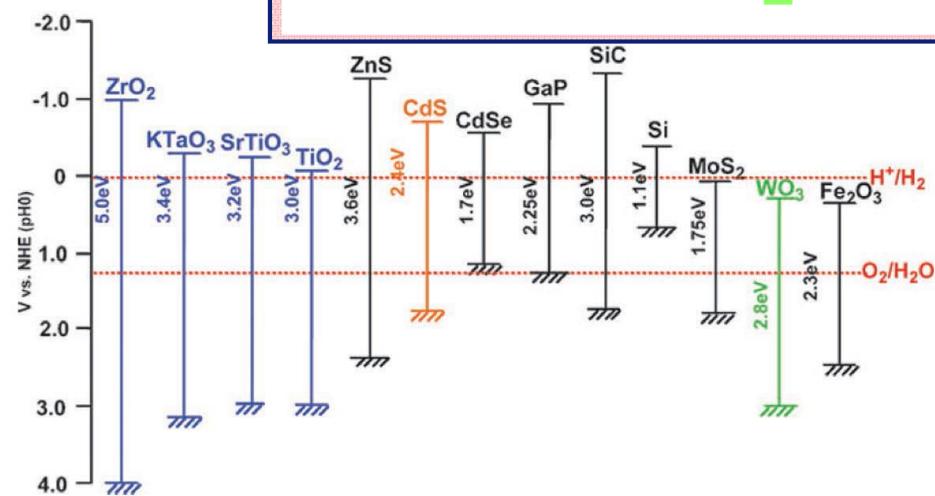
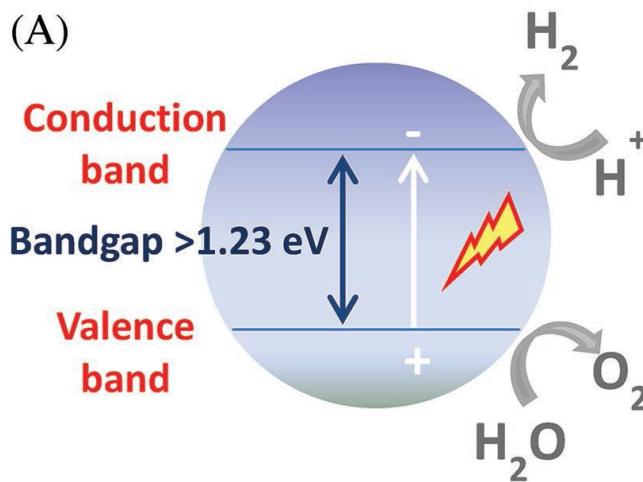
5. Photochemical Water Splitting

Photocatalyst Efficiency and Energetics

Band gap **2.0 – 3.0 eV**

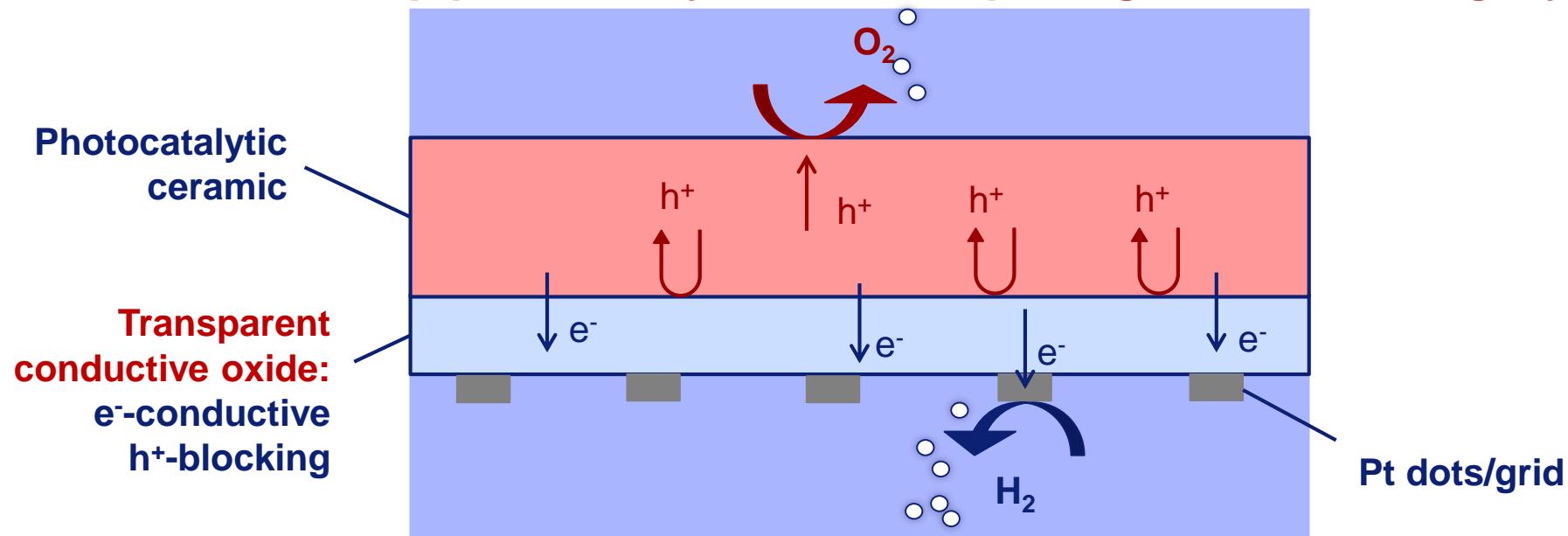
VB **~ -6.0 V below vacuum level**

CB **~ -4.0 V below vacuum level**



5. Photochemical Water Splitting

Final Goal: Develop photocatalytic unit, comprising a hole blocking layer



Technology already applied in

- OLEDs and PLEDs
- Inverted Polymer Solar Cells
- Other photocatalysts
-

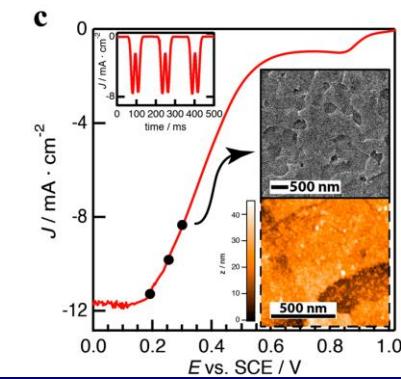
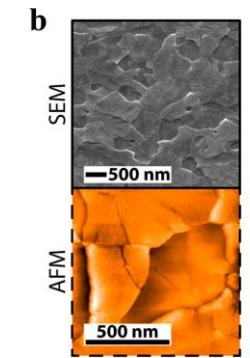
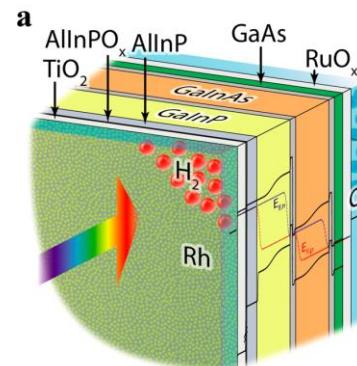
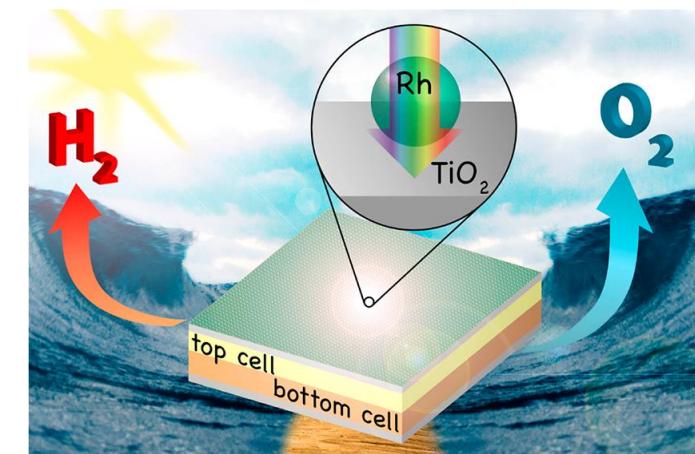
Deposition methods

- Sol-gel method
- Spin-coating
- Sputtering (RF-MS)
-

5. Photochemical Water Splitting

Recent Embodiment

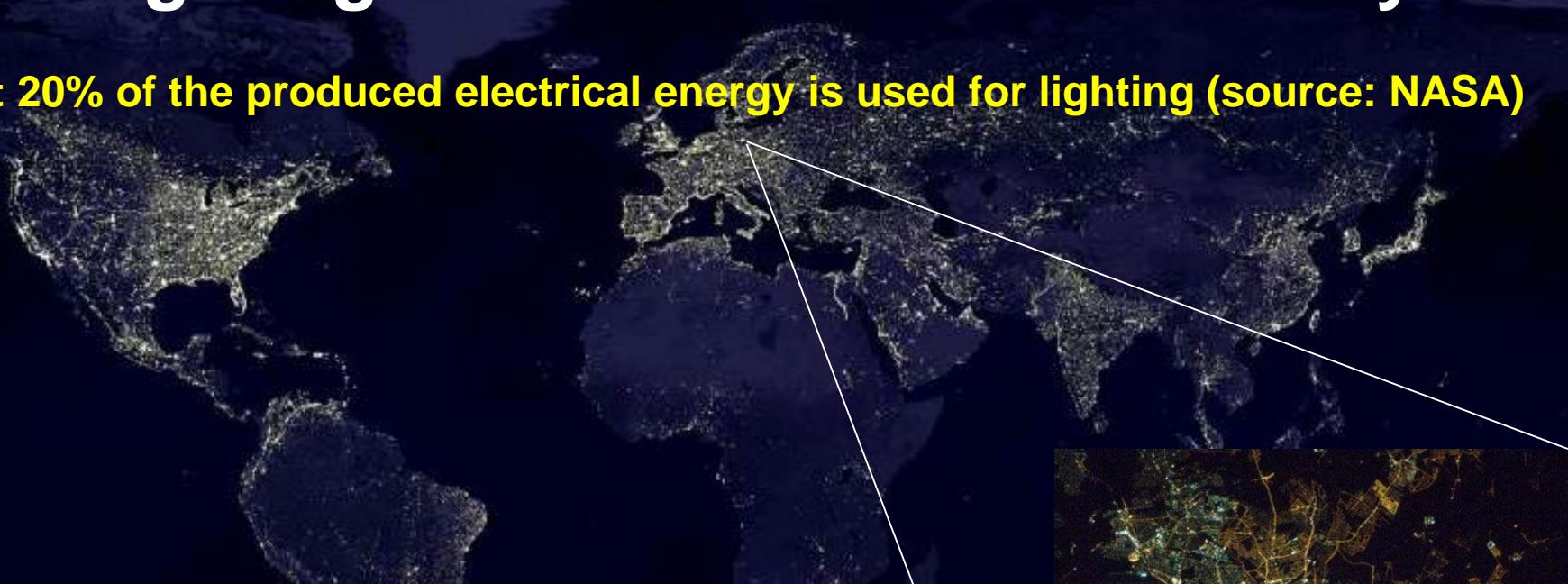
- Charge carrier separation and e^- / h^+ conduction by epitactically grown AlInP, AlInPO layers
- Rh onto TiO_2 as photocathode
- RuO_x onto GaAs as photoanode
- Problem: Relief of evolving pH gradient



Ref.: ACS Energy Lett. 3 (2018) 1795-1800

6. Lighting Towards Ultimate Efficiency

About 20% of the produced electrical energy is used for lighting (source: NASA)



Even more than 25 years after Germany's reunification
East and West Berlin can be diminished by lighting

- 1961 Construction of the Berlin Wall
- 1989 End of the Berlin Wall "The wind of change"
- 1990 Germany's reunification
- 1993 Blue LED: (In,Ga)N
- 1996 White LED: YAG "The light of change"
- 2014 White LED > 300 lm/W & Nobel price
- 2021 LED dominate lighting business



6. Lighting Towards Ultimate Efficiency

Historical Development

10000 B.C.



First there
was open
fire...

From chemical light sources

19th century



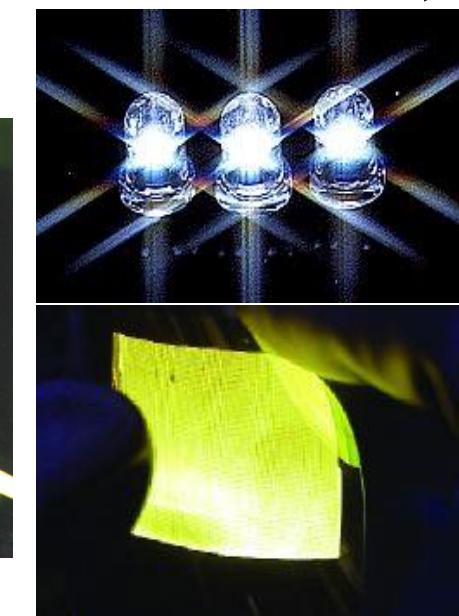
...put into a
glass
bulb...

20th century



...and made
more
efficient...

21st century

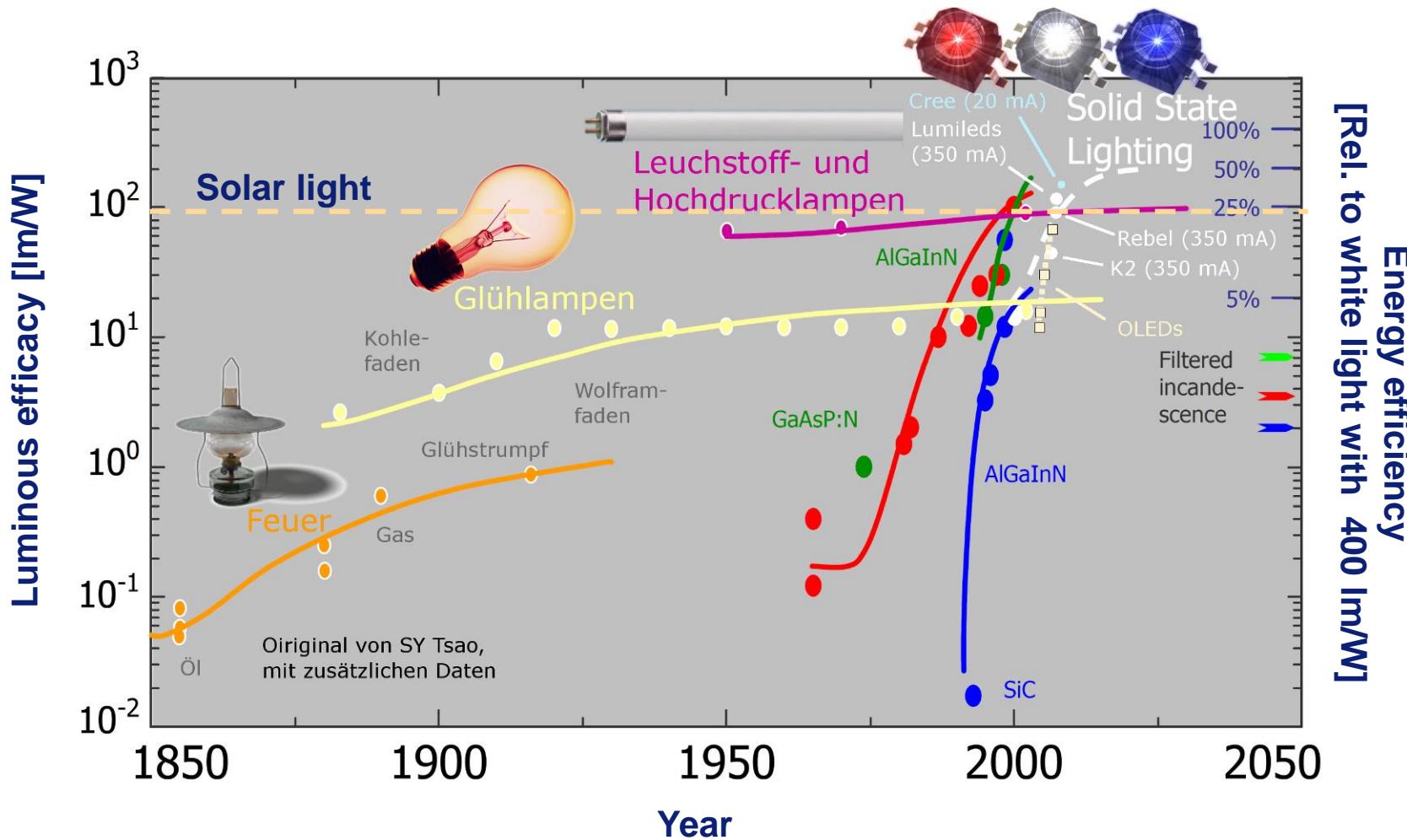


...then the fire
vanished and light
only prevailed !

