





Applications of Pr³⁺ Phosphors to Fight the Covid-19 Pandemic

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Outline

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- 2. Some Fundamentals of Pr³⁺ Luminescence
- 3. UV Disinfection
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## 1. Pr at Work

Koppeloptik

Laser diode

## Pr<sup>3+</sup> lons in Optical Materials

- Colour filter in goggles for welders and in combination with Nd<sub>2</sub>O<sub>3</sub> in goggles for glass makers (absorption of yellow Na lines)
- UV emitting phosphors
- Electroluminescent devices: (Ca,Sr,Ba)(Ti,Zr)O<sub>3</sub>:Pr,Al
- Photon cascade emission: YF<sub>3</sub>:Pr, NaYF<sub>4</sub>:Pr
- Scintillator crystals/ceramics: Gd<sub>2</sub>O<sub>2</sub>S:Pr,Ce,F, LuAG:Pr
- Laser gain media: ZBLAN, KY<sub>3</sub>F<sub>10</sub>:Pr, LiYF<sub>4</sub>:Pr

## **Pr in other Functional Materials**

- Corrosion resistant alloys
- Photocatalysts
- Information storage in quantum computing



100.0

Lasermaterial

Auskoppelspiegel

Beschichtung



**PrPO**<sub>4</sub>



Gd<sub>2</sub>W<sub>2</sub>O<sub>9</sub>:Pr







## 1. Pr at Work

Novelty: Pr<sup>3+</sup> for the Photoreduction of Nitrogen N<sub>2</sub>

- Pr<sup>3+</sup> can undergo ESA or Energy Transfer Up-Conversion (ETU)
- LaOF:Pr is an UC Photocatalyst:  $N_2 + 6 H^+ + 6 e^- \rightarrow 2 NH_3$
- Activation by blue light is feasible (488 nm laser)







### **Pr<sup>3+</sup> ground state configuration** [Xe]4f<sup>2</sup> $\rightarrow$ 13 SLJ-States



Pr<sup>3+</sup> excited state configuration [Xe]4f<sup>1</sup>5d<sup>1</sup>



Energy distance between the [Xe]4f<sup>2</sup> and [Xe]4f<sup>1</sup>5d<sup>1</sup> configuration is 62000 cm<sup>-1</sup> (for the free ion)  $E^{0}(Pr^{3+/4+}) = 3.2 V$  in  $[Pr(H_{2}O)_{9}]^{3+}(aq)$  $IE(Pr^{3+/4+}) = 7.8 eV$  in  $CaF_{2}(s)$ 









#### Tuning the energy distance between the [Xe]4f<sup>2</sup> and 4f<sup>1</sup>5d<sup>1</sup> configuration



Impact factors: (Spectroscopic) polarisibility, ion charge density, site symmetry, coordination number, metal-ligand distance, ... Comment: CFS on energy levels of 4f<sup>n</sup> configuration typically < 100 cm<sup>-1</sup>















Photon Cascade Emission (PCE)

First examples (1974) Sommerdijk et al., J. Lumin. 8 (1974) 341 (Philips) Piper et al., J. Lumin. 8 (1974) 344 (General Electric) YF<sub>3</sub>:Pr, NaYF<sub>4</sub>:Pr, NaLaF<sub>4</sub>:Pr  ${}^{1}S_{0} - {}^{3}P_{1}, {}^{1}I_{6}$  transition @ 407 nm  ${}^{3}P_{0} - {}^{3}H_{J}, {}^{3}F_{2}$  transitions in the red QE ~ 140% (visible), 166% (total)

Oxidic luminescent materials showing PCE A. Srivastava et al. (General Electric)  $Pr^{3+}$  on host lattice sites with high CN = 9-12  $SrAl_{12}O_{19}$ :Pr  $LaMgB_5O_{10}$ :Pr  $LaB_3O_6$ :Pr













CFS + centroid shift reduces energy of lowest CF component of the [Xe]4f<sup>1</sup>5d<sup>1</sup> configuration by less than 10000 cm<sup>-1</sup>

$$\Rightarrow E(4f^{1}5d^{1}) > E(^{1}S_{0})$$

 $\Rightarrow$  Photon cascade emission







<sup>3</sup>P.j

700

<sup>3</sup>F<sub>J</sub>

800

## 2. Fundamentals of Pr<sup>3+</sup> Luminescence



10





#### Pr<sup>3+</sup> doped fluorides: [Xe]4f<sup>1</sup>5d<sup>1</sup> – [Xe]4f<sup>2</sup> vs. [Xe]4f<sup>2</sup> – [Xe]4f<sup>2</sup> emission