to electrical light sources

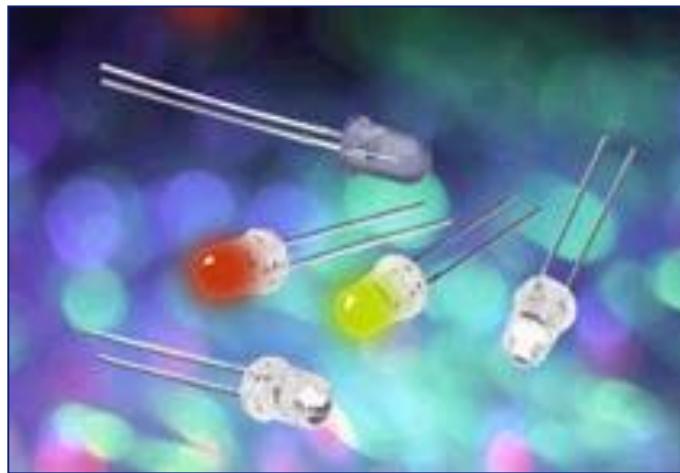
6. Lighting Towards Ultimate Efficiency

Historical Development: Luminous Efficacy and Energy Efficiency

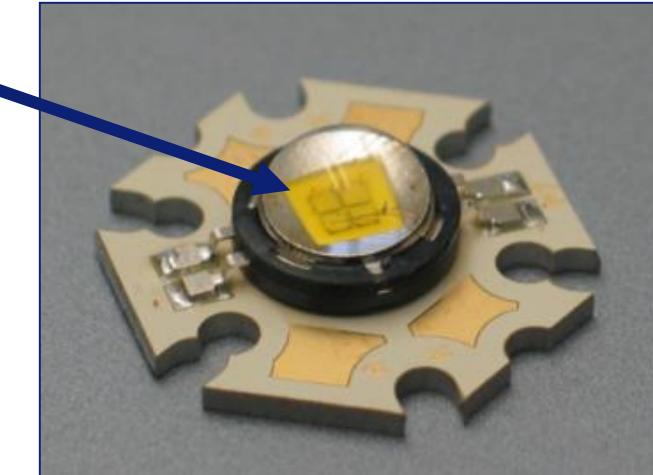


6. Lighting Towards Ultimate Efficiency

Historical Development: Colours and Power Density



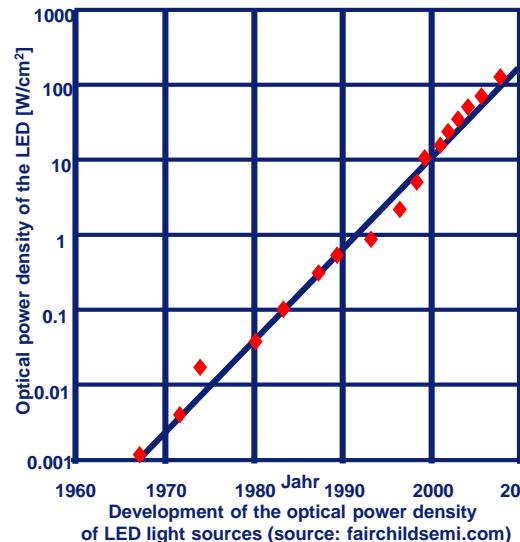
Luminescent screen



1970
(Ga,As)P

- < 0.1 W
- < 1.0 lm
- < 10 lm/W
- < 120 °C
- < 100 W/cm²
- > 120 K/W

yellow, red, NIR



2019

- (Al,In,Ga)P, (In,Ga)N, (Al,Ga)N**
- 1 - 10 W
- > 100 lm
- up to 303 lm/W
- 120 – 200 °C
- 100 – 200 W/cm²
- 2 – 12 K/W

UV-A/B/C, all colors, NIR

6. Lighting Towards Ultimate Efficiency

Power Saving Potential by LEDs



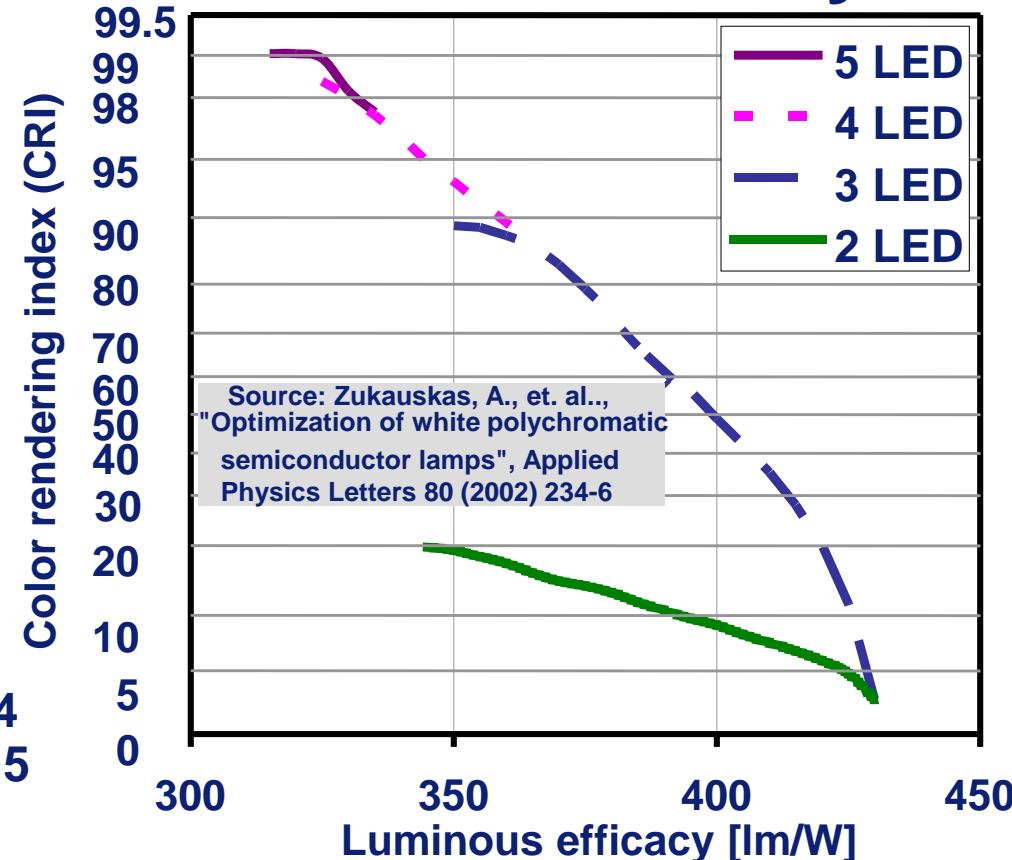
Electric lighting consumes worldwide
2,600,000,000,000,000 Wh (2600 TWh) p.a.
(~20% of total global electricity production)
LED energy saving potential: 1500 TWh
→Reductions in power plants:
- 200 nuclear power plants or
- 200 fossil power plants

Ref.: IEA, International Energy Agency

6. Lighting Towards Ultimate Efficiency

Multichip LED Lamps

- Narrow band emitter e.g. LEDs
 - $\lambda_{1/2} = 30 \text{ nm}$
 - Several colored LEDs
- Theoretical maximum
 - 430 lm/W for
 - CCT = 4870 K
 - CRI = 3 (!)
- Feasible values
 - ~ 350 lm/W for CRI 90, n = 3 - 4
 - max. 320 lm/W for CRI 99, n = 5
- Problems
 - Thermal stability of the LEDs
 - LED efficiency
 - Red and blue
 - Green and yellow



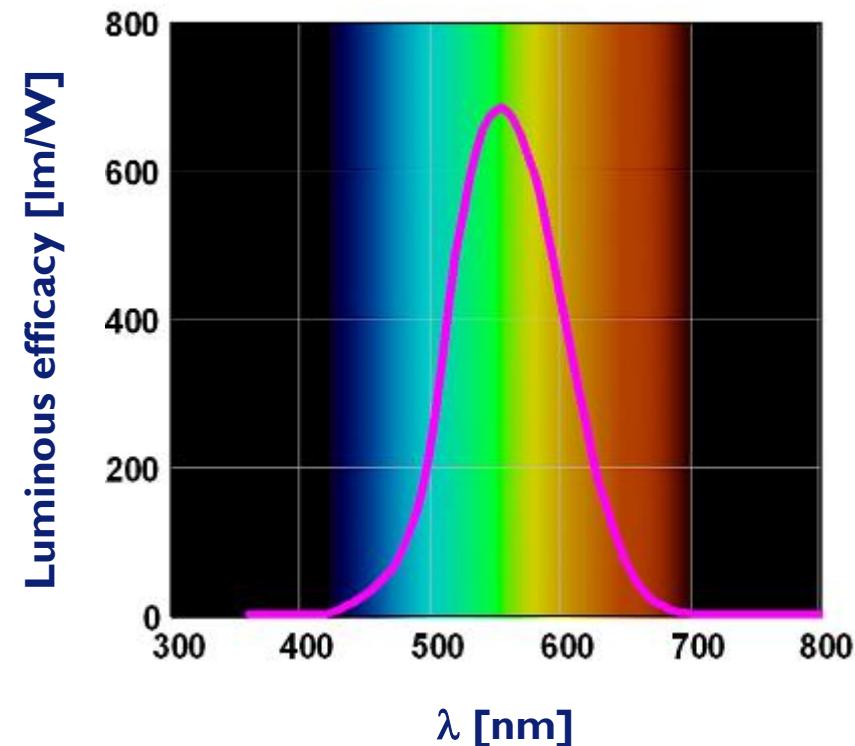
high
moderate → Phosphors!



6. Lighting Towards Ultimate Efficiency

Luminous Efficacy of Light Sources

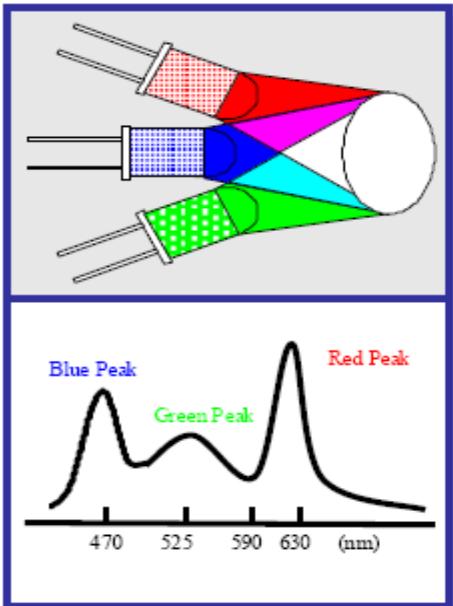
- Strong dependence on emission spectrum
- Optimum is at 555 nm
 - $V(\lambda) = 683 \text{ lm/W}$ (100%)
- Lumen output
 - 1000 lm at 555 nm requires 1.5 W
 - Incandescent bulb ~ 80 W, i.e. 12.5 lm/W
- Blue and red radiation
 - $V(\lambda) < 70 \text{ lm/W}$ (10%)



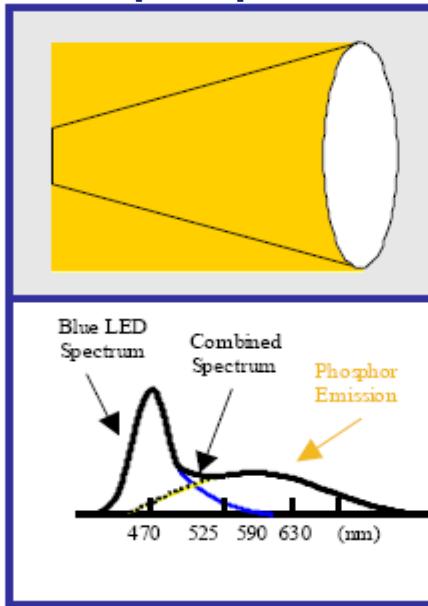
6. Lighting Towards Ultimate Efficiency

General Approaches

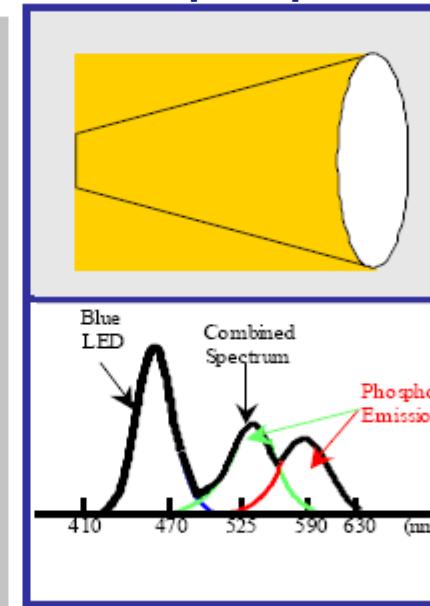
Red + Green + Blue
LEDs



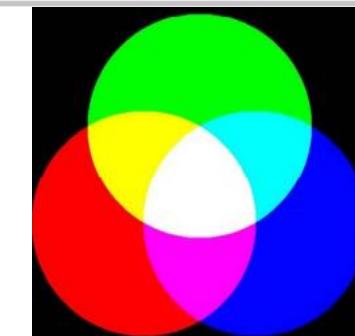
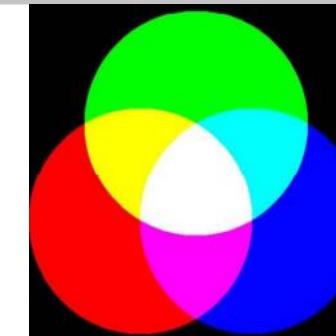
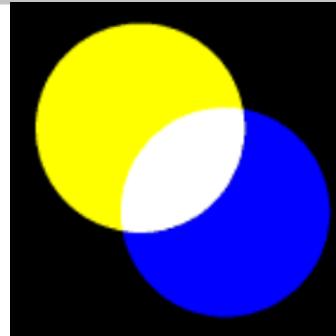
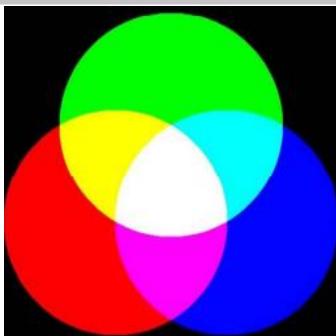
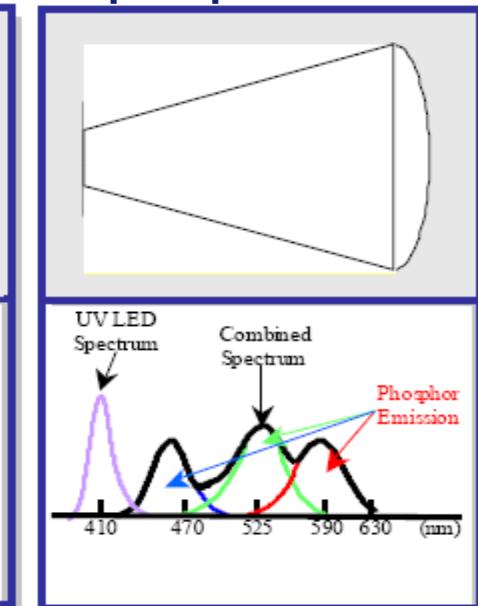
Blue LED + yellow
phosphor