Pr<sup>3+</sup> phosphors with sole UV-C 4f-5d emission requires hosts enabling large  $\epsilon_c$  and  $\epsilon_{cfs}$ 





#### **Application areas**

 $\rightarrow\,$  Drinking, process, and waste water, air, exhaust gas, and surfaces

#### By inactivation of microorganisms By mineralisation of organic matter



UV-C Vacuum UV UV-C/B and ozone UV-C and H<sub>2</sub>O<sub>2</sub> UV-A/B and photocatalyst

#### $\rightarrow$ Formation of oxidative ROS

| Singlet oxygen      | 1 <b>0</b> 2           |
|---------------------|------------------------|
| Ozone               | <b>O</b> <sub>3</sub>  |
| Hydroxy radicals    | ΗŎ                     |
| Hyperoxide radicals | <b>0</b> 2 <sup></sup> |





#### By mineralisation of organic matter (microorganisms and µ-pollutants)



Thus vacuum UV radiation is able to cleave all kind of covalent chemical bonds









Wavelength (nm)

# 3. UV Disinfection

Nucleotides show intense absorption bands at 265 nm (A, C, T, G) and additionally at 240 nm (G)

Aromatic amino acids show absorption bands at 280 nm (Trp, Tyr), at 250 nm (Phe), or at 210 nm (His)

400

500

600

700

Other biomolecules absorb even in the near-UV or blue spectral range, e.g. NAD(P)H or FADH<sub>2</sub>











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#### Effect of UV-C/B/A Radiation



Typical penetration depth of UV-C radiation into tissue ~ 40 µm! Lit.: S. Miwa et al., J. Cellular Biochemistry 114 (2013) 2493







#### Drinking water: Examples of industrial and municipal appliances



Location: Bad Toelz, Germany Flow rate: 200 ... 2000 m<sup>3</sup> h<sup>-1</sup> UV Power: 18 kW Number of lamps: 144



Location: Manukau, New Zealand Flow rate: 50.400 m<sup>3</sup> h<sup>-1</sup> UV power: min. ~ 320 kW Number of lamps: ~ 2500







#### Indoor ambient air and exhaust gas treatment



#### **Example: STAMBOLI air purifier**

- Air flow: 160 m<sup>3</sup> h<sup>-1</sup>
- Light sources: Hg LP UV-C lamp (253.7 nm), ozone free
- Voltage: 220 240 V
- Input power: 72 W (output ~ 30 W UV-C)
- Lifetime of UV-C lamps: 9000 hours

#### **Alternative approaches**

- Photo-Fenton-reaction:  $Fe^{2+} + H_2O_2 + hv \rightarrow Fe^{3+} + OH^- + OH^-$
- UV-A/B LED + TiO<sub>2</sub> photocatalyst: O<sub>2</sub><sup>-.</sup> + OH<sup>.</sup>
- $O_3$  at alkaline surfaces: Mg(OH)<sub>2</sub> +  $O_3 \rightarrow$  MgO +  $O_2$  +  $H_2O_2$
- $O_3$  and humidity + UV-C lamp:  $O_3 + H_2O + hv \rightarrow O_2 + H_2O_2$
- Atmospheric plasms: O<sub>3</sub>
- Xe excimer lamp (172 nm):  $3 O_2$  cleavage  $\rightarrow 2 O_3$

 $H_2O\ cleavage \rightarrow OH^. + H^.$ 





## **Pr<sup>3+</sup> for fighting the Covid-19 Pandemic**

#### SARS-CoV-2 Virus Base Data

- RNA Virus!
- 80 140 nm (Lit.: Kaniyala Melanthota et al., 2020)
- Single strand RNA with positive polarity
- Four structural proteins (S, E, M, N)
- 30 kBases
- Photochemistry unknown yet



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- **Goal:** Inactivation of the virus by photochemical damage, e.g. by strand break
- → Far UV emitting microscale phosphor excited by
  X-rays
  Blue laser
  High energy particles
  VUV radiation