Blue LED + yellow +
red phosphor

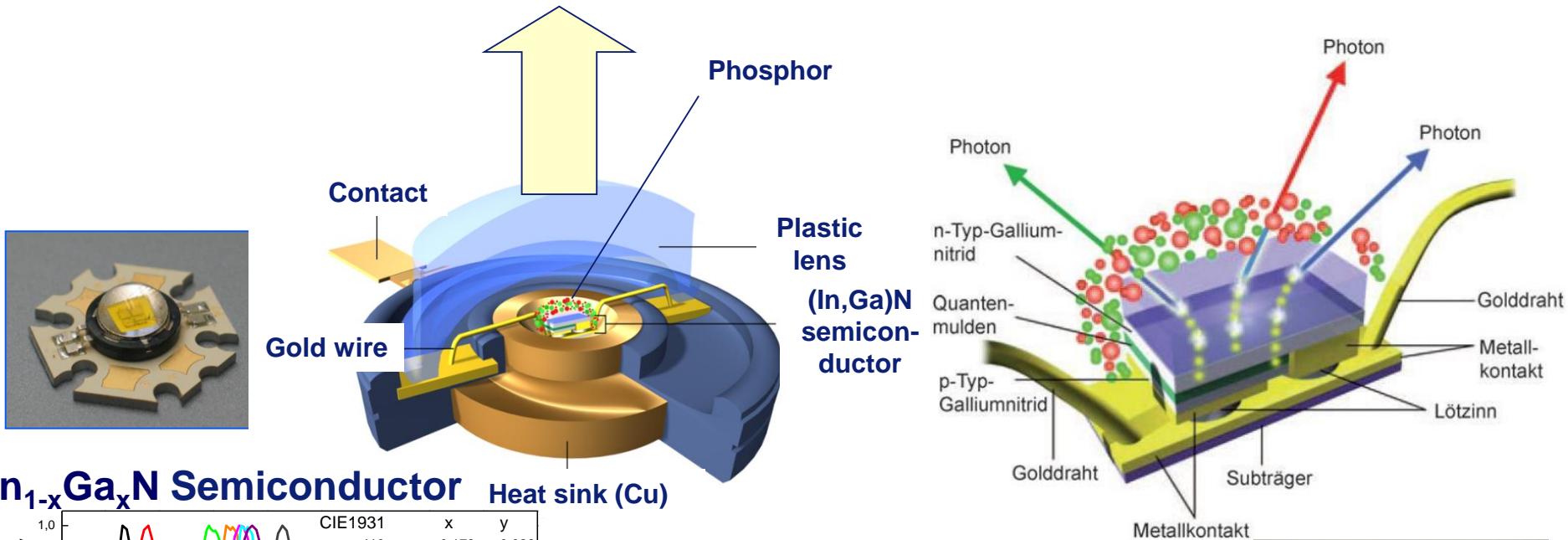


UV LED + RGB
phosphor blend

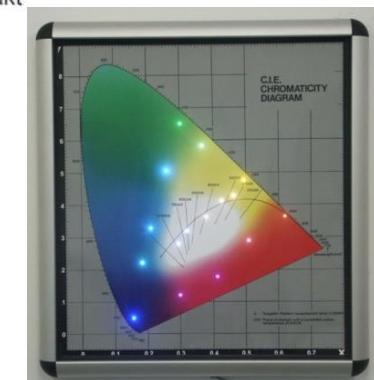
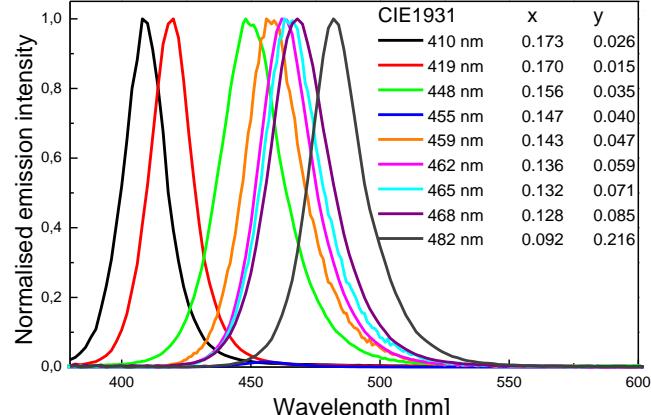


6. Lighting Towards Ultimate Efficiency

“Phosphor Converted” (pc) LED



$\text{In}_{1-x}\text{Ga}_x\text{N}$ Semiconductor Heat sink (Cu)



6. Lighting Towards Ultimate Efficiency

Micropowders or Ceramics

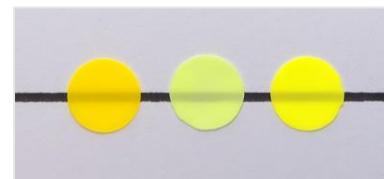
Aluminates $\rightarrow \text{Ce}^{3+}$

$(\text{Y},\text{Gd},\text{Tb})_3\text{Al}_5\text{O}_{12}:\text{Ce}$
 $\text{Lu}_3(\text{Ga},\text{Al})_5\text{O}_{12}:\text{Ce}$



Sulphides $\rightarrow \text{Eu}^{2+}$

$(\text{Ca},\text{Sr})\text{S}:\text{Eu}$



Oxides $\rightarrow \text{Eu}^{2+}$ or Ce^{3+}

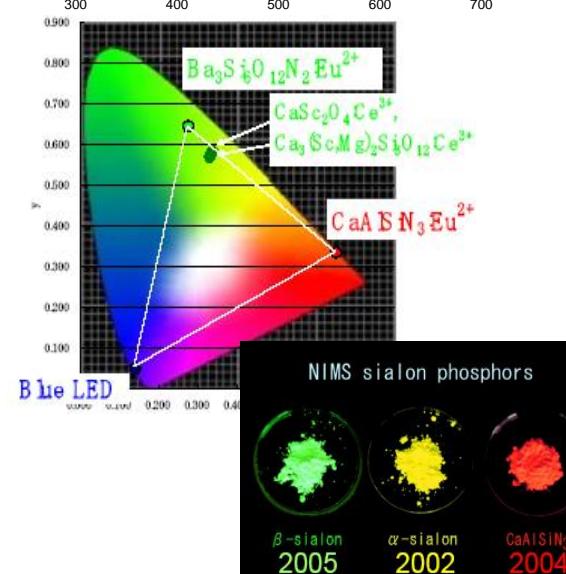
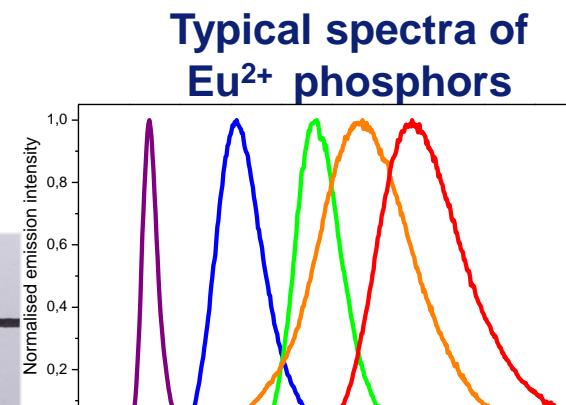
$\text{CaSc}_2\text{O}_4:\text{Ce,Mg}$
 $(\text{Ca},\text{Sr},\text{Ba})_2\text{SiO}_4:\text{Eu}$
 $(\text{Ca},\text{Sr},\text{Ba})_3\text{SiO}_5:\text{Eu}$



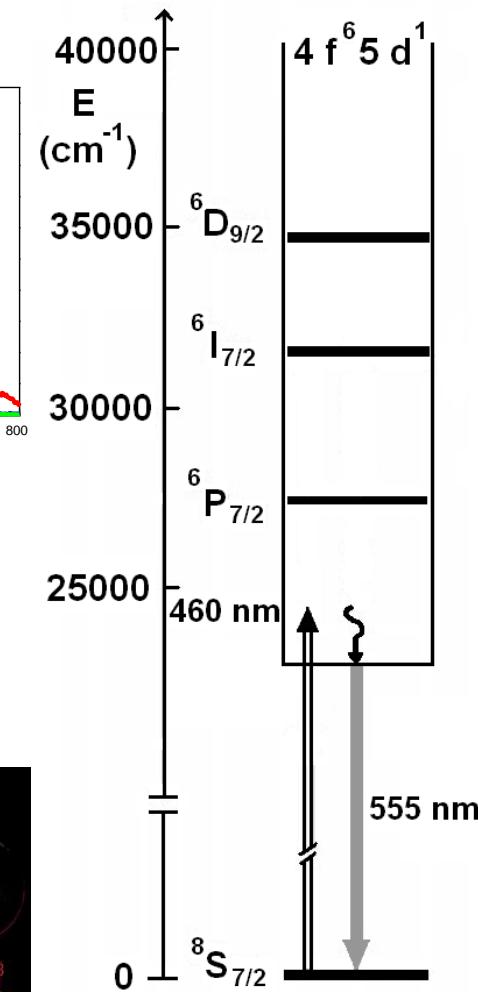
(Oxy)Nitrides $\rightarrow \text{Eu}^{2+}$ or Ce^{3+}

$(\text{Sr},\text{Ca},\text{Ba})_2\text{Si}_5\text{N}_8:\text{Eu}$ „2-5-8“
 $(\text{Sr},\text{Ca},\text{Ba})\text{Si}_2\text{N}_2\text{O}_2:\text{Eu}$ „1-2-2-2“
 $(\text{Ca},\text{Sr})\text{AlSiN}_3:\text{Eu}$ „1-1-1-3“
 $\text{La}_3\text{Si}_6\text{N}_{11}:\text{Ce}$ „3-6-11“
 $\text{Ba}_3\text{Si}_6\text{O}_{12}\text{N}_2:\text{Eu}$
 $\alpha,\beta\text{-Si}_{3-x}\text{Al}_x\text{N}_{4-x}\text{O}_x:\text{Eu}$

SiAlON



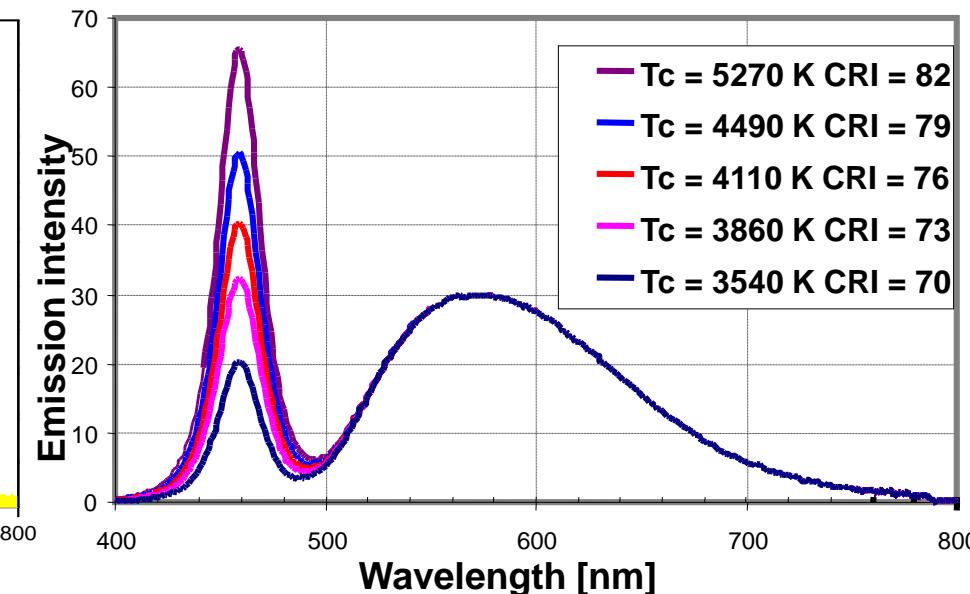
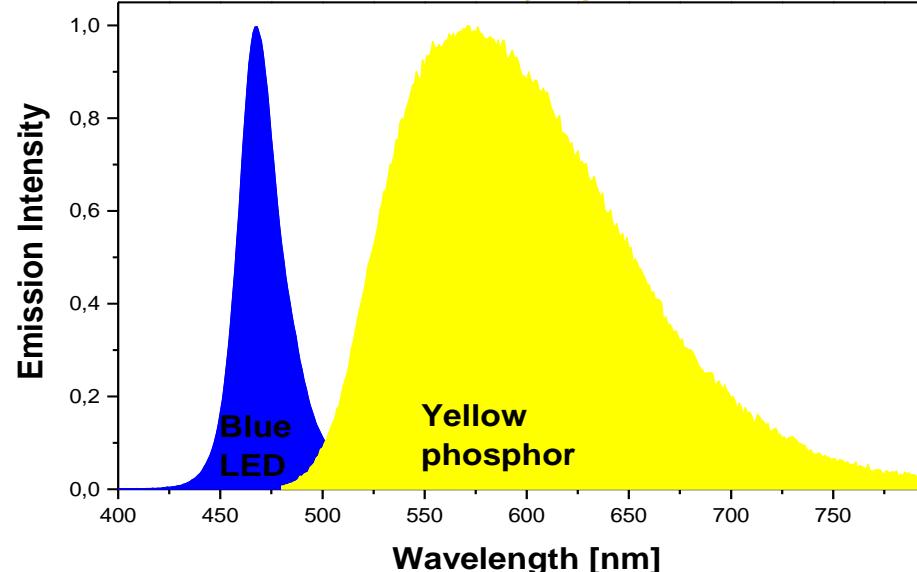
Simplified energy level scheme of Eu^{2+}



6. Lighting Towards Ultimate Efficiency

1st Generation pcLEDs: Wall Plug efficiency (WPE) >> Discharge lamps

(In,Ga)N LED $(Y,Gd)_3Al_5O_{12}:Ce$



Status quo cool white phosphor converted LEDs @ 2021

Yellow phosphors

garnets: $(Y,Gd,Tb)_3Al_5O_{12}:Ce^{3+}$

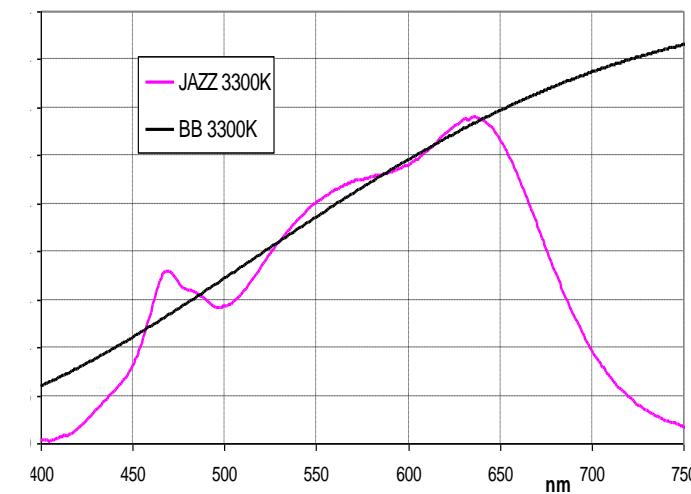
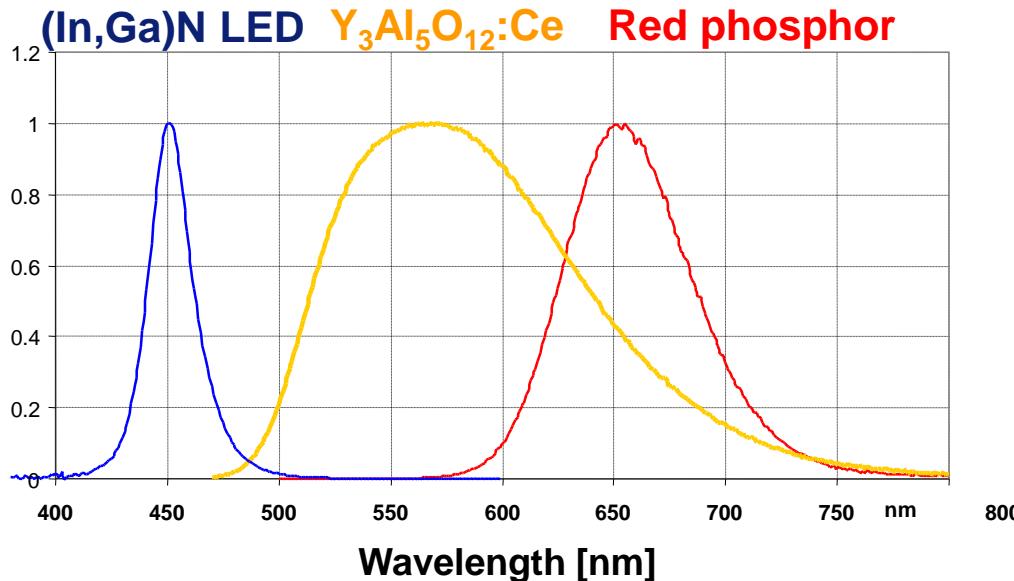
ortho-silicates: $(Ca,Sr,Ba)_2SiO_4:Eu^{2+}$