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## 4. UV Radiation Sources

#### Sun

> 300 nm

185, 254 nm 185, 254 nm 200 – 400 nm

230 – 800 nm

110 – 400 nm





#### Excimer laser

Hg discharge lamps

medium pressure

Xe/(Hg) discharge lamps

low pressure

D<sub>2</sub> discharge lamps

amalgam

• **ArF**\*

193 nm

Excimer discharge lamps, e.g. Dielectric Barrier Discharge (DBD) lamps

XeCl\*
 XeBr\*
 KrCl\*
 Xe<sub>2</sub>\*
 308 nm
 308 nm
 282 nm
 222 nm
 172 nm

•  $Xe_2^{2*}$  + UV phosphor(fluorescent DBD) 190 – 400 nm

(AI,Ga)N UV LEDs

210 – 365 nm



X-ray or cathode ray tube + UV phosphor 190 – 400 nm, e.g. Y<sub>2</sub>SiO<sub>5</sub>:Pr<sup>3</sup>







## 4. UV Radiation Sources

#### Hg discharge lamps: Overview

|                         | Low pressure Hg | Amalgam    | Medium pressure Hg |  |
|-------------------------|-----------------|------------|--------------------|--|
|                         |                 |            |                    |  |
| JV-C spectrum           | 254 nm          | 254 nm     | 200 - 280 nm       |  |
| Typical lamp power      | 4 100 W         | 100 300 W  | 1 17 kW            |  |
| _amp efficiency         | < 40%           | 30 35%     | 10 15%             |  |
| GAC factor              | 85%             | 85%        | 80%                |  |
| JV-C power per<br>ength | 0.2 W / cm      | 0.7 W / cm | 15 W / cm          |  |
| Nall temperature        | <b>40</b> °C    | 100 °C     | 600 - 800 °C       |  |

#### $\Rightarrow$ Selection depends on application and operation costs





4. UV Radiation Sources

#### Hg discharge lamps: Low-pressure/amalgam vs. medium pressure



#### Main application issues

- Hg content
- Spectrum cannot be modulated
- Limitations on design, dimming, and switching
- Cooling reduces efficiency
- Power density ⇔ Wall plug efficiency









Use of solar radiation / combined with artificial radiation sources for air, water, and surface disinfection or purification









#### **UV Emitting LEDs and Laser Diodes**







#### **UV Emitting LEDs - (AI,Ga)N Semiconductors**









#### UV Emitting LEDs – Status Quo 2020: WPE ~ 10%, 265 nm







#### **Properties of the ideal UV radiation source**

- Highly efficient: η(UV) > 20% (minimal cost of operation)
- UV-C radiation: UV disinfection: H<sub>2</sub>O<sub>2</sub> activation: Ozone formation:

 $\lambda \sim 260$  nm (max. GAC)

200 nm < λ < 300 nm

λ < 240 nm

- Inexpensive
- High power (fewer lamps, minimal initial investment)
- Long life time (minimal operation and maintanance costs)
- Free of mercury (UNEP Minamata Convention on Mercury 2017)  $\rightarrow$  Xenon









#### Excimer forming gases and gas blends Pure F CI Br noble gases Wavelength in nm Pure 158 nm 258 nm 293 nm 342 nm halides Ar<sup>\*</sup><sub>2</sub> Ar > 10% ca. 5% < 0.1% ~10% 193 nm 175 nm 161 nm 126 nm Kr<sup>\*</sup><sub>2</sub> Kr > 10% 18% ca. 5% < 0.1% ~15% 146 nm 248 nm 222 nm 207 nm 185 nm Xe<sup>\*</sup><sub>2</sub> Xe > 10% 14% 15% ca. 5% 30% 351 nm 172 nm **308 nm** 282 nm 253 nm







#### Lamp sketch and working principle





Length = 12 cm Wall load ~ 0.1 - 1.0 W cm<sup>-2</sup> p(Xe) = 300 mbar P = 10 - 100 W U = 3 - 5 kV f = 10 - 50 kHz









#### Xe discharge spectrum









## **UV Phosphors – Hosts and Activators**









## UV Phosphors for Xe excimer discharge lamps

Water and oxygen cleavage 1. – (Y,Lu)PO₄:Nd,Pr 193 nm Mineralisation of µ-pollutants 2. 218 nm – CaSO₄:Pr - LaPO<sub>4</sub>:Pr 225 nm – (Y,Lu)PO<sub>4</sub>:Pr 235 nm 3. Disinfection of air and surfaces 252 nm CaLi<sub>2</sub>SiO₄:Pr YBO<sub>3</sub>:Pr 265 nm — Y<sub>2</sub>SiO<sub>5</sub>:Pr 270 nm \_ 275 nm  $Y_2Si_2O_7$ :Pr 4. **Photocatalysis** 300 nm  $Lu_3Ga_2Al_3O_{12}$ :Pr - Lu<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>:Pr 310 nm - Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>:Pr 320 nm



Sheet 32







#### UV Phosphors for Xe excimer discharge lamps: ortho-phosphates









#### Emission and GAC overlap of selected UV-C emitters, Pr<sup>3+</sup> doped garnets

| 193<br>218<br>225 | 57<br>68                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                   |
|-------------------|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 218               | 68                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                   |
| 225               |                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                   |
| 225               | 69                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                   |
| 233               | 61                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                   |
| 234               | 61                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                   |
| 245               | 41                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                   |
| 247               | 33                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                   |
| 253               | 44                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                   |
| 260               | n. a.                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                   |
| 265               | 46                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                   |
| 266               | 48                                                                                                                  | 1                                                                                                                                                                                                                                                                                                                                                                                 |
| 270               | 22                                                                                                                  | 1                                                                                                                                                                                                                                                                                                                                                                                 |
| 275               | 48                                                                                                                  | sdo 1                                                                                                                                                                                                                                                                                                                                                                             |
| 277               | 16                                                                                                                  | sity /                                                                                                                                                                                                                                                                                                                                                                            |
| 300               | 5                                                                                                                   | Inter                                                                                                                                                                                                                                                                                                                                                                             |
| 310               | 2                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                   |
| 312               | ~ 0                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                   |
| 316               | ~ 0                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                   |
| 320               | ~ 0                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                   |
|                   | 225<br>233<br>234<br>245<br>247<br>253<br>260<br>265<br>266<br>270<br>275<br>277<br>300<br>310<br>312<br>316<br>320 | 216       00         225       69         233       61         234       61         245       41         247       33         253       44         260       n. a.         265       46         266       48         270       22         275       48         277       16         300       5         310       2         312       0         316       ~0         320       ~0 |



#### Lit.: F. Schröder, T. Jüstel, J. Luminescence (2021) under review







YPO<sub>4</sub>:Pr coated Xe excimer discharge lamps compared to YPO<sub>4</sub>:Bi coated ones and to amalgam low-pressure discharge lamps



Source: N. Braun, GVB

Source: A. Nietzsch, DLR

Photolytical degradation by use of a YPO<sub>4</sub>:Pr converted Xe excimer lamp allows a reduction of the required dose by 95% compared to an amalgam lamp







#### Cathode ray tube with UV-C converter



Accelerated electrons hit a phosphor layer to yield cathodoluminescence: The principle is similar to that of a cathode ray tube for TV sets/monitors







#### X-ray tube with UV-C converter LaPO<sub>4</sub>:Pr and YPO<sub>4</sub>:Pr



#### Almost solely UV-C emitting scintillators....

Many spin-offs: Cancer & inflammation treatment by LnPO₄:Pr,Nd (Ln = Y, La, Lu)





## 6. Summary

- Xe<sub>2</sub><sup>\*</sup> Excimer discharge lamps
- Discharge spectrum: 147, 150, 172 nm
- Fluorescence: 190 380 nm
- Hg-free, fast switching, large form factor
- Problems: Driver, lifetime, price, market access



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- Gas discharge and converter determines lamp spectrum
- Goal: Hg free UV radiation source with spectrum adapted to application

#### Lamps for special applications: Pr<sup>3+</sup> phosphors

- 222 nm Air disinfection / Virus inactivation (eye and skin safe?)
- 235 nm Mineralisation of organic μ-pollutants
- 241 nm Disinfection & mineralisation of organic µ-pollutants
- 310 nm Photochemistry/-medicine