- LE 300 lm/W (WPE > 80%)
- CRI 70 - 80
- CCT > 5000 K

Element	Y	Gd	Ce	Al	O	$(Y_{0,77}Gd_{0,2}Ce_{0,03})_3Al_5O_{12}$
Molar Mass (g/mol)	88,91	157,25	140,12	26,98	16,0	639,243
Coefficient	2,31	0,6	0,09	5	12	
Mass fraction	32%	15%	2%	21%	30%	100%

6. Lighting Towards Ultimate Efficiency

2nd Generation pcLEDs: Enhancement of CRI and reduction of CCT



Status quo warm white phosphor converted LEDs @ 2019

- **Red phosphor** Eu²⁺ activated
- **LE** 80 - 150 lm/W
- **CRI** 85 – 95
- **CCT** 2500 - 4000 K

Ref.: R. Mueller-Mach, G.O. Mueller, P.J. Schmidt,

T. Jüstel, Red Deficiency Compensating Phosphor LED, Light Emitting Device, US Patent 2003/0006702

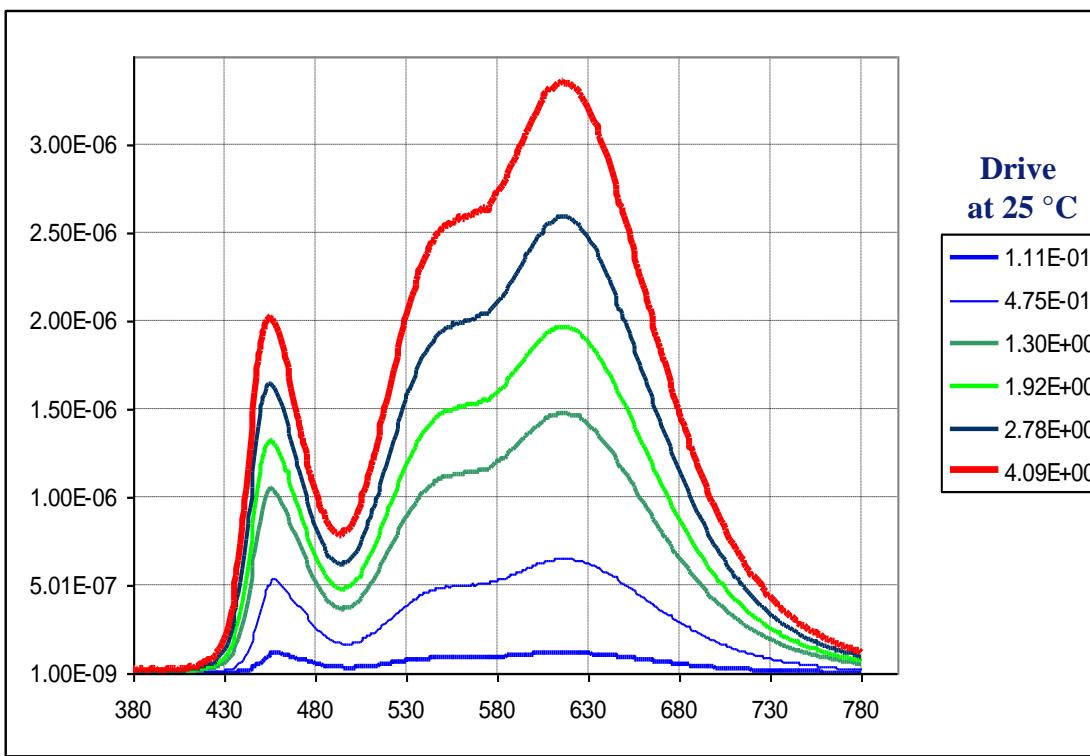
Phosphor	Molar Mass (g/mol)	Coefficient for Eu ²⁺	Mass fraction Eu ²⁺
Ca _{0,5} Sr _{0,45} Eu _{0,05} S	99,14	0,05	8%
(Sr _{0,95} Eu _{0,05}) ₂ Si ₅ N ₈	434,12	0,1	4%
Ca _{0,5} Sr _{0,45} Eu _{0,05} AlSiN ₃	168,14	0,05	5%

6. Lighting Towards Ultimate Efficiency

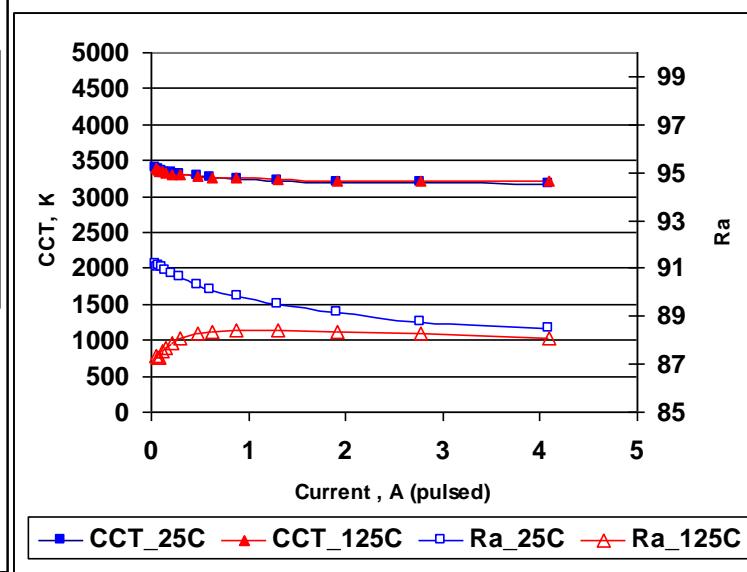
First all nitride LED demonstrated in 2005 ($QY > 0.9$, $QY_{rel}(200\text{ }^\circ\text{C}) > 0.95$)

(In,Ga)N LED + $\text{SrSi}_2\text{N}_2\text{O}_2:\text{Eu}$ + $\text{Sr}_2\text{Si}_5\text{N}_8:\text{Eu}$

or $(\text{Sr,Ca})\text{AlSiN}_3:\text{Eu}$ or $\alpha\text{-SiAlONe}s$



Colour rendering index $CRI > 88$
Excellent colour point consistency
with drive is achieved

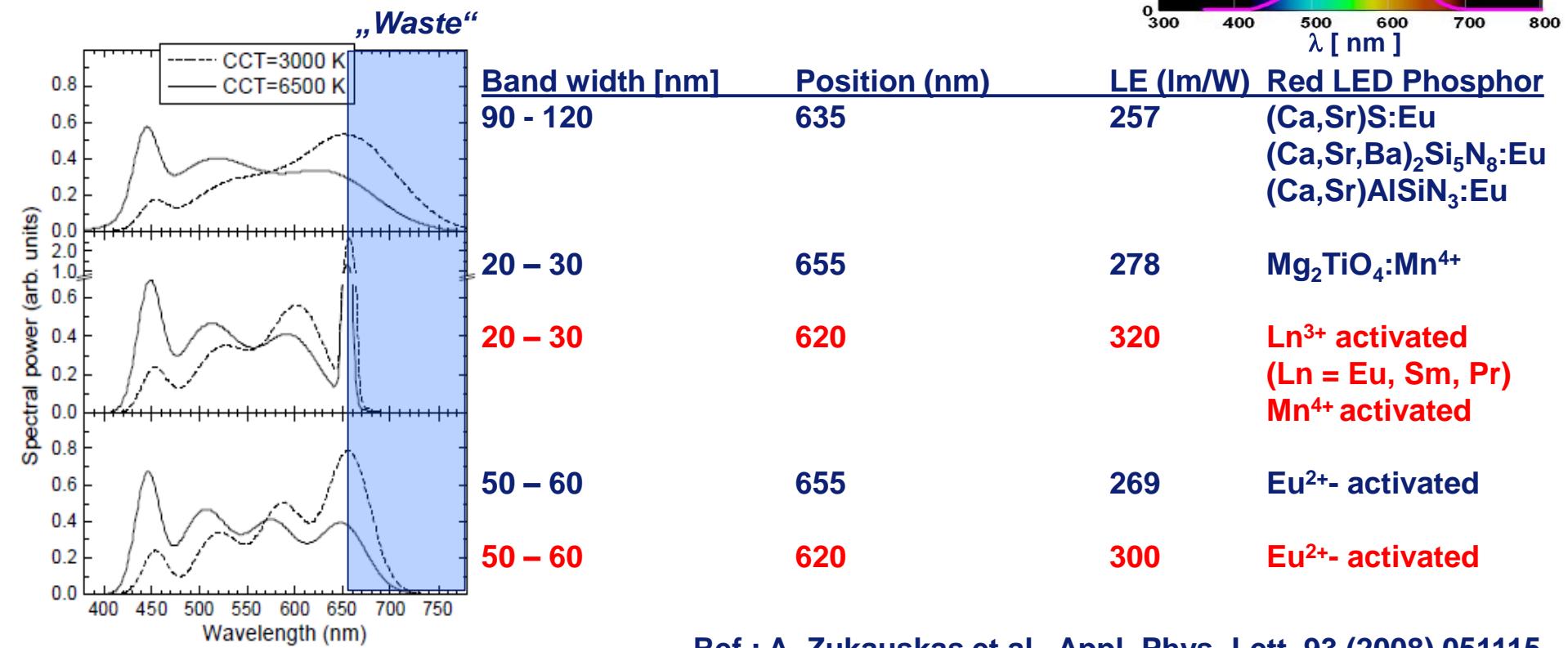
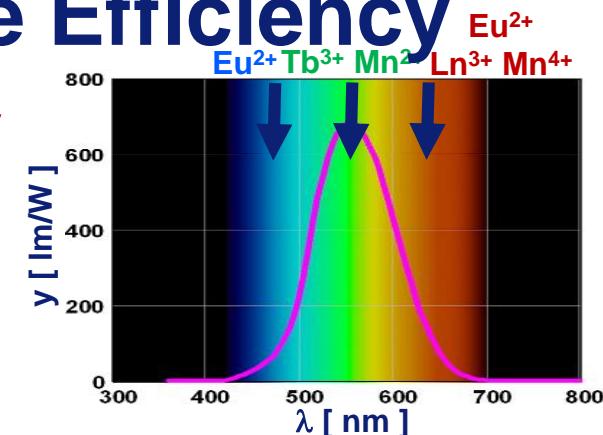


Ref.: R. Mueller-Mach, G.O. Mueller, M.R. Krames, H. Höppe, F. Stadler, W. Schnick, T. Jüstel, P.J. Schmidt,
Highly efficient all nitride phosphor converted white light emitting diode, Phys. Stat. Sol. A 202 (2005) 1727

6. Lighting Towards Ultimate Efficiency

Red band emitter cause reduction in lum. efficacy

1. Spectral interaction due to re-absorption
2. Reduction in lumen equivalent



Ref.: A. Zukauskas et al., Appl. Phys. Lett. 93 (2008) 051115

6. Lighting Towards Ultimate Efficiency

Requirements to an „ideal“ red LED phosphor

- **Narrow FWHM ~ 20 - 60 nm**
- Emission peak at ~ 630 nm
- QY (excitation at 450 nm) > 90%
- Absorption at 450 nm > 50%
- $T_{1/2} > 200 \text{ }^{\circ}\text{C}$
- Decay time < 10 ms
- **No saturation up to 100 W/mm² (good linearity)**
- High (photo)chemical and thermal stability



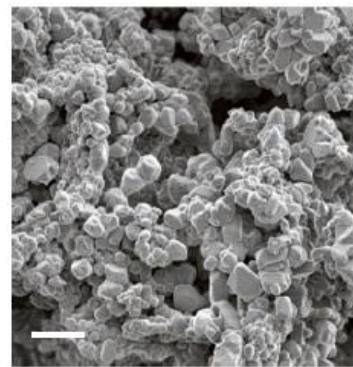
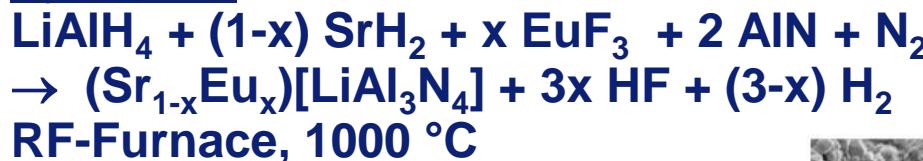
Activator	Spectral range [nm]	Lumen equivalent [lm/W _{opt}]	Decay time τ	QY [%]	Absorption at 450 nm
RE-ions					
Eu²⁺	360 - 700	50 – 550	~ 1 μs	high	strong
Eu ³⁺	590 - 710	200 – 360	~ 1 ms	high	weak
Sm ²⁺	670 - 770	< 100	~ 1 μ s	high	moderate
Sm ³⁺	560 - 710	240 – 260	0.5 ms	moderate	weak
Pr ³⁺	590 - 680	100 – 220	0.1 ms	moderate	weak
TM-ions					
Mn ²⁺	500 - 650	100 - 550	5-15 ms	high	weak
Mn ⁴⁺	620 - 680	80 – 230	1-10 ms	high	moderate
Cr ³⁺	680 - 750	< 100	1-10 ms	high	moderate

6. Lighting Towards Ultimate Efficiency

Narrow band red emitter $\text{Sr}[\text{LiAl}_3\text{N}_4]:\text{Eu}^{2+}$

Claimed as next generation LED-phosphor material"

Synthesis



Optical Properties

$\lambda_{\text{max}} = 651 \text{ nm}$ for 5% Eu^{2+}

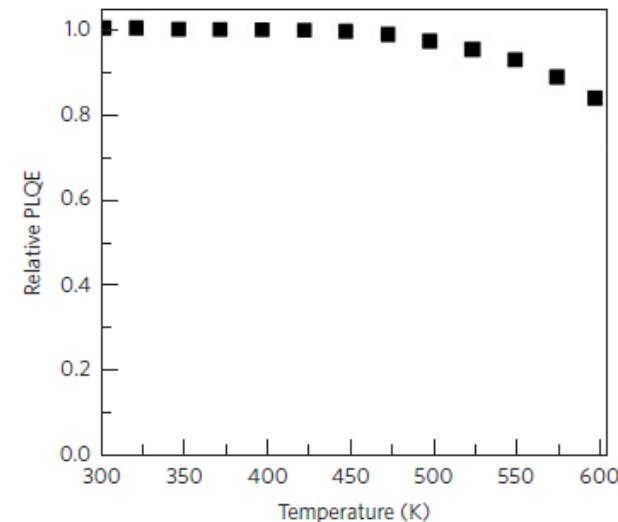
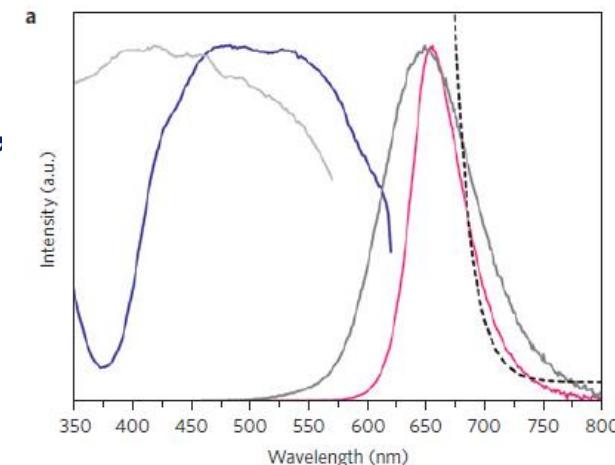
FWHM = 1180 cm⁻¹ (~ 60 nm)

QY(200 °C) > 95% rel. to QY(RT)

Decay time of Eu^{2+} ~ 1.1 μs

Problems: Excitation @ 410 nm → photoionisation
and strong re-absorption of YAG:Ce/LuAG:Ce PL

Ref.: W.S. Schnick et al., Nature Materials (2014) 1-6

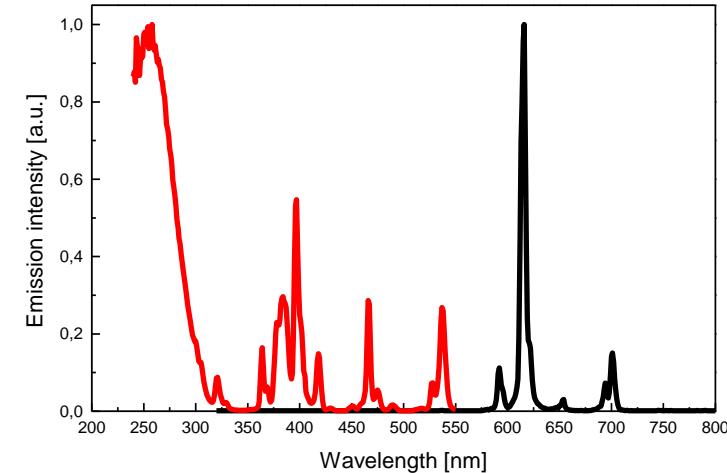


6. Lighting Towards Ultimate Efficiency

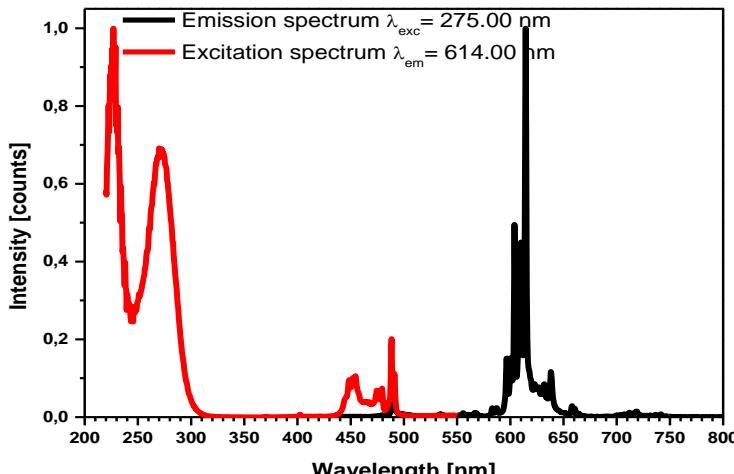
Remaining Options

Red emitter	LE [lm/W _{opt.}]	QY at RT
Eu ³⁺	220 – 360	high
Pr ³⁺	200 – 220	moderate
Mn ⁴⁺	5 – 200	high

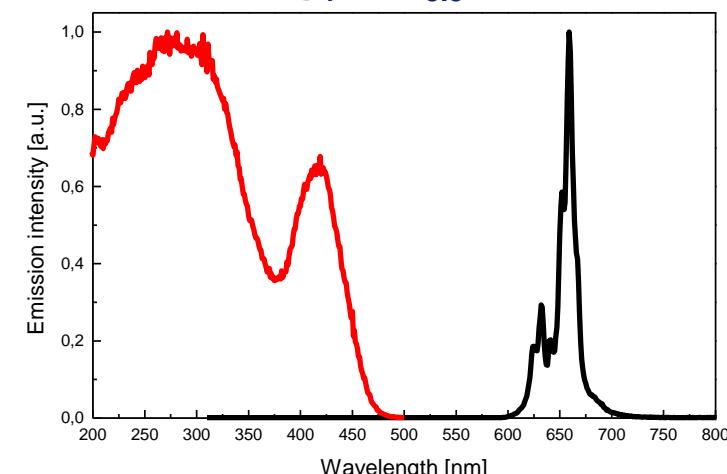
La₂W₃O₁₂:Eu



LuTaO₄:Pr



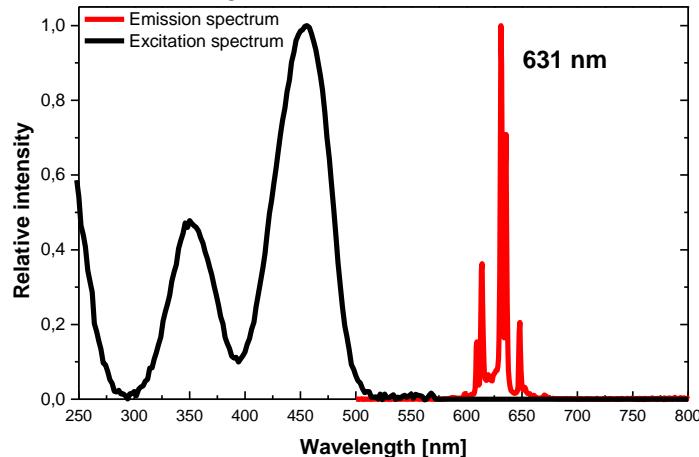
Mg₄GeO_{5,5}F:Mn



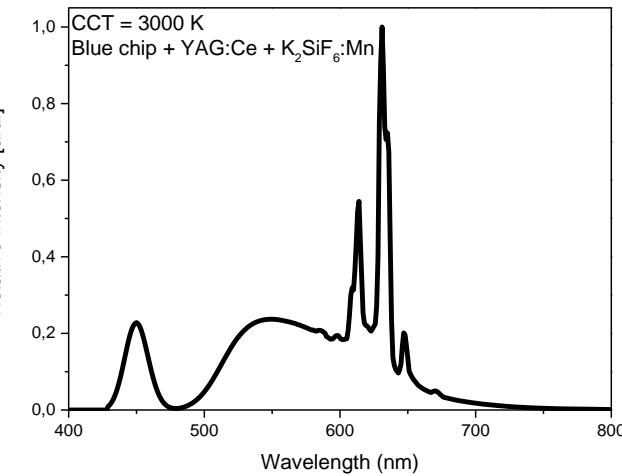
6. Lighting Towards Ultimate Efficiency

Red line emitter → Mn⁴⁺

K₂MF₆:Mn (M = Si, Ge, Ti)



Warm white pcLED



**LED Chip
Converter**

Blue

420 – 480 nm

Yellow

(Y,Gd,Tb,Lu)Al₅O₁₂:Ce

Red

Mn⁴⁺- phosphor

Typical yellow/red blend Problems **Tb₃Al₅O₁₂:3%Ce + K₂[MF₆]:Mn⁴⁺ (M = Si, Ge, Sn, Ti, Zr)**
Absorption strength, linearity, and stability of Mn⁴⁺



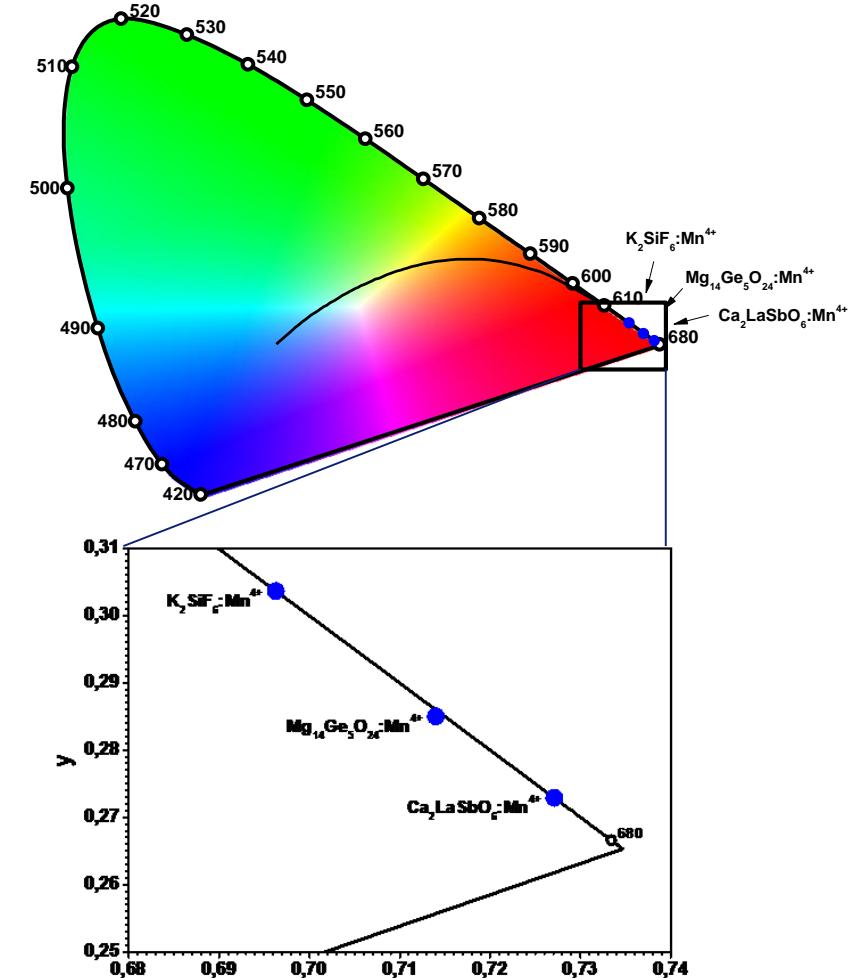
Ref.: A. Srivastava et al., GE, US Patent US2006/0169998

6. Lighting Towards Ultimate Efficiency

Red line emitter → Mn⁴⁺

Phosphor	LE [lm/W]	Peak λ_{em} [nm]
K ₂ SiF ₆ :Mn ⁴⁺	196	631.0
K ₂ TiF ₆ :Mn ⁴⁺	192	631.8
K ₂ GeF ₆ :Mn ⁴⁺	191	632.0
Mg ₁₄ Ge ₅ O ₂₄ :Mn ⁴⁺	80	658
K ₂ Ge ₄ O ₉ :Mn ⁴⁺	46	663*
Rb ₂ Ge ₄ O ₉ :Mn ⁴⁺	38	667*
Ca ₂ YNbO ₆ :Mn ⁴⁺	15	680
Ca ₂ LaSbO ₆ :Mn ⁴⁺	7	699
LaScO ₃ :Mn ⁴⁺	7	703

Ref.: F. Baur, T. Jüstel, J. Luminescence 177 (2016) 354



Fluorides → Rather high luminous efficacy, but stability is a challenge
Oxides → Very stable, but low luminous efficacy

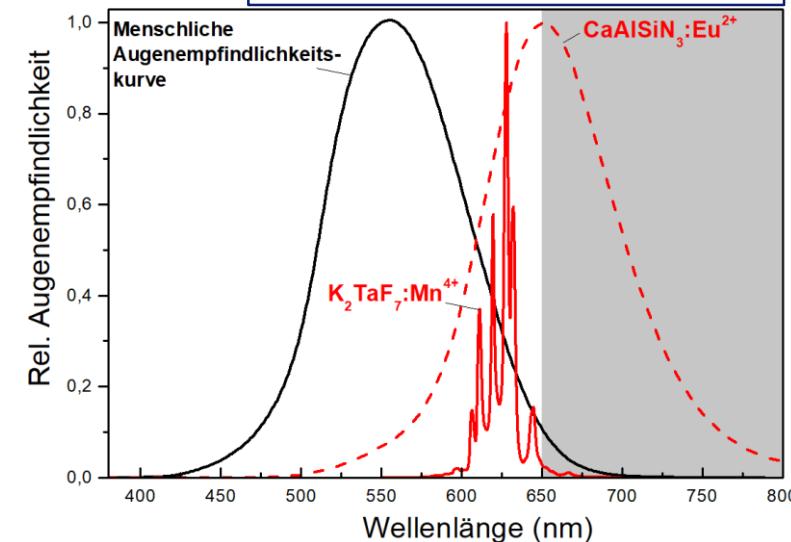
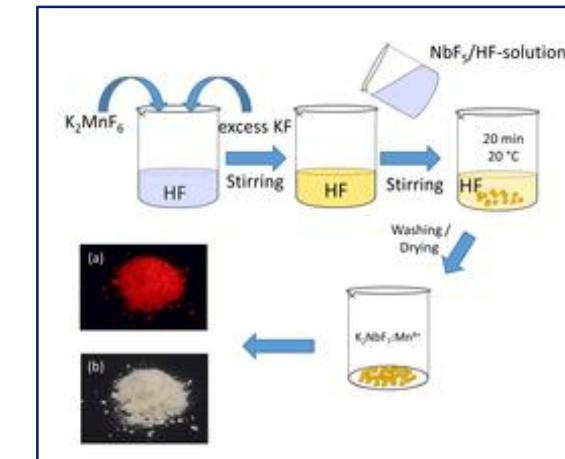
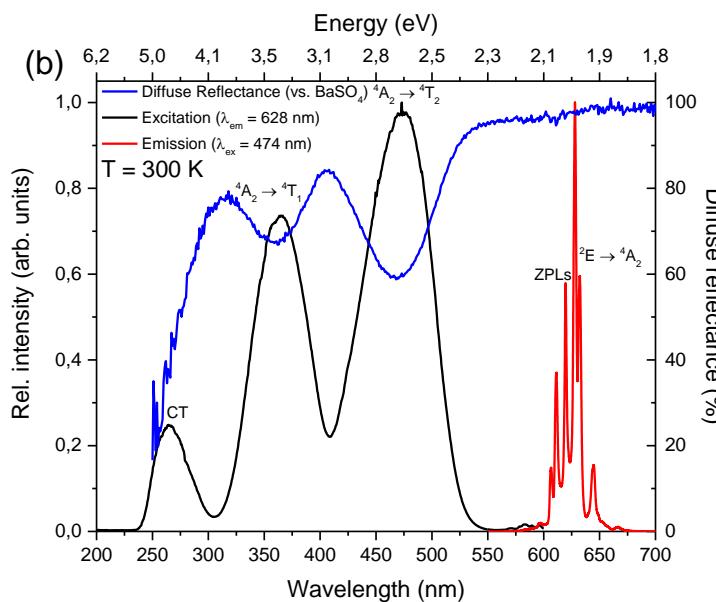
6. Lighting Towards Ultimate Efficiency

Red line emitter → $K_2(Nb,Ta)F_7:Mn^{4+}$

$\lambda_{\text{max}} = 628 \text{ nm}$

LE = 228 lm/W

CIE1931: x = 0.690; y = 0.310

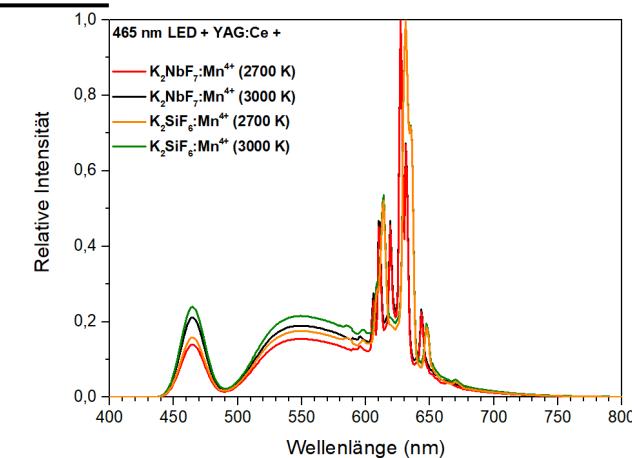


Ref.: T. Jansen, F. Baur, T. Jüstel, Red Emitting $K_2NbF_7:Mn^{4+}$ and $K_2TaF_7:Mn^{4+}$ for Warm-White LED Applications, J. Luminescence 192 (2017) 644

6. Lighting Towards Ultimate Efficiency

Red line emitter → $K_2(Nb,Ta)F_7:Mn^{4+}$ with superior luminous efficacy (LE)

Blue LED + YAG:Ce +	CCT [K]	LE [lm/W _{opt}]	CRI
$K_2NbF_7:Mn^{4+}$	3000	346	95
	2700	345	95
$K_2TaF_7:Mn^{4+}$	3000	345	95
	2700	345	94
$Na_3AlF_6:Mn^{4+}$	3000	345	95
	2700	344	95
$K_2SiF_6:Mn^{4+}$	3000	339	95
	2700	297	95
$Mg_{14}Ge_5O_{24}:Mn^{4+}$	3000	254	83
	2700	241	78
$Y_2Mg_3Ge_3O_{12}:Mn^{4+}$	3000	255	84
	2700	242	79
$CaAlSiN_3:Eu^{2+}$	3000	272	93
	2700	260	95