## 6. Summary

## **Pr<sup>3+</sup> Phosphors for fluorescent Xe excimer discharge lamps**

#### $\rm VUV \rightarrow \rm UV$ converter: Emission between 190 and 380 nm

- (Y,Lu)PO<sub>4</sub>:Nd,Pr
- CaSO<sub>4</sub>:Pr
- YPO<sub>4</sub>:Pr
- LaPO<sub>4</sub>:Pr
- (Y,Lu)PO<sub>4</sub>:Pr
- YBO<sub>3</sub>:Pr
- Y<sub>2</sub>SiO<sub>5</sub>:Pr
- Lu<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>:Pr



#### **Remaining challenges**

- Operational lifetime of lamps < 10000 h</li>
- Phosphor damaged by VUV and exc. Xe species
- Coating by α-Al<sub>2</sub>O<sub>3</sub> (sapphire)
- Industrialisation









## 6. Summary

#### **UV Emitting LEDs / Laser Diodes**

- Theoretical limit: 205 nm Experimental limit ~ 220 nm Practical limit ~ 250 nm
- Thus far UV-LED are challenging!
- Heat dissipation determines yield and life time
- · Refractive index increases with increasing energy

#### Problems to be solved

- UV flux: EL quantum yield, thermal quenching, saturation
- Energy efficiency: light outcoupling, reabsorption
- Spectral consistency: encapsulation, stability of semiconductor
- Mass production: cost & reliability







## 7. Outlook

#### (AI,Ga)N / (In,Ga)N LEDs or laser diodes: UV up-conversion

Pr3+ doped Li2SrSiO4: an efficient visible-ultraviolet C up-conversion phosphor

Zhiqian Yin, Peng Yuan, Zheng Zhu, Tianyi Li, Yanmin Yang

College of Physics Science and Technology, Institute of Life Science and Green Development, Hebei Key Lab of Optic-electronic Information and Materials, Hebei University, Baoding, 071002, PR China

#### ARTICLE INFO

Keywords: Up-conversion emission Ultraviolet C Optical properties Silicate

#### ABSTRACT

Up-conversion (UC) phosphor converting visible light into ultraviolet C light (UVC) has potential application in many fields. However, the lower energy conversion efficiency limits its practical application. Here, we proven that the synthesized  $Li_2SrSiO_4$ :Pr<sup>3+</sup> phosphor is an efficient UV phosphor with the emission power of 0.25 mW/ cm<sup>2</sup> (0.1 mW/cm<sup>2</sup> for UVC band), which can effectively inactivate bacteria within 10 min. Based on the different propagation properties of visible light and UVC in ordinary glass, we proposed a scheme to coat this phosphor inside the slide and cover glass of a confocal microscope to realize the real-time observation of the response of microorganisms under UVC irradiation, thereby providing a new effective method for microbial research.









## 7. Outlook

#### (AI,Ga)N / (In,Ga)N LEDs or laser diodes: UV up-conversion

## 1. 445 nm laser diode + ß-BaB<sub>2</sub>O<sub>4</sub> NLO crystal



#### 2. 445 nm laser diode + up-converter Y<sub>2</sub>SiO<sub>5</sub>:Pr,Li ceramic

ABSTRACT: The objective of this study was to develop visible-to-ultraviolet C (UVC) upconversion ceramic materials, which inactivate surface-borne microbes through frequency amplification of ambient visible light. Ceramics were formed by high-temperature sintering of compacted yttrium silicate powders doped with  $Pr^{3+}$  and Lit<sup>\*</sup>. In comparison to previously reported upconversion surface coatings, the ceramics were significantly more durable and had greater upconversion efficiency under both laser and low-power visible light excitation. The antimicrobial activity of the surfaces under diffuse fluorescent light was assessed by measuring the inactivation of *Bacillus subtilis* spores, the rate of which was nearly 4 times higher for ceramic materials compared to the previously reported films. Enhanced UVC emissions were



attributed to increased material thickness as well as increased crystallite size in the ceramics. These results represent significant advancement of upconversion surfaces for sustainable, light-activated disinfection applications.

## 7. Outlook

#### KrCl\*-excimer emitter: Skin and eye-proof radiation?

- Primary emission: 222 nm (KrCl\*) + 258 nm (Cl<sub>2</sub>\*)
- Bandpass filter 200 230 nm required
- Problems?: Driver, life time, cost, safety





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#### Lit.: D.J. Brenner et al., Radiat. Res. 187 (2017) 483 Ushio Homepage: Care222 UV disinfection solutions









# 8. Literature and Internet Links

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- Signify
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