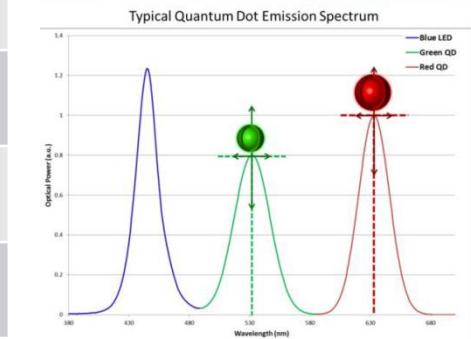
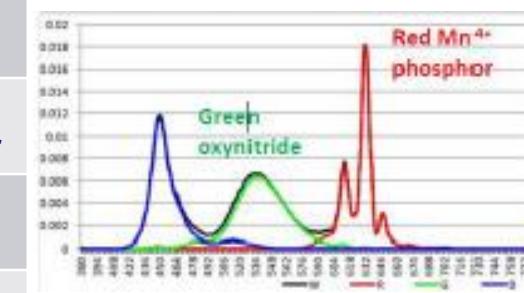
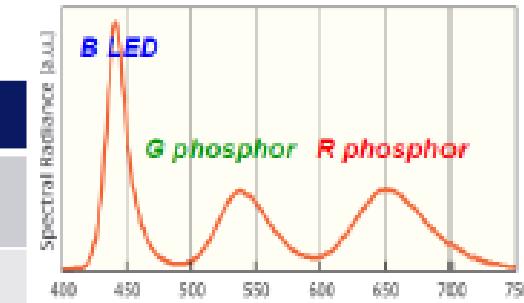
A 2700 K LED comprising YAG:Ce and $K_2TaF_7:Mn^{4+}$ shows a 15% higher LE than an LED comprising YAG:Ce and $K_2SiF_6:Mn^{4+}$

6. Lighting Towards Ultimate Efficiency

The Quest for a Narrow Band Red Emitter

$\text{Eu}^{2+} \rightarrow \text{Mn}^{4+}$ or CdSe / InP QDots

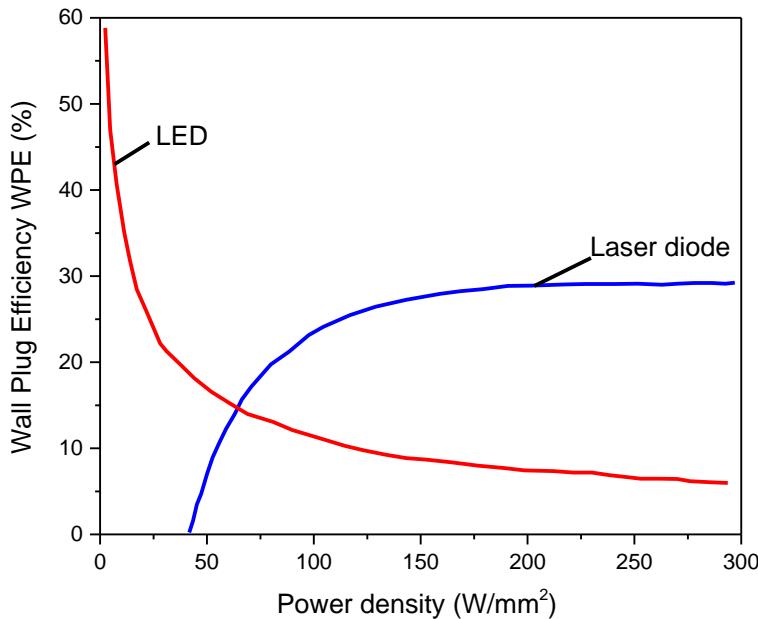
Material	Peak at [nm]	FWHM [nm]	Pros	Cons
(Sr,Ca)S:Eu	615 - 650	60 - 70	Rather narrow band	Low chemical stability
(Sr,Ba) ₂ Si ₅ N ₈ :Eu	585 - 625	80 - 100	Reliability	IR spillover
(Ca,Sr)AlSiN ₃ :Eu	610 – 655	80 – 90	Reliability	IR spillover
SrLiAl ₃ N ₄ :Eu	650	50 nm	Narrow band	Self absorption, some IR spillover
K ₂ SiF ₆ :Mn	631	Lines < 2 nm	Very narrow band, low stab.	Moderate absorption
CdSe QDots	Tunable green to red	30 – 50	Narrow band	Reliability, Reabsorption
InP QDots	Tunable green to red	45 – 65	Narrow band	Reliability, Reabsorption
Direct red LEDs	Tunable red	25 – 35	No Stokes loss Narrow band	Strong TQ, more complex
K ₂ (Ta,Nb)F ₇ :Mn	628	Lines < 2 nm	Very narrow band, stability?	Moderate absorption



Modified from GE, PGS2016, Newport Beach, CA, USA

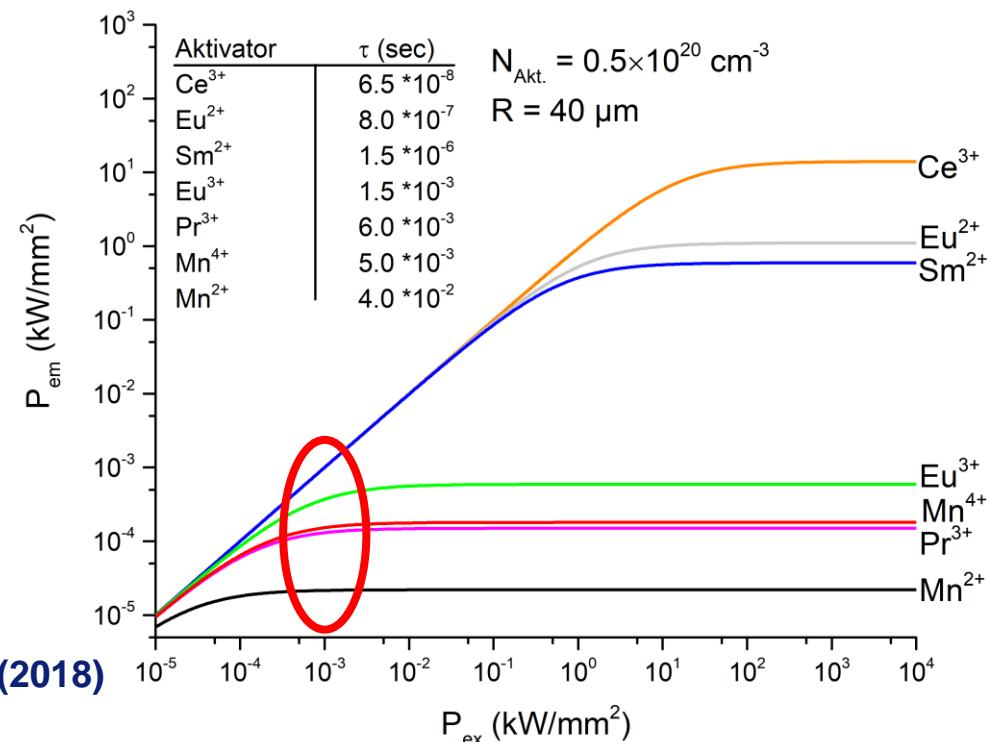
6. Lighting Towards Ultimate Efficiency

Red line emitter → Remaining problem: Saturation at ~ 1 W/mm²



Brils Modell*: $P_{em,max} = Nact E_{hv} C_{extraction} R / \tau_r$

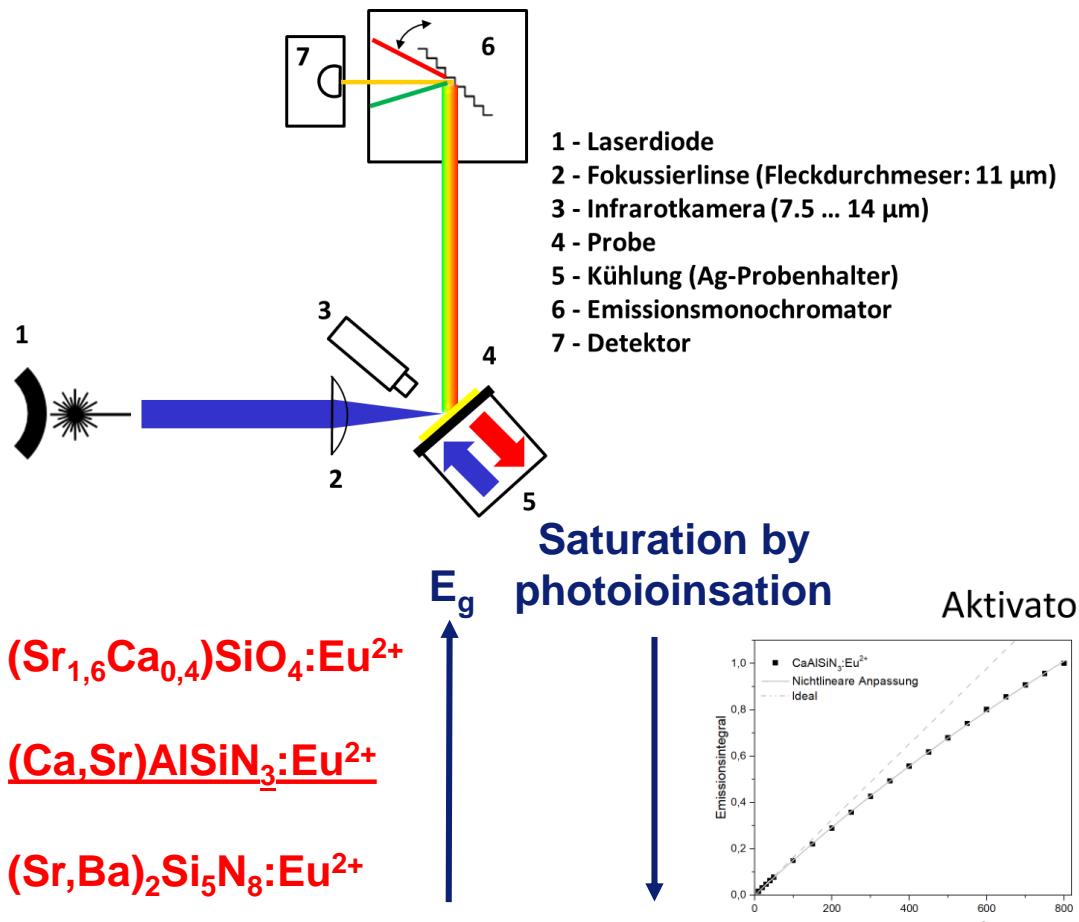
$$\eta = \frac{P_{em}}{P_{abs}} = \frac{\eta_0}{1 + (P_{abs} \eta_0 / P_{em,max})}$$



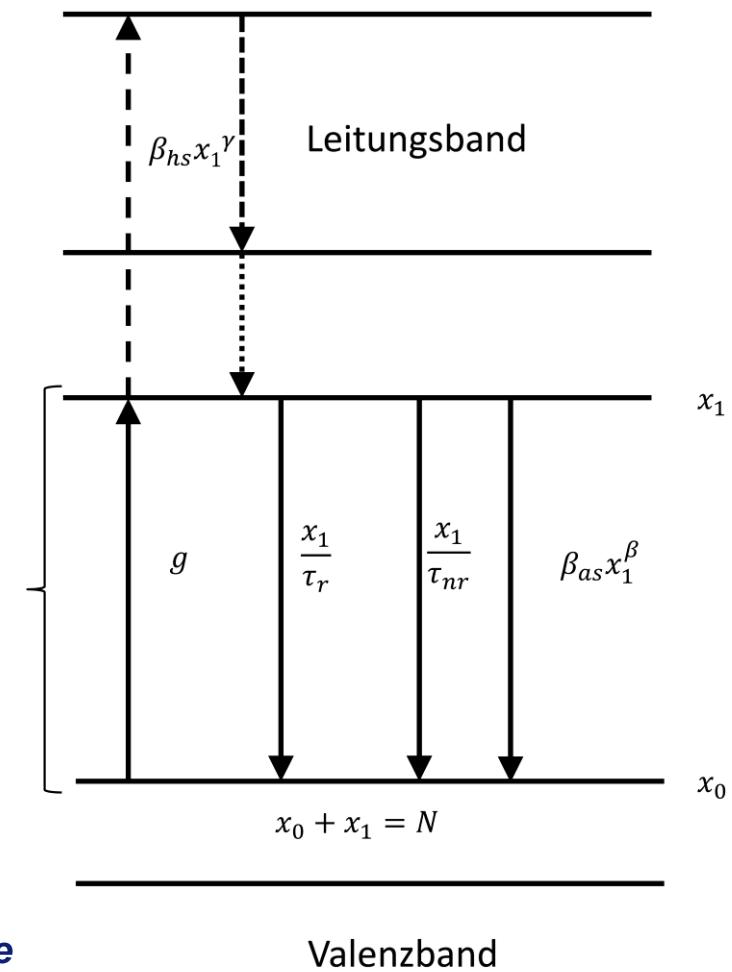
Ref.: Dissertation WWU Münster, Thomas Jansen (2018)

*A. Bril, Physica 15 (1949) 361-379

6. Lighting Towards Ultimate Efficiency



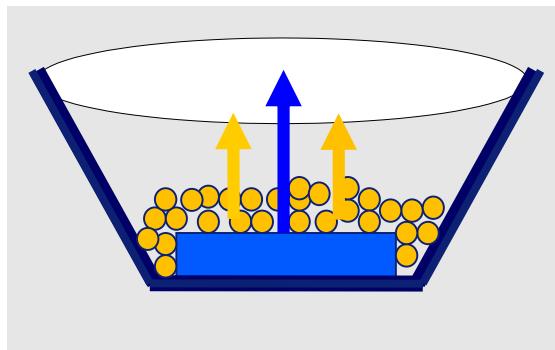
T. Jansen, D. Böhnisch, T. Jüstel, On the Photoluminescence Linearity of Eu^{2+} based LED Phosphors upon High Excitation Density, ECS J. Solid State Sci. Technol. 5 (2016) R91



6. Lighting Towards Ultimate Efficiency

Morphology of converter: μ -powders \rightarrow Nanopowders or ceramics

**Blue (In,Ga)N LED + YAG:Ce μ -powder
(many products, industrial standard)**



$\rightarrow (Y,Gd)_3Al_5O_{12}:Ce$

$(Y,Gd)AG$

$\rightarrow SrSi_2N_2O_2:Eu$

SSONE

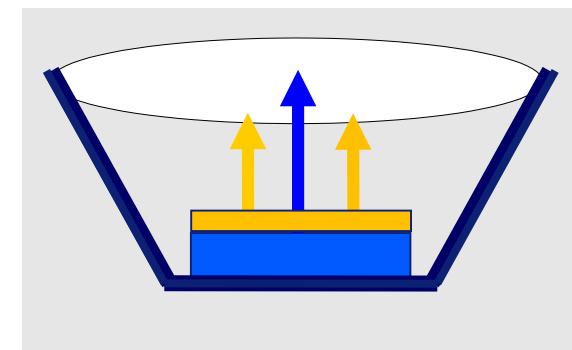
$\rightarrow Ba_2Si_5N_8:Eu$

BSSNE

$\rightarrow CaAlSiN_3:Eu$

eCAS

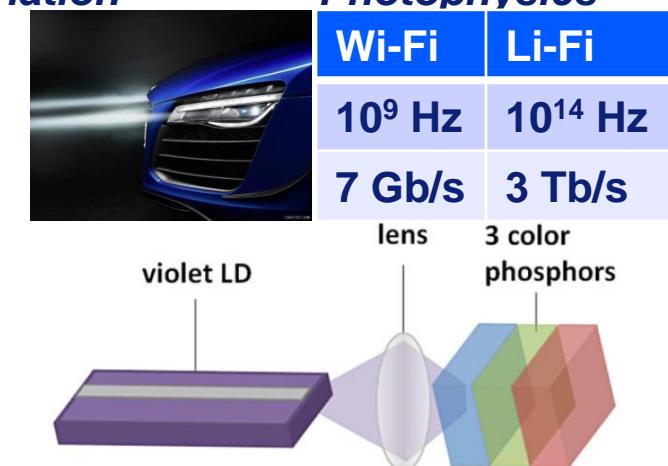
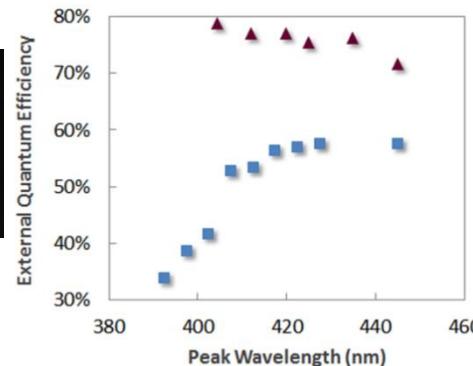
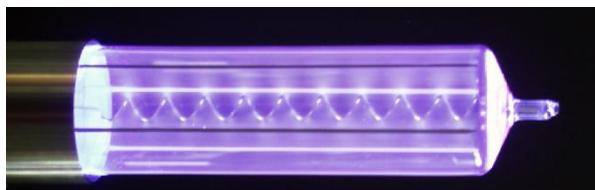
**Blue (In,GaN) LED + (Y,Lu)AG:Ce
ceramic body (Philips: Lumiramic,
Osram: c², Schott)**



7. Summary and Outlook

21st Century Light/Radiation Sources

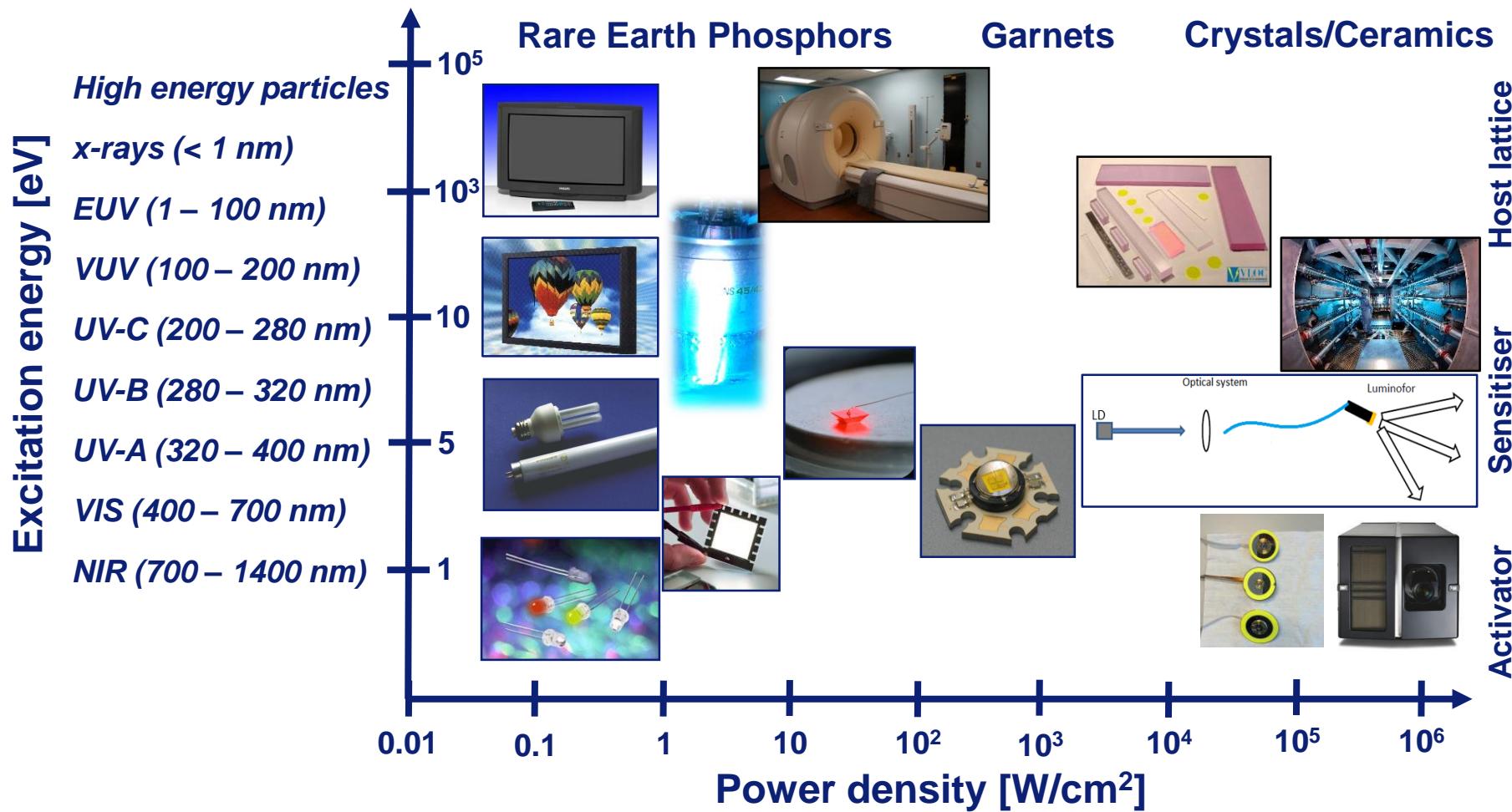
Parameter	Xe Excimer Discharge	LED	Laser Diode (LD)
Power density	1-10 W/cm ²	100-1000 W/cm ²	> 1000 W/cm ²
Spectral range	170 - 700 nm	210 - MIR	210 - MIR
Life time	> 10000 h	> 30000 h	> 10000 h
<i>Application areas</i>	<i>Plasma displays</i> <i>Disinfection</i> <i>Purification</i> <i>Photochemistry</i> <i>Lithography</i>	<i>Illumination</i> <i>Photopolym.</i> <i>Photomedicine</i> <i>Agriculture</i> <i>Automotive</i> <i>Signalling</i> <i>Aviation</i>	<i>Projection</i> <i>Data transfer</i> <i>Photomedicine</i> <i>Photophysics</i> <i>Automotive</i>



Ref.: C. Hurni et al., Applied Physics Letters 106, 031101 (2015)

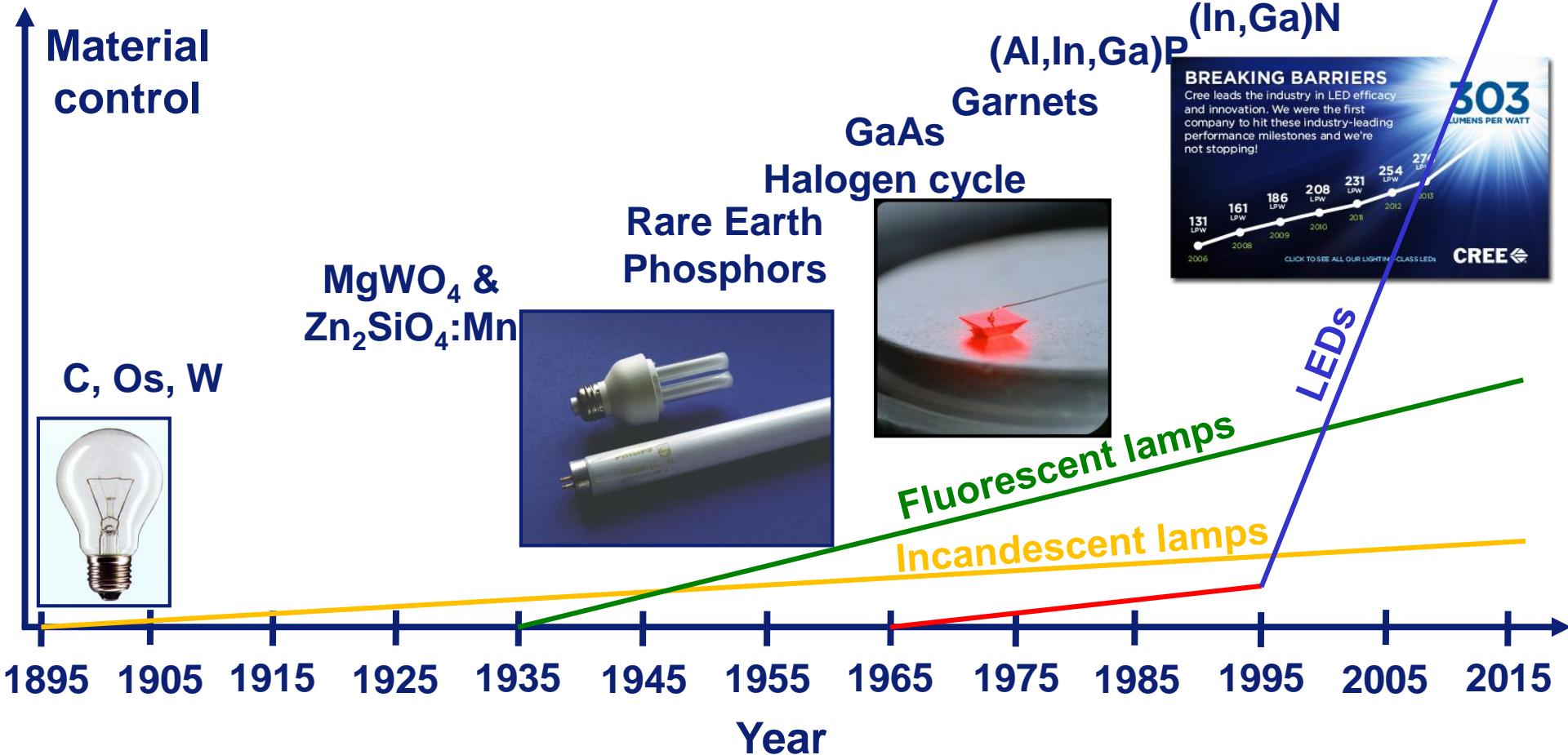
7. Summary and Outlook

Increase of energy density drives search for novel materials



7. Summary and Outlook

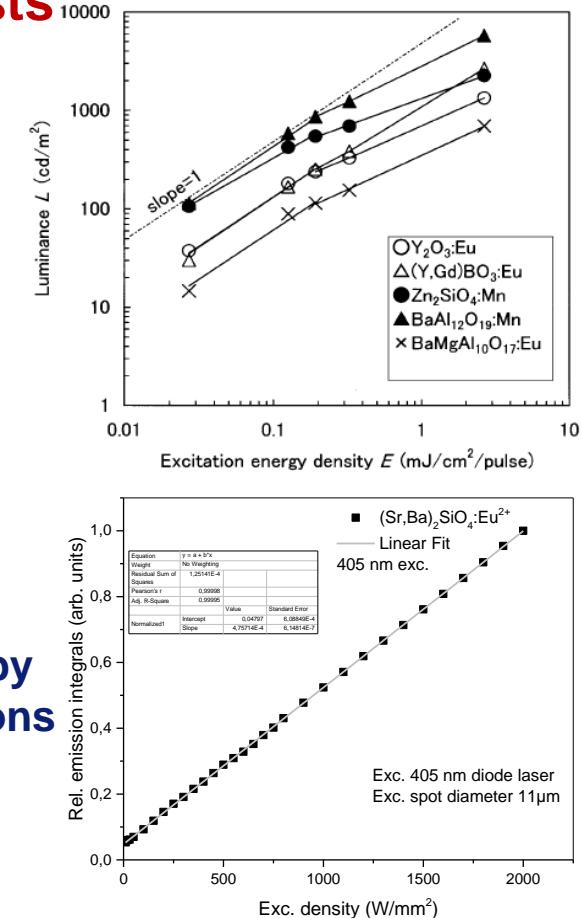
Development of light sources driven by material science



7. Summary and Outlook

Demands on converter materials & photo catalysts

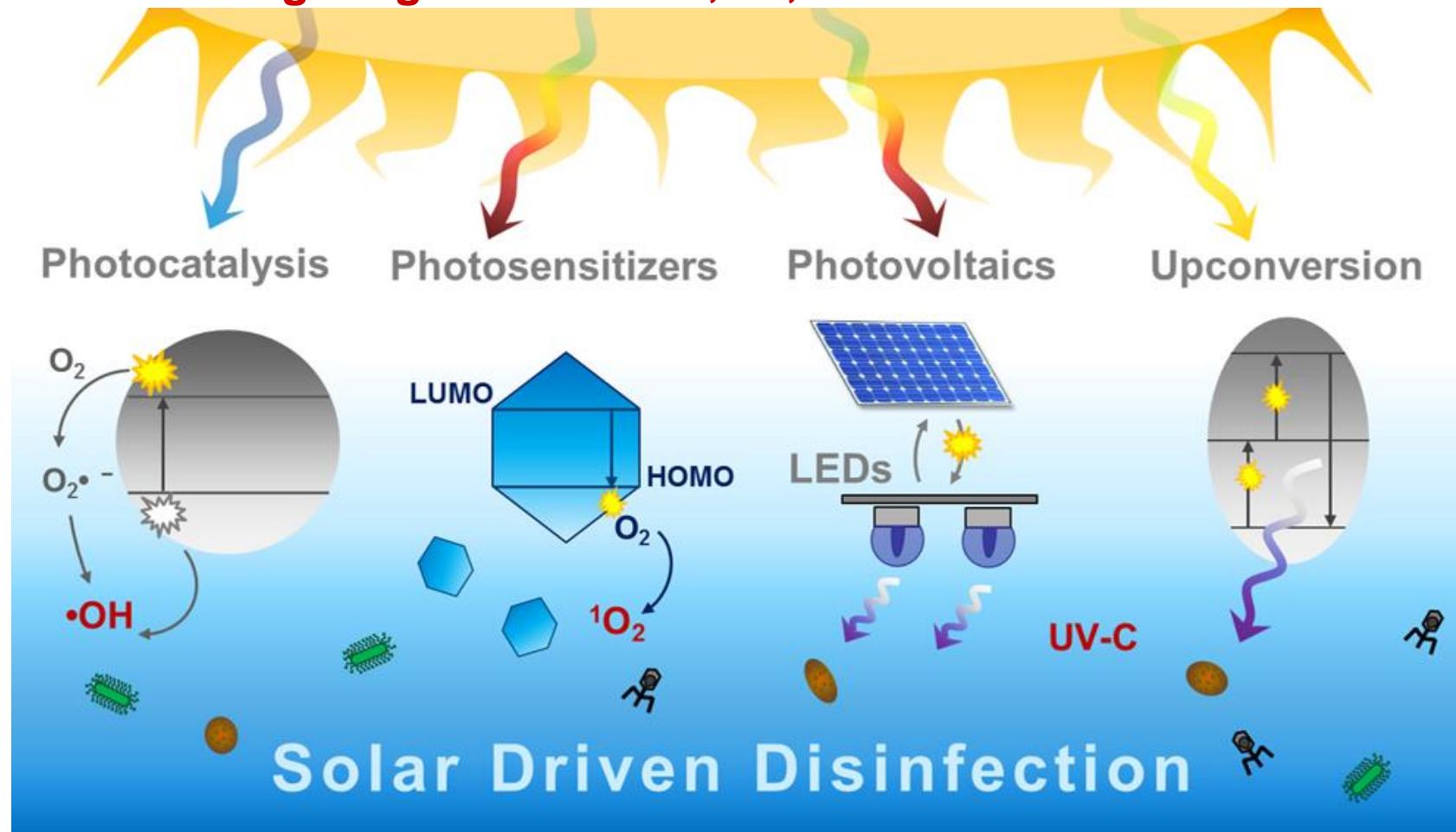
- ⇒ Materials with
 - High thermal quenching temperature
 - Reduced (photo)chemical aging
 - Increased linearity and thus absorption strength
 - Reduced tendency for photoionisation
- ⇒ Rigid host materials with high thermal conductivity, little thermal expansion, low defect density and optimised e-/h⁺ mobility
- ⇒ Future activities
 - Development of suitable analytical tools and spectroscopy
 - Link CF- and JO-theory to predict intens. of 4f-4f transitions
 - Find rigid hosts for VUV, UV-C or, x-ray excitation
 - Develop core-shell particles & translucent ceramics
 - Photochemistry with excimer lamps, LEDs, and Laser
 - Photochemical processes for nanoscale coatings



Ref.: T. Jansen, D. Böhnisch, T. Jüstel,
ECS J. of Solid State Science and
Technology 5 (2016) R91

7. Summary and Outlook

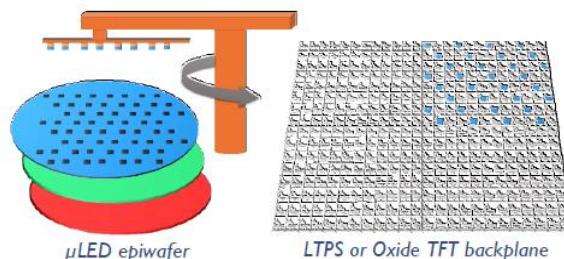
Trend: Use solar light & combine with traditional light sources, e.g. for indoor lighting and/or water, air, and surface disinfection



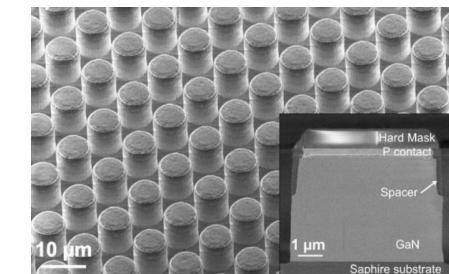
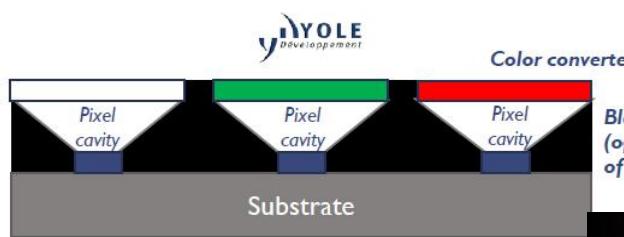
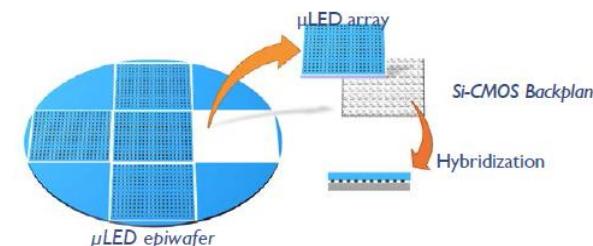
7. Summary and Outlook

Trend: Micro LEDs for next generation displays (beyond LCD and OLED)

Large displays with low pixel densities
(TV, smartphones...):
R,G,B LED or Blue + color converter



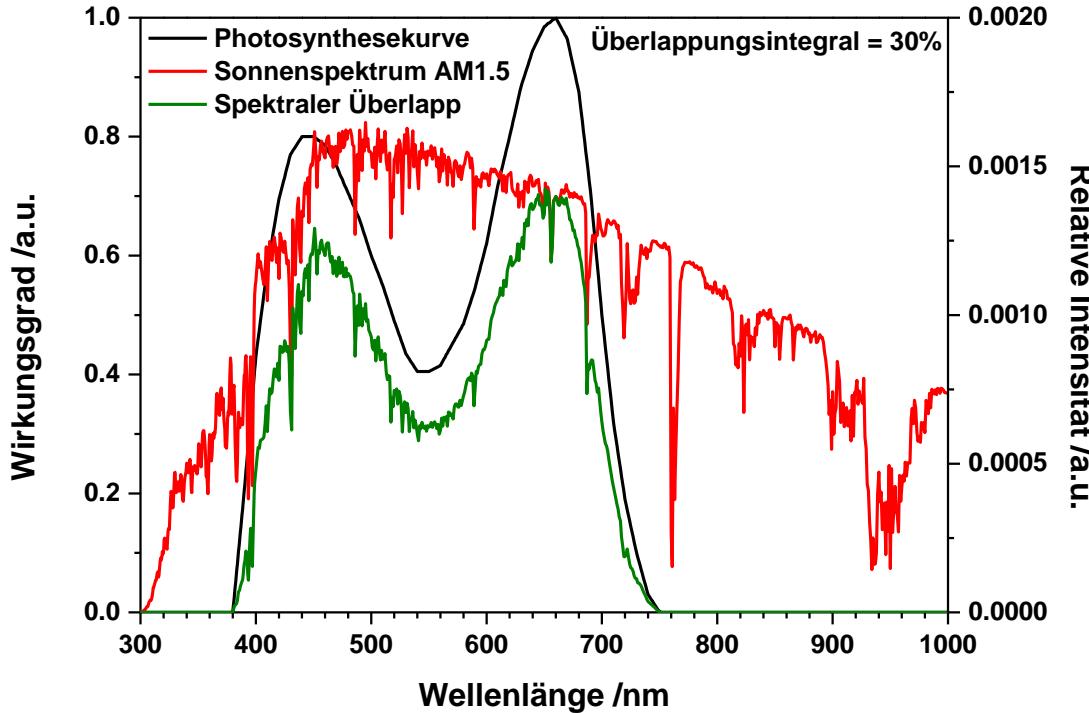
High resolution/pixel density integrated arrays
for microdisplays (AR/MR/VR):



Ref.: Eric Virey, YOLE,
Phosphor Global Summit,
March 2018, San Diego, CA
Energy efficient μ -LEDs
cinemas are under construction
worldwide

7. Summary and Outlook

Trend: Horticulture Lighting

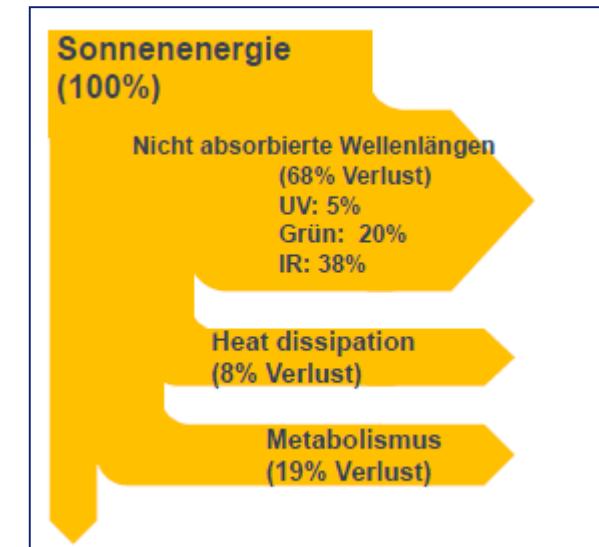


Solar spectrum AM1.5

Overlap integral: 30% → ~ 70% loss

Heat dissipation loss: ~ 8%

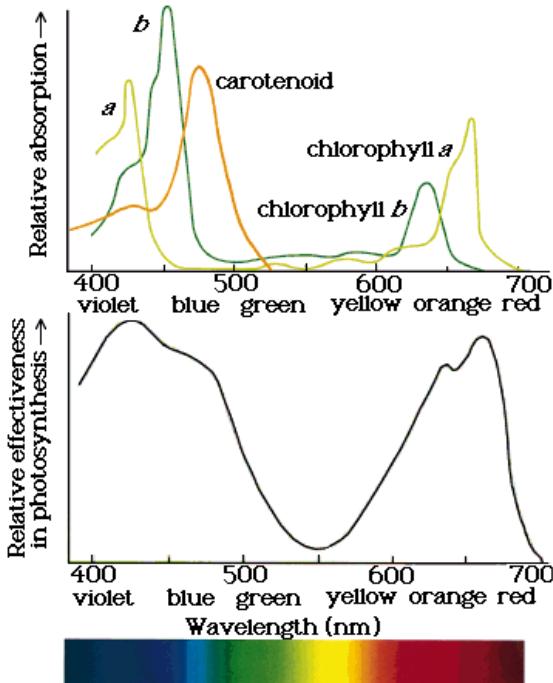
Metabolism losses: ~19% → Efficiency ~ 5%



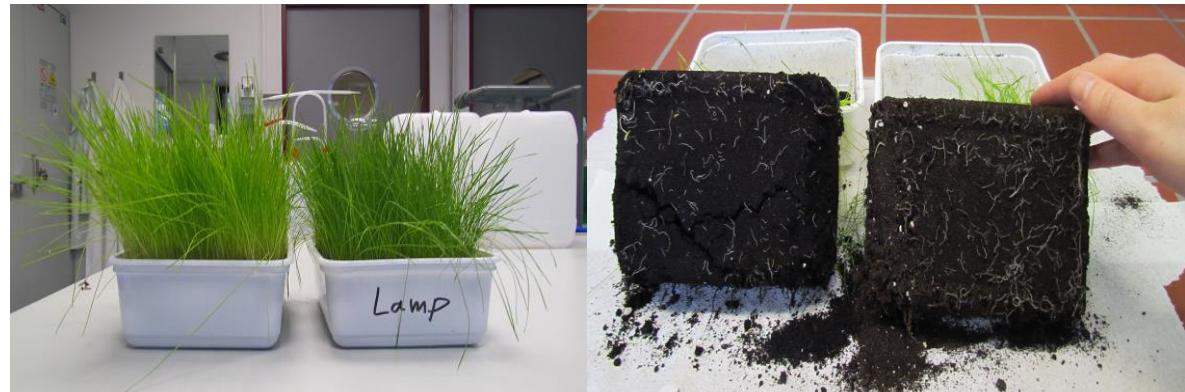
7. Summary and Outlook

Trend: Horticulture Lighting by using LEDs

- Na low-pressure discharge lamps
- Fluorescent lamps with RB phosphor blend
- Blue and red LEDs
- **Blue LEDs + red phosphor (~ 680 nm) → Efficacy↑**

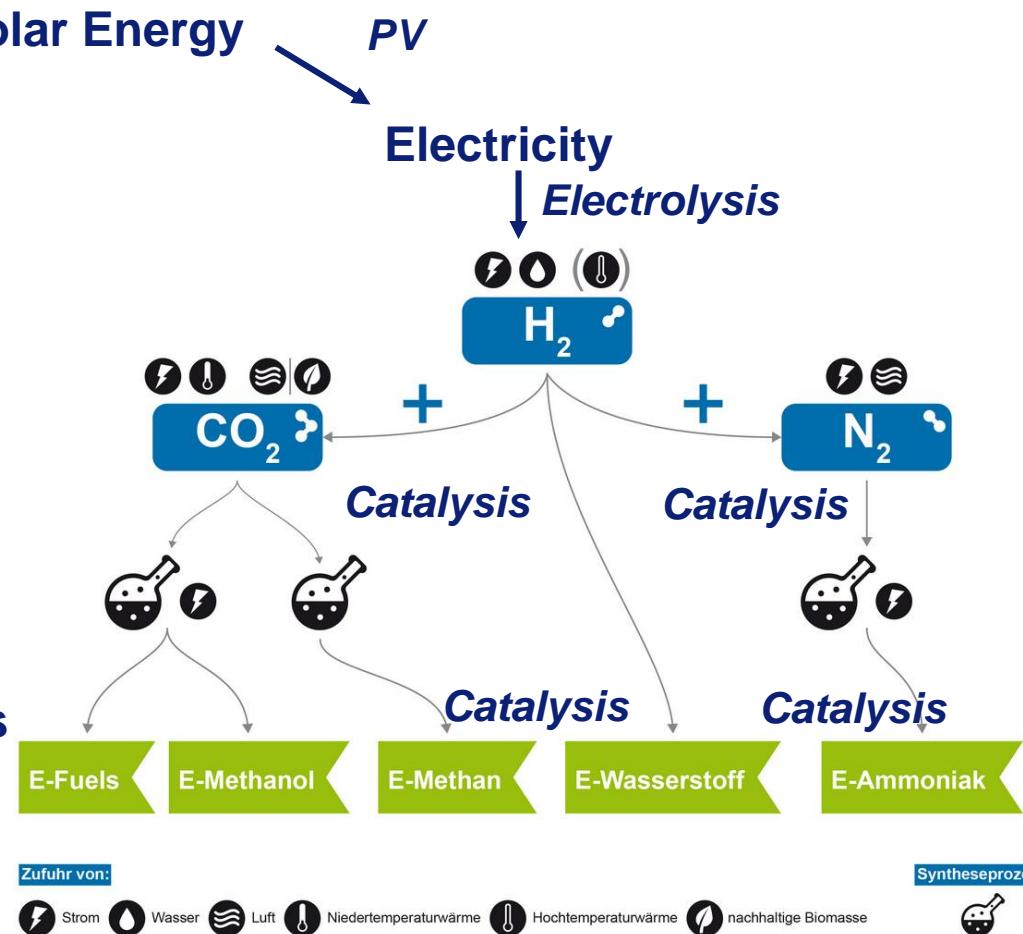
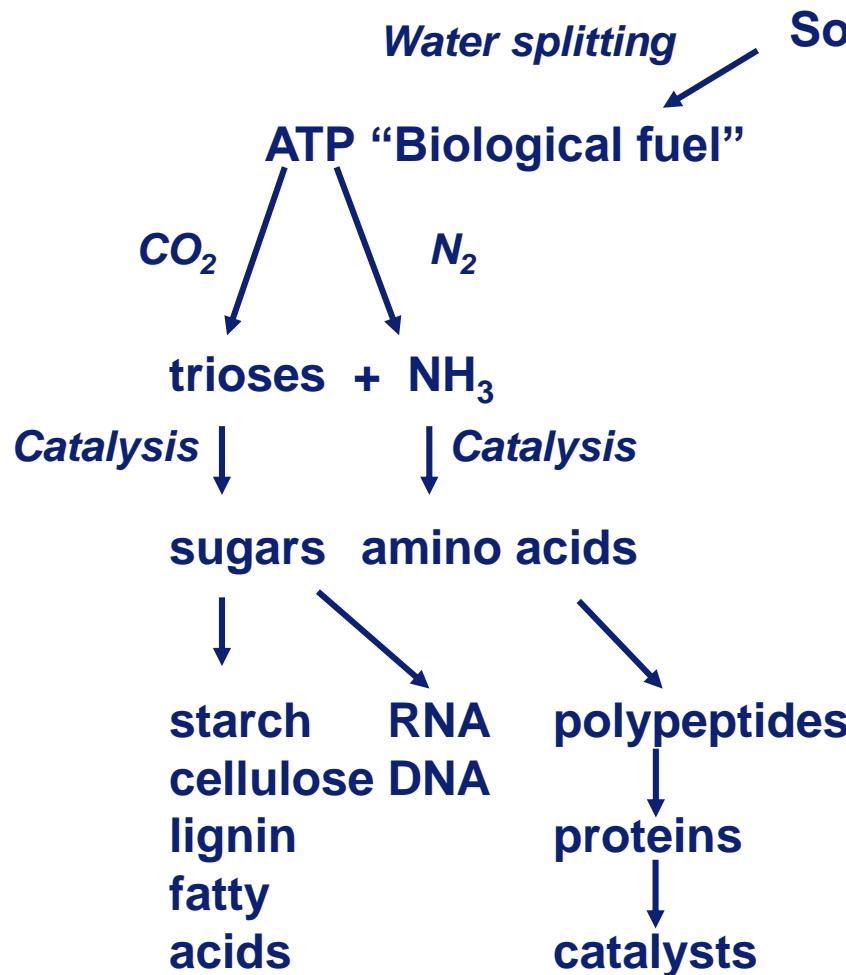


Grass cultivated upon daylight or upon LED illumination
Daylight (left vessel) and LED illumination (right vessel)
Plants Roots



7. Summary and Outlook

Trend: „Biomimetic economy“



Source: Öko-Institute 2019

Literature

Internet-Links

- Homepage T. Jüstel (Download-Portal, PISA & LISA) www.fh-muenster.de/juestel

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- **CO₂-storage by silicate chemistry (Energy Procedia 1 (2009) 3149)**
- **Global Hg Emissions to the atmosphere (Atmos. Chem. Phys. 10 (2010) 5951)**
- **Extreme melt on Canadas Arctic ice caps in the 21st century (Geophys. Res. Lett. 38 (2011) L11501)**
- **September Arctic sea ice predicted to disappear near 2°C global warming above present (J Geophys Res 117 (2012) D06104)**
- **Global warming releases microplastic legacy frozen in Arctic Sea ice (Earths Future 2 (2014) 315)**
- **Global oxygen budget and its future projection (Science Bull. 63 (2018) 1180)**
- **The Information Factories (Nature 561 (2018) 163)**
- **Kunststoffe in der Umwelt (Fraunhofer Umsicht Juni 2018)**
- **Arctic sea ice is an important temporal sink for microplastic (Nature Comm. (2018) 1)**
- **Plastic degradation in cold marine habitats (Appl. Microbiol. Biotech. 102 (2018) 7669)**

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- Ecotoxicity of the two veterianarian antibiotics ceftiofur and cefapirin before and after phototransformation (Science Total Environment 619-620 (2018) 866)
- Existential climate-related security risk (Policy Paper May 2019)
- CO₂-Das Klimagas vergraben (Spektrum der Wissenschaft 7 (2019) 62)
- Rapid increase in Asian bottles in the South Atlantic Ocean (PNAS (2019) 1)
- Assessing Plastic Ingestion from Nature to People (Dalberg WWF analysis (2019) 1)
- UN Report: Nature's Dangerous Decline Unprecedented; Species Extinction Rates Accelerating (2019)
- New elevation data triple estimates of global vulnerability to sea-level rise and coastal flooding (Nature Commun. 10 (2019) 4844)
